Provisional Maturity Science Review For NOAA-21 CrIS SDR

Presented by Flavio Iturbide-Sanchez and the CrIS SDR Cal/Val Science Team Date: 03/30/2023







- Executive Summary for Provisional Review
- Product Overview and Requirements
- Quality flag analysis and validation
- Cal/Val Timeline
- EP Update
- Evaluation of the NOAA-21 CrIS SDR Performance
 - NOAA-21 Telemetry Analysis (Module and detector cooler temperatures, Laser Wavelength, and ZPD location)
 - Radiometric Nonlinearity Verification
 - NEdN/radiometric noise performance
 - Radiometric performance (from CrIS SDR team, UW and UMBC)
 - Spectral performance (from CrIS SDR team, UW and UMBC)
 - Geolocation Accuracy
 - Analysis of Imaginary and Real Radiances
 - Downstream User Feedback (NUCAPS)
- Risks, actions, mitigations, waivers
- Documentation locations, check-list and requirements met
- Justification, Caveats and Path Forward



The CrIS SDR Cal/Val Science Team and Collaborators



Team Lead	Organization	Team	Major Tasks		
Flavio Iturbide-Sanchez (Science Team Lead)	NOAA/STAR Cal/Val Team	GST: Kun Zhang, Denis Tremblay, Arun Ravindranath UMD: Peter Beierle	Science Lead and Project Management; SDR Team Coordination and Algorithm Test in IDPS; Algorithm/Software Sustainment and Maintenance; Noise, Geolocation, Radiometric and Spectral Characterization; Inter-comparison; Long-term SDR Data Quality and Monitoring; Science Support		
Dave Tobin	U. of Wisconsin (UW) Cal/Val Team	Hank Revercomb, Joe Taylor, Bob Knuteson, Lori Borg, Michelle Loveless, Dan Desolver	Radiometric Calibration; Radiometric Error Budget and Uncertainty; Noise Characterization; Non-linearity Correction; Polarization Correction; Inter-comparison; Science Support		
Larrabee Strow	U. of Maryland Baltimore County (UMBC) Cal/Val Team	Howard Motteler, Sergio de Souza-Machado, Chris Hepplewhite, Steven Buczkowski	Spectral Calibration; Neon Calibration System; Self- Apodization Correction (e.g. ILS parameters); Inter- FOV Variability; Inter-comparison; Radiometric Stability; Science Support		
Dave Johnson	NASA Langley	Yana Williams	NASA Flight Support; Instrument Science		
Joe Predina	Logistikos	Richard Hertel, James Isaacs, Glen White, Mark Searfoss, Perry Falk & Fred Williams	Anomaly Resolution and Instrument Science		
Sara Glass	L3Harris	Lawrence Suwinski, Jeff Garr, Rebecca Malloy, Mike Pries, Brian Case, Chad Eviston, Kris Kombrink	Instrument Manufacturer; On-ground and On-orbit Instrument Characterization and Support		
Deirdre Bolen	NOAA/JPSS		Algorithm Manager; Discrepancy Report Support		





1. <u>Beta</u>

- Product is minimally validated, and may still contain significant identified and unidentified errors.
- Information/data from validation efforts can be used to make initial qualitative or very limited quantitative assessments regarding product fitness-forpurpose.
- o Documentation of product performance and identified product performance anomalies, including recommended remediation strategies, exists.

2. Provisional

- Product performance has been demonstrated through analysis of a large, but still limited (i.e., not necessarily globally or seasonally representative) number of independent measurements obtained from selected locations, time periods, or field campaign efforts.
- Product analyses are sufficient for qualitative, and limited quantitative, determination of product fitness-for-purpose.
- Documentation of product performance, testing involving product fixes, identified product performance anomalies, including recommended remediation strategies, exists.
- o Product is recommended for potential operational use (user decision) and in scientific publications after consulting product status documents.

3. Validated

- Product performance has been demonstrated over a large and wide range of representative conditions (i.e., global, seasonal).
- Comprehensive documentation of product performance exists that includes all known product anomalies and their recommended remediation strategies for a full range of retrieval conditions and severity level.
- Product analyses are sufficient for full qualitative and quantitative determination of product fitness-for-purpose.
- Product is ready for operational use based on documented validation findings and user feedback.
- o Product validation, quality assurance, and algorithm stewardship continue through the lifetime of the instrument.





