



JPSS/GOES-R Data Product Validation Maturity Stages - COMMON DEFINITIONS (Nominal Mission)

1. <u>Beta</u>

- o Product is minimally validated, and may still contain significant identified and unidentified errors.
- Information/data from validation efforts can be used to make initial qualitative or very limited quantitative assessments regarding product fitness-forpurpose.
- o Documentation of product performance and identified product performance anomalies, including recommended remediation strategies, exists.

2. Provisional

- Product performance has been demonstrated through analysis of a large, but still limited (i.e., not necessarily globally or seasonally representative) number of independent measurements obtained from selected locations, time periods, or field campaign efforts.
- o Product analyses are sufficient for qualitative, and limited quantitative, determination of product fitness-for-purpose.
- Documentation of product performance, testing involving product fixes, identified product performance anomalies, including recommended remediation strategies, exists.
- Product is recommended for potential operational use (user decision) and in scientific publications after consulting product status documents.

3. Validated

- o Product performance has been demonstrated over a large and wide range of representative conditions (i.e., global, seasonal).
- Comprehensive documentation of product performance exists that includes all known product anomalies and their recommended remediation strategies for a full range of retrieval conditions and severity level.
- o Product analyses are sufficient for full qualitative and quantitative determination of product fitness-for-purpose.
- o Product is ready for operational use based on documented validation findings and user feedback.
- Product validation, quality assurance, and algorithm stewardship continue through the lifetime of the instrument.



Maturity Review - Entry Criteria

- Product Requirements
- Pre-launch Performance Matrix/Waivers
- Provisional Maturity Performance Validation
 - On-orbit instrument performance assessment
 - Identify all of the instrument and product characteristics you have verified/validated as individual bullets
 - Identify pre-launch concerns/waivers, mitigation and evaluation attempts with on-orbit data
- Users/Downstream-Products feedback
- Risks, Actions, Mitigations
 - Potential issues, concerns
- Path forward (to the next maturity stage)
- Summary



Maturity Review - Exit Criteria

- Provisional Maturity Performance is well characterized and meets/exceeds the requirements:
 - On-orbit instrument performance assessment
 - Provide summary for each identified instrument and product characteristic you have validated/verified as part of the entry criteria
 - Provide summary of pre-launch concerns/waivers mitigations/evaluation and address whether any of them are still a concern that raises any risk.
- Updated Maturity Review Slide Package addressing review committee's comments for:
 - Cal/Val Plan and Schedules
 - Product Requirements
 - Provisional Maturity Performance
 - Risks, Actions, Mitigations
 - Path forward (to the next maturity stage)



NOAA-21 Snowfall Rate (SFR) PROVISIONAL MATURITY REVIEW MATERIAL

JP35 NOAA NASA

Outline

- Algorithm Cal/Val Team Members
- Product Overview/Requirements
- Evaluation of algorithm performance to specification requirements
 - Algorithm version, processing environment
 - Evaluation of the effect of required algorithm inputs
 - Quality flag analysis/validation
 - Error Budget
- User Feedback
- Downstream Product Feedback
- Risks, Actions, and Mitigations
- Documentation (Science Maturity Check List)
- Conclusion
- Path Forward



NOAA-21 Snowfall Rate Cal/Val Team

Algorithm Cal/Val Team Members

| Name | Organization | Major Task |
|---------------|--------------|--|
| Huan Meng | STAR | Snowfall Rate product lead |
| Yongzhen Fan | CISESS-MD | Algorithm development and validation |
| Jun Dong | CISESS-MD | System development, algorithm development and validation |
| Liqun Ma | OSPO | OSPO Precipitation PAL |
| Priyanka Roy | ASSISTT | Transition to operations |
| Tracey Dorian | ASSISTT | Transition to operations |



Product: Liquid equivalent snowfall rate (SFR) estimate

SFR is generated from passive microwave sensors aboard polar-orbiting satellites

- Operational SFR products from NOAA-20, S-NPP, NOAA-19, Metop-B, and Metop-C
- Sensors: ATMS, AMSU-A/MHS