- From assessments, the CrIS cal/val team has shown that the NOAA-21 CrIS SDR data meets the Provisional Maturity Requirements in terms of:
 - Noise (NEdN) performance: All FOVs and bands within the specification, compares well to S-NPP and NOAA-20, no out-of-family detectors showing high NEdN values.
 - Radiometric performance: radiometric FOV2FOV consistency is within 0.1 K.
 - Spectral performance: absolute (and relative) spectral offsets for all three bands are all within ±2 ppm (and ±1 ppm).
 - Geolocation performance: Geolocation meets the requirements using EP v211 for all FORs. The total geolocation uncertainty is 250 meters 1-sigma where the specification is 1.5 Km. Current uncertainty is comparable to S-NPP and NOAA-20.
 - Intercomparisons between GOES-16/18 ABI and NOAA-21 CrIS, demonstrate consistent results across all bands over the assessment time period (bias within 0.2K).
- NOAA-21 CrIS SDR products have been reliably produced by IDPS since first science data on February 10, 2023. No Discrepancy or Risk Reports have been submitted during this period associate with the CrIS SDR Algorithm.



Product Requirements from JPSS L1RD

Band	Minimum Wavenumber Range ¹ (cm ⁻¹)	# of Channels⁴	Spectral Resolution (cm ⁻¹) ^{1,3}	Maximum NEdN @287K BB ² (mW/m ² /sr/cm ⁻¹)	Radiometric Accuracy @287K ^{1,2} (%)	Maximum FOV Footprint at Nadir FOV (km)	Maximum Spectral Uncertainty ¹ (ppm)	Geolocation Mapping Uncertainty (3σ) ¹ (km)
LWIR	650-1095	713	0.625	0.45 @ 670 cm-1, 0.15 @ 700 cm-1, 0.15 @ 850 cm-1, 0.15 @ 1050 cm-1	0.45	15	10	5
MWIR	1210-1750	865	0.625	0.078 @ 1225 cm-1 0.064 @ 1250 cm-1 0.069 @ 1500 cm-1 0.075 @ 1700 cm-1	0.58	15	10	5
SWIR	2155-2550	633	0.625	0.013 @ 2200 cm-1 0.014 @ 2350 cm-1 0.014 @ 2550 cm-1	0.77	15	10	5

¹JPSS Algorithm Specification Volume I: Software Requirement Specification (SRS) for the CrIS RDR/SDR, 474-00448-01-03, Revision I, October 24, 2019.

²JPSS Level 1 Requirements Document Supplement (L1RDS) – Final, JPSS-REQ-1002/470-00032, Revision 2.11, Rev. 2.1, 02/07/2019. The NEdN Maximum values for the MWIR and SWIR are the result of scaling the NEDN values, defined in Table 4.3, by a factor of $\sqrt{2}$ and 2, respectively.

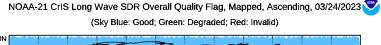
³JPSS-2 CrIS Performance Requirements Document (PRD), 472-00346, Revision B, 03/10/2016.

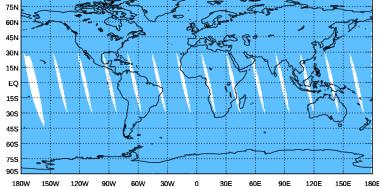
⁴JPSS CrIS SDR ATBD) for Full Spectral Resolution, June 14, 2018.



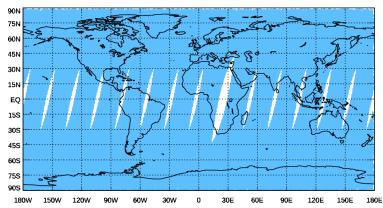


Long-Wave



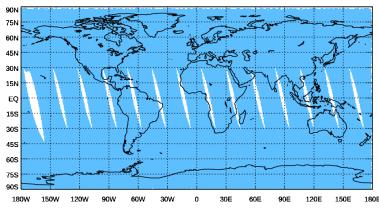


NOAA-21 CrIS Long Wave SDR Overall Quality Flag, Mapped, Descending, 03/24/2023

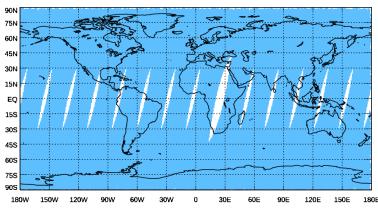


Mid-Wave

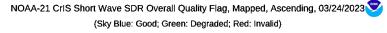
NOAA-21 CrIS Mid Wave SDR Overall Quality Flag, Mapped, Ascending, 03/24/2023 (Sky Blue: Good; Green: Degraded; Red: Invalid)

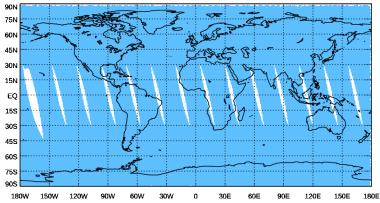


NOAA-21 CrIS Mid Wave SDR Overall Quality Flag, Mapped, Descending, 03/24/2023

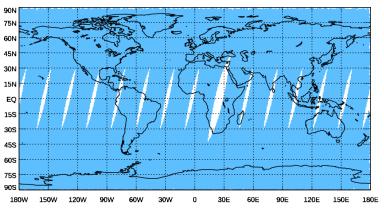


Short-Wave





NOAA-21 CrIS Short Wave SDR Overall Quality Flag, Mapped, Descending, 03/24/2023

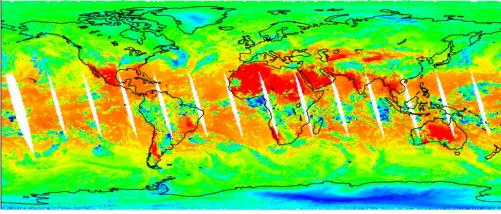


After the Upload of EP v211 on March 23, 2023, there has not been major Data gaps and signs or consistent Degraded or Invalid Spectra (Quality Flags indicate good data)

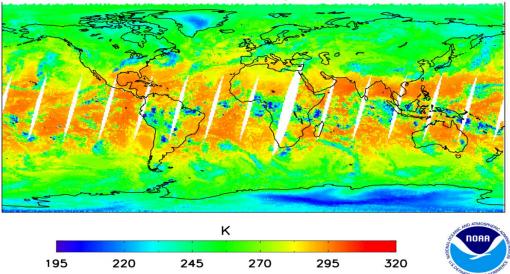




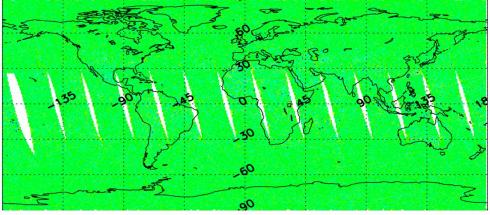
NOAA-21 CrIS Brightness Temperature, 900 cm⁻¹ 24 Mar 2023 Day Time