SFR is retrieved from a Snowfall Detection and a Snowfall Rate algorithms

- Snowfall Detection: machine learning (ML) model
- Snowfall Rate: 1DVAR-based physical algorithm with ML initialization and bias correction
- Algorithms use a combination of 'window' and temperature/water vapor sounding channels as well as NWP (GFS) model data



Product Requirements

| Attribute | DPS | Requirement/Threshold | Performance |
|-----------|----------|-----------------------|-------------|
| Accuracy | DPS-1756 | 0.3 mm/hr | |
| Precision | DPS-1757 | 1 mm/hr | |



Processing Environment and Algorithms

- The SFR product is operationally produced from a standalone Enterprise SFR processing system in NCCF.
 - Current algorithm v1r0 includes N21 SFR Beta
 - N21 SFR Provisional algorithm v2r0
 - LUTs / PCTs v2r0
 - Effective date: July 2024; package delivered to ASSISTT on 2/28/2024

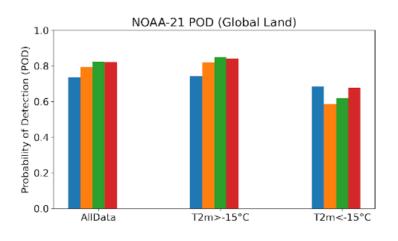


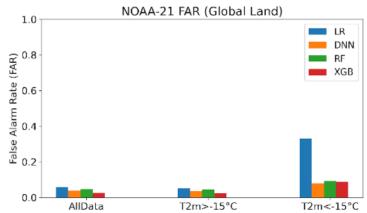
Evaluation of algorithm performance to specification requirements

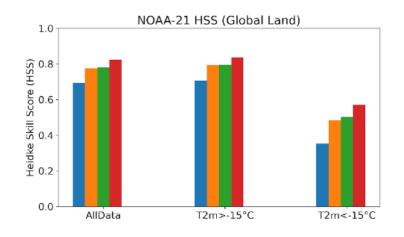
- The N21 Beta Maturity SFR uses N20 SFR algorithm since not enough N21 snowfall data was available to develop N21 specific SFR algorithm
- Improvements since Beta Maturity Review
 - Trained N21 Snowfall Detection ML XGB model (included some N20 data)
 - Trained N21 1DVAR initialization ML NN model and SFR bias correction ML NN model (included some N20 data)
 - Updated N21 coefficient files
- Algorithm performance evaluation
 - Validation data sets
 - Snowfall Detection: 11-mo of NCEI global ground observations (ISD)
 - Snowfall Rate: i) Stage IV radar & gauge combined hourly precipitation, ii) ECMWF reanalysis ERA5 hourly snowfall, iii) CloudSat CPR (space-borne radar) snowfall rate estimates; 11-mo data
 - Validation strategies / methods
 - Snowfall Detection: Statistics (POD, FAR, HSS)
 - Snowfall Rate: Scatter plots, probability distribution