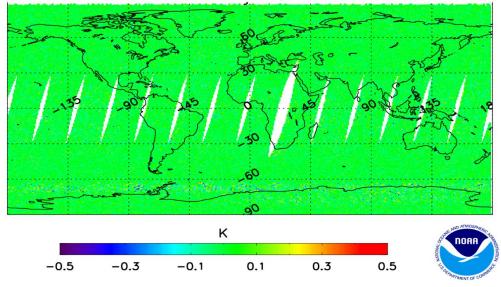
24 Mar 2023 Night Time



NOAA-21 CrIS Imaginary Radiance, 900 cm⁻¹ 24 Mar 2023 Day Time



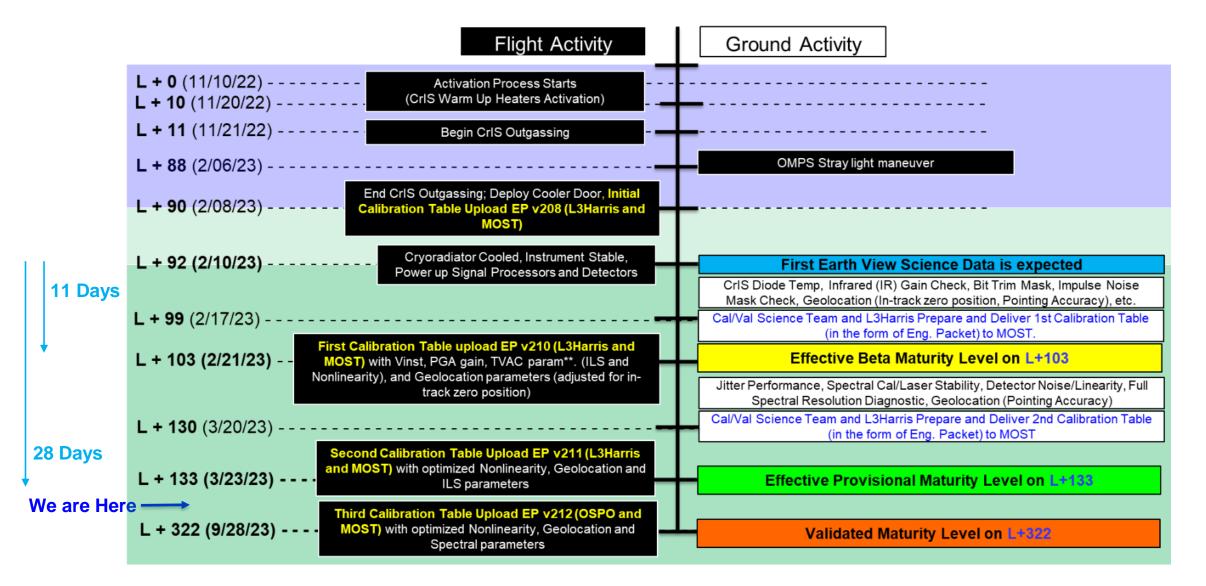
24 Mar 2023 Night Time



NOAA-21 CrIS observed brightness temperatures and imaginary radiances are nominal.











- New Improvements for NOAA-21 CrIS
 - An algorithm update (CCR 6287) has been submitted for quality control where the neon-calibrated laser wavelength must be between 1540 nm and 1560 nm, or it will be rejected and replaced with the previously valid wavelength value.
 - This update was successfully tested and implemented into the new IDPS Block 2.3 Mx8 Build.
 - Thus far, no invalid neon calibrations have occured.





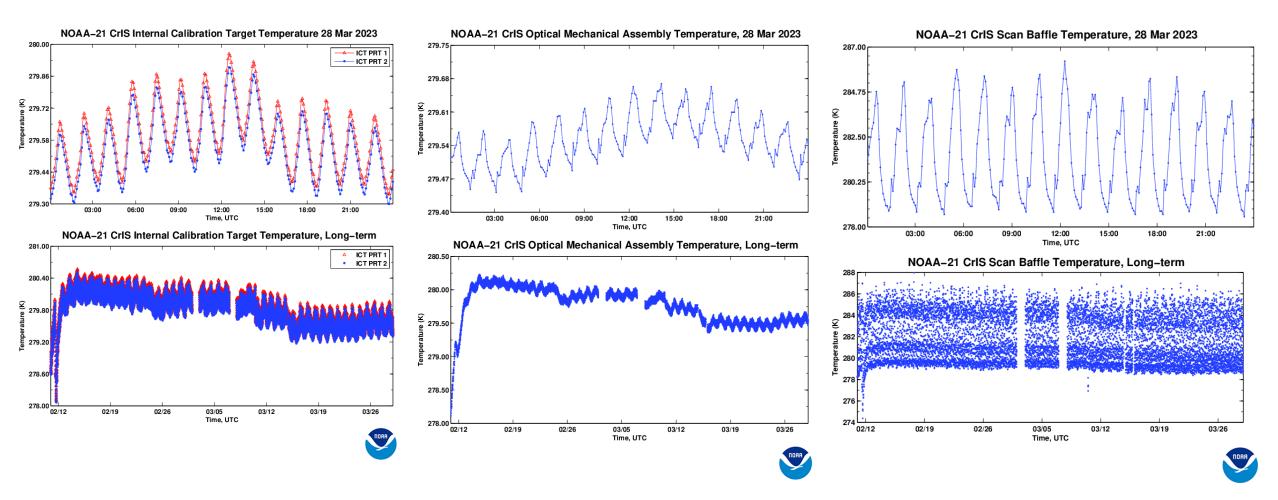
The Calibration Table for NOAA-21 CrIS was successfully uploaded on March 23, 2023 at 19:09:30Z (EP v211). A summary of the major updates include:

- a) Optimized geolocation mapping parameters
- b) Optimized spectral parameters (Instrument Line Shape and Neon Wavelength)
- c) Optimized radiometric nonlinearity a2 values

Optimized parameters were derived using the NOAA-21 CrIS on-orbit observations.