Validation – N21 Snowfall Detection



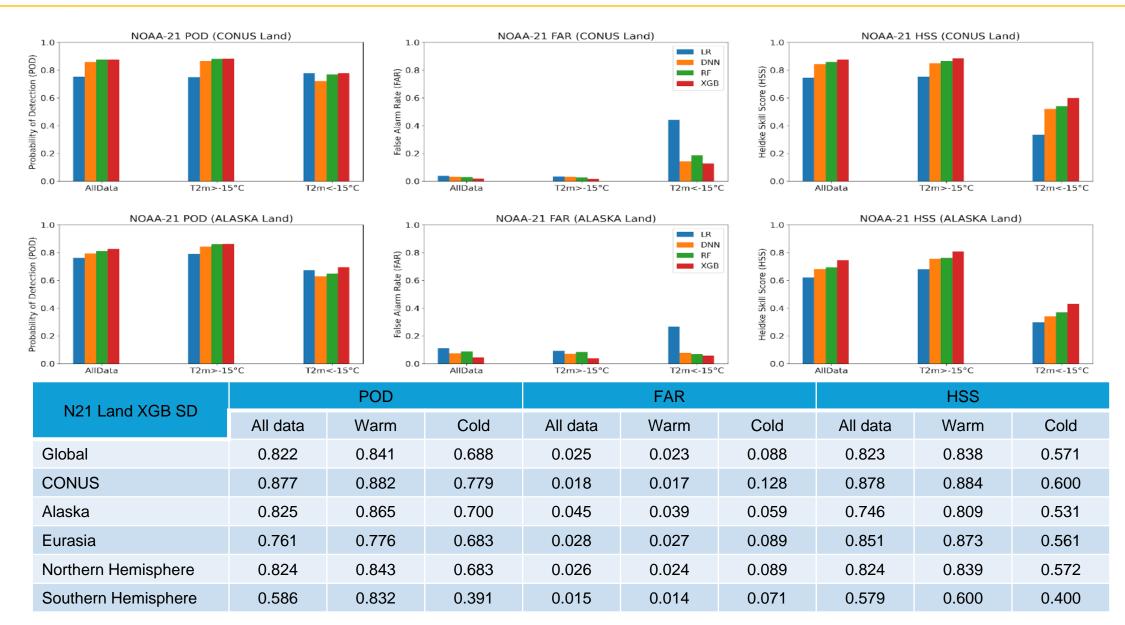




| NOA Land VOD CD | POD | | FAR | | | HSS | | | |
|-----------------|----------|-------|-------|----------|-------|-------|----------|-------|-------|
| N21 Land XGB SD | All data | Warm | Cold | All data | Warm | Cold | All data | Warm | Cold |
| Global | 0.822 | 0.841 | 0.688 | 0.025 | 0.023 | 0.088 | 0.823 | 0.838 | 0.571 |

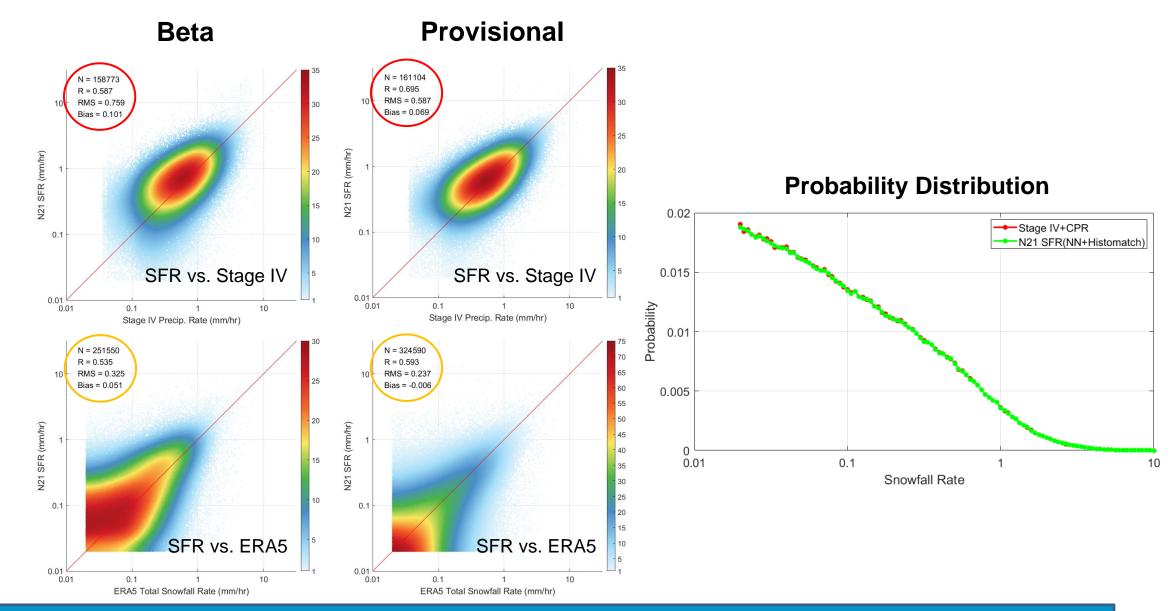


Validation – N21 Snowfall Detection (2)





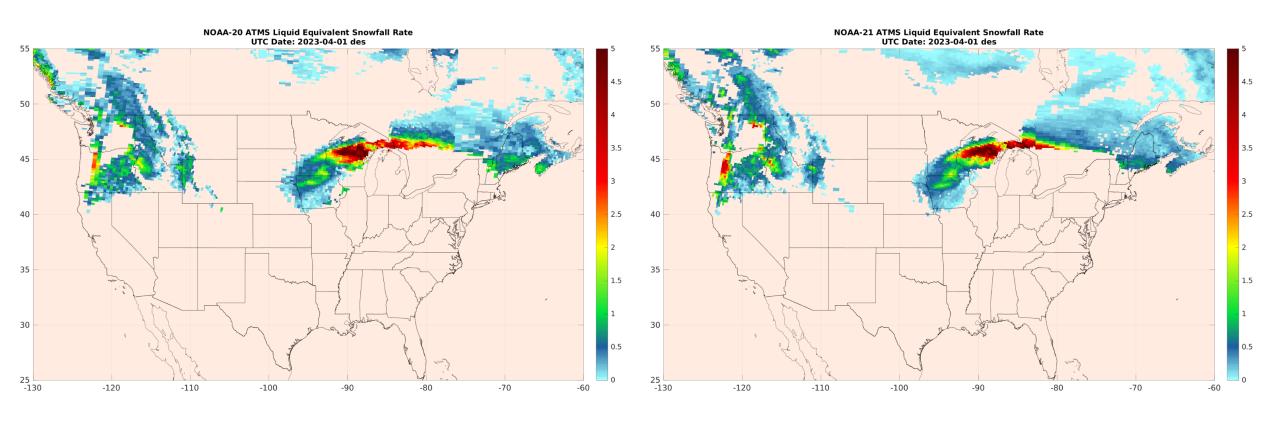
Validation - N21 Snowfall Rate





Validation – Comparison with NOAA-20 SFR

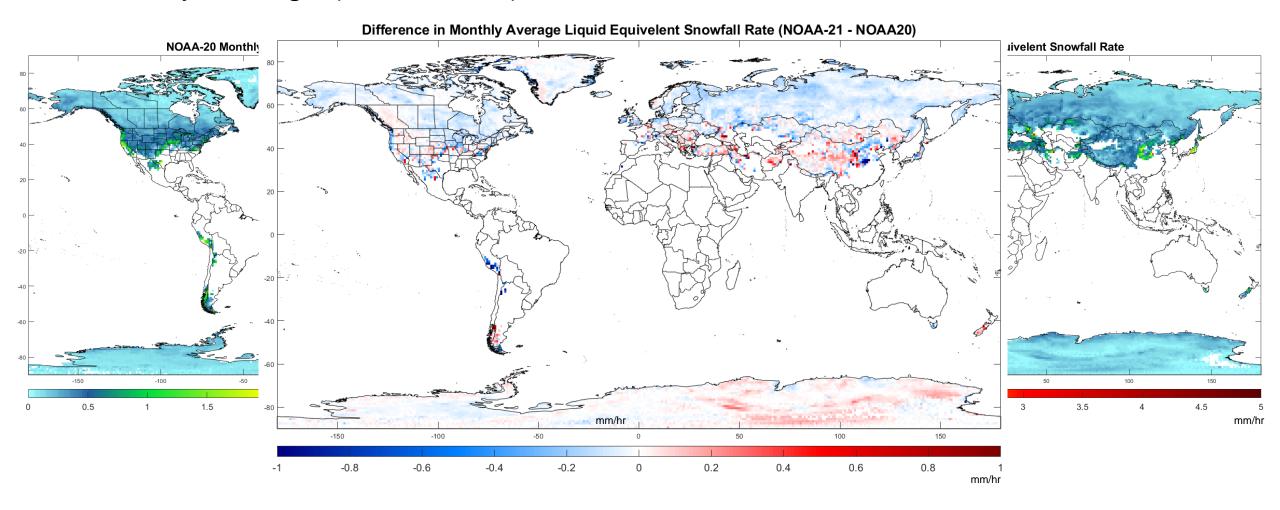
Case study comparison between NOAA-21 and NOAA-20 SFR on April 1, 2023