NOAA-21 CrIS Science Telemetry: ICT, OMA & Scan Baffle Temperatures

- Critical CrIS modules are at nominal temperatures, and are stable
- Includes Internal Calibration Target, Optical Mechanical Assembly, and Scan Baffle/SSM Mirror Temperatures



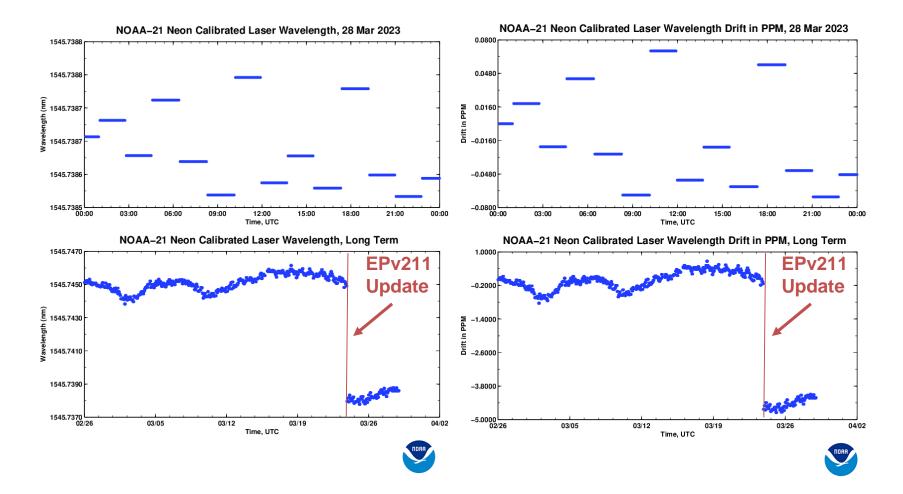
Provided by Peter Beierle

STAR





- Neon Calibrated Laser Wavelength is Stable and at a nominal level
- Value updated slightly (by ~4.5 ppm) after Engineering Packet v211 Update

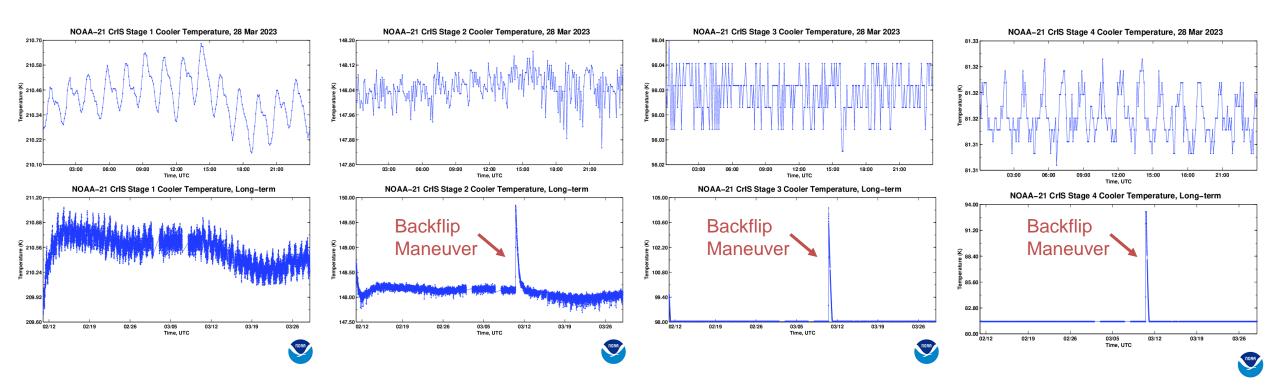


Provided by Peter Beierle





- Detector Stage Coolers are at nominal temperatures, and stable
- Spike in temperature of coolers during **Spacecraft Backflip Maneuver on 3/10**, but temperatures have since **lowered down once more to nominal temperatures and stabilized**.