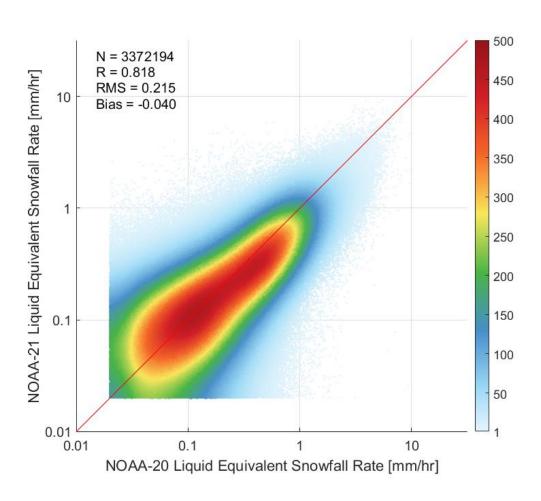
Validation – Comparison with NOAA-20 SFR (2)

Monthly average (March 2023) SFR





Validation – Comparison with NOAA-20 SFR (3)





Evaluation of the effect of required algorithm inputs

- Required Algorithm Inputs
 - Primary Sensor Data
 - ATMS TDR window, and temperature and water vapor sounding channels (23.8 GHz 183±1 GHz)
 - Ancillary Data
 - GFS surface and atmospheric variables
 - Upstream algorithms
 - None
 - LUTs / PCTs
 - Ice particle optical properties LUT
 - Algorithm coefficient files
 - Land-sea mask
 - Other ancillary files



Quality flag analysis/validation

Defined Quality Flags

- There is one quality flag (32 bit) in the SFR file that specifies two quality variables:

| Quality flag bit | Meaning | Value |
|------------------|----------------------|--|
| 0 | 1DVAR Convergence | 1: Non-convergent0: Convergent |
| 1 | SFR threshold | 1: SFR is below threshold, set to 0 0: SFR is above threshold, value unchanged |



Error Budget

Compare analysis/validation results against requirements, present as a table. Error budget limitations should be explained. Describe prospects for overcoming error budget limitations with future improvements of the algorithm, test data, and error analysis methodology.

| | | Requirem | Pre- | On-or | rbit Perform | ance | | |
|-----------------------|----------|-------------------|---------------------|---------|--------------|-------|----------------------|---|
| Attribute Analyzed | DPS | ent/ Threshold | Launch Perform ance | NOAA-21 | NOAA-20 | S-NPP | Meet Requirement? | Additional Comments |
| Accuracy | DPS-1756 | 0.3 mm/hr | | 0.07 | 0.02 | 0.02 | Yes | N20 and NPP validation against 3-year of Stage IV data; N21 11- mo of data |
| Precision | DPS-1757 | 1 mm/hr | | 0.59 | 0.57 | 0.57 | Yes | N20 and NPP validation against 3-year of Stage IV data; N21 11- mo of data |



User Feedback

| Name | Organization | Application | User Feedback - User readiness dates for ingest of data and bringing data to operations |
|---|-------------------------------|---|---|
| Pingping Xie | NCEP/CPC | Level-3 global blended precipitation analysis CMORPH2 | The NCEP/CPC CMORPH team will be ready to ingest the NOAA-21 SFR data and bring the data to CPC operations once the SFR data becomes operational |
| Weather forecasters | NWS Alaska | Winter weather nowcasting | Product was a big help in showing higher SFR rates in areas just outside radar coverage which seem to verify. An NWS employee in a vessel in the Arctic Ocean confirmed snowfall at the time the SFR product indicated snow could be occurring. Indicating the product was viable at higher latitudes in that synoptic scenario. 76% of the responses from forecasters ranked SFR as Useful or Very Useful for their operations |
| Luis Rosa | NWS Sterling, Virginia WFO | Winter weather nowcasting | SFR extremely helpful/useful this morning in western MD and eastern WV panhandle; very accurate with the snow reports. Mentioned SFR in the local Area Forecast Discussion multiple times. |
| Matthew Brothers, Michasel Charnick (SOO) | NWS Cheyenne, Wyoming WFO | Winter weather nowcasting | SFR provides snowfall estimates where radar overshoots and over the mountains where radar does not have coverage |