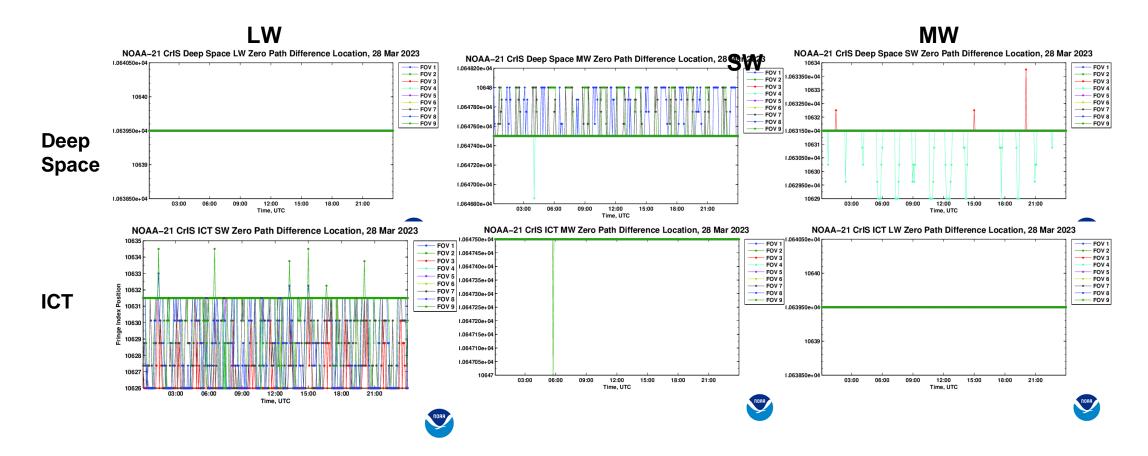


Provided by Peter Beierle





• NOAA-21 CrIS Interferometer's Zero Path Difference (ZPD) locations are at a nominal value and are stable.

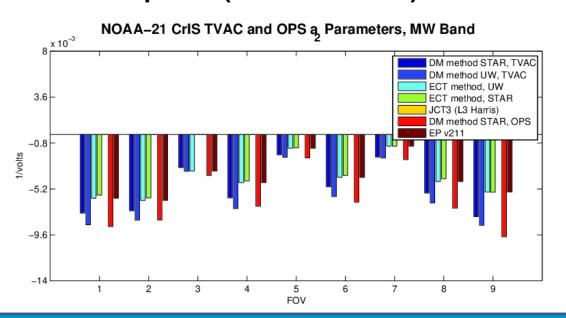


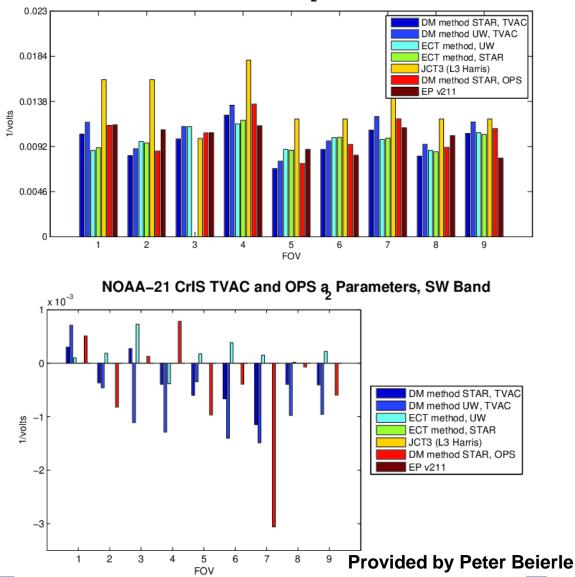
Provided by Peter Beierle



NOAA-21 On-orbit Nonlinearity Verification

- Diagnostic Mode Data from February 24 and 25, 2023.
- Good agreement between TVAC DM method, ECT method, and on-orbit calculation of nonlinearity
- Further Refinement Was made using Fov2Fov Radiometric comparisons on-orbit, used for EPv211 update (see UW slides)



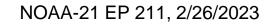


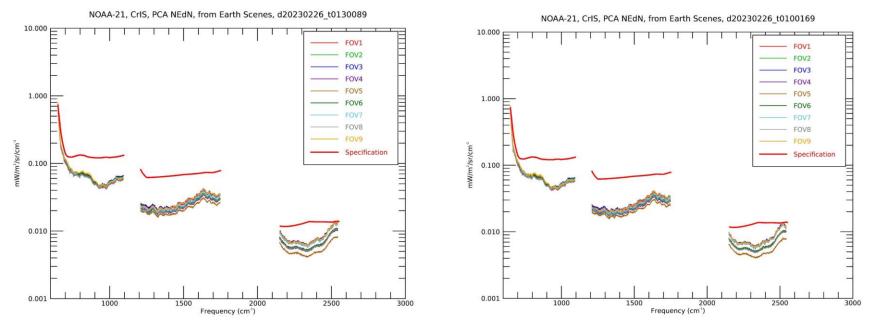
NOAA-21 CrIS TVAC and OPS a Parameters, LW Band





NOAA-21 EP 210, 5/26/2022





PCA NEdN (Turner Method) on 2/26/2023 from SDR on-orbit Earth Scenes for EP 210 and EP 211.

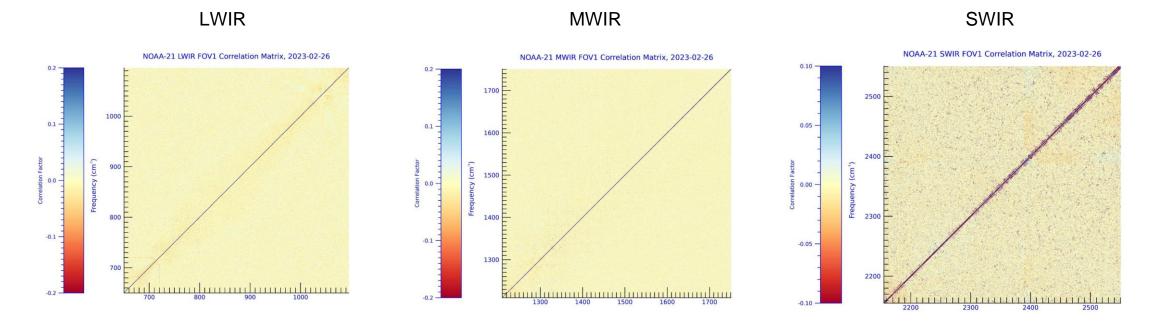
NEdN meets the requirements.

- EP 210 and EP 211 NEdN are consistent, and **on-orbit NEdN already meets the requirements.**
- NOAA-21 CrIS shows high consistency noise performance between FOVs.
- FOV spread in SW is a known effect of the algorithm (ISA correction) when applied to full resolution spectra.
- Specification line (bold red) does not include the self-apodization (or ILS) effects whereas the radiometric noise includes these effects (see slide 17).





NOAA-21 on-orbit Full Correlation Matrices for FOV 1 (LWIR, MWIR, SWIR) on 2/126/2023 for EP 211

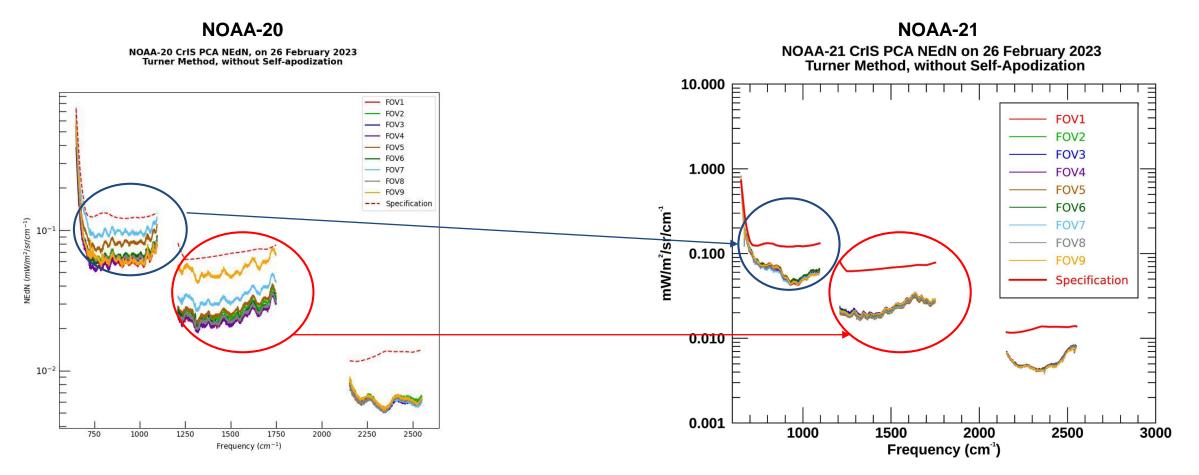


- SWIR near off-diagonal high values are due to SA effect (most for side FOVs, less for side FOVs, lesser for center FOV). This is similar to SNPP and NOAA-20 CrIS as expected.
- There is no sign of high cross correlation in noise between the channels.