Downstream Product Feedback

| Algorithm | Product | Downstream Product Feedback - Reports from downstream product teams on the dependencies and impacts |
|--|---------|---|
| Second-generation CPC MORPHing technique | CMORPH2 | The NCEP/CPC CMORPH2 global blended precipitation product requires the NESDIS SFR product as a critical input. The NOAA-21 SFR will further improve the accuracy of the CMORPH2 winter precipitation. |
| | | |
| | | |
| | | |
| | | |



Risks, Actions, and Mitigations

• Provide updates for the status of the risks/actions identified during the previous maturity review(s); add new ones as needed

| Identified Risk | Description | Impact | Action/Mitigation and Schedule |
|--------------------|-------------|--------|--------------------------------|
| None | | | |
| | | | |
| | | | |
| | | | |
| | | | |



Documentations

| Science Maturity Check List | Yes? |
|--|------|
| ReadMe for Data Product Users | Yes |
| Algorithm Theoretical Basis Document (ATBD) | Yes |
| Algorithm Calibration/Validation Plan | Yes |
| (External/Internal) Users Manual | Yes |
| System Maintenance Manual (for ESPC products) | Yes |
| Peer Reviewed Publications (Demonstrates algorithm is independently reviewed) | Yes |
| Regular Validation Reports (at least annually) (Demonstrates long-term performance of the algorithm) | Yes |



Check List - Provisional Maturity

| Provisional Maturity End State | Assessment |
|---|------------|
| Product performance has been demonstrated through analysis of a large, but still limited (i.e., not necessarily globally or seasonally representative) number of independent measurements obtained from select locations, periods, and associated ground truth or field campaign efforts. | Yes |
| Product analysis is sufficient to communicate product performance to users relative to expectations (Performance Baseline). | Yes |
| Documentation of product performance exists that includes recommended remediation strategies for all anomalies and weaknesses. Any algorithm changes associated with severe anomalies have been documented, implemented, tested, and shared with the user community. | Yes |
| Product is ready for operational use and for use in comprehensive cal/val activities and product optimization. | Yes |



Conclusion

- Cal/Val results summary:
 - The SFR team recommends the NOAA-21 SFR algorithm Provisional Maturity
 - Snowfall Detection and Snowfall Rate algorithms were developed using NOAA-21 observations (with additional NOAA-20 data)
 - Validation of NOAA-21 SFR against ground observations, radar estimates, and reanalysis reveals that the product performance meet the JPSS DPS requirements
 - NOAA-21 and NOAA-20 SFR are consistent visually and statistically both on orbital and monthly scales
 - Collection of more NOAA-21 snowfall data will allow the development of NOAA-21 specific algorithms and further improve product performance

Path Forward

- Lessons learned for NOAA-21 Cal/Val
 - Adequate amount of data is required to train Snowfall Detection and Snowfall Rate models
- Planned improvements
 - Orographic snowfall
 - Snowfall over ocean
 - Inter-satellite calibration
- Future Cal/Val activities / milestones
 - Collect additional NOAA-21 snowfall data
 - Develop NOAA-21 Snowfall Detection and Snowfall Rate models using only NOAA-21 data
 - Validated Maturity



Potential Benefits of 3 JPSS Satellites

- Provide observations of storm evolution and sub-hourly refresh rate; both are important for nowcasting
- The long SNPP record contributes to snowfall rate CDR
- SNPP can serve as a reference satellite to cross calibrate other satellites