PCA NEdN Turner Method on 2/26/2023 (Without Self-Apodization effect)

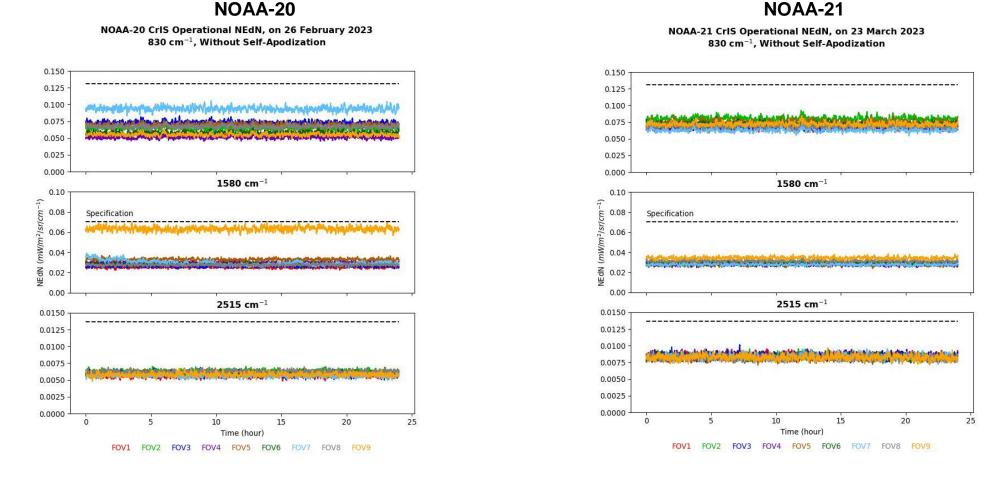


NOAA-21 has no outliers in the MWIR and has much less variance/spread in the LWIR and MWIR bands for NEdN (noise). Improved consistency in noise levels between the FOVs.





NEdN at Scan Level



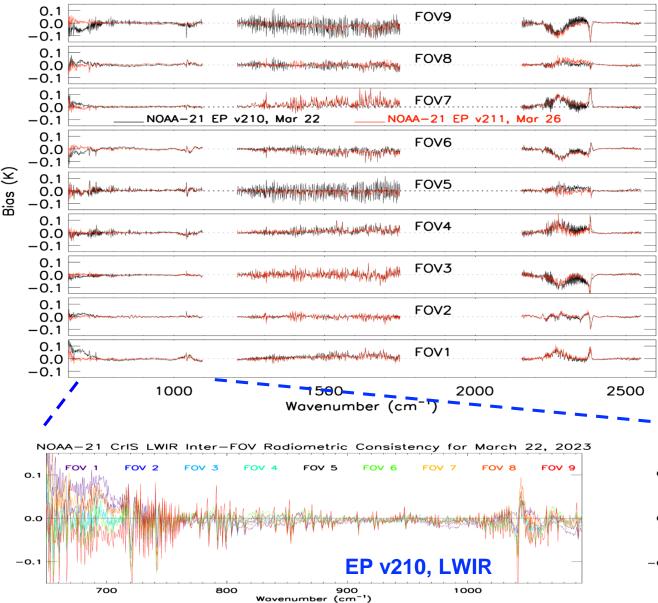
NOAA-21 CrIS Radiometric noise (NEdN) has shown stability and consistency for all detectors.

Provided by Denis Tremblay

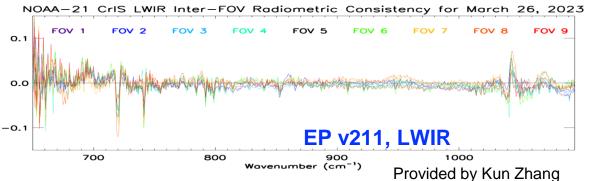


NOAA-21 CrIS FOV-2-FOV Variability with EP v211 Update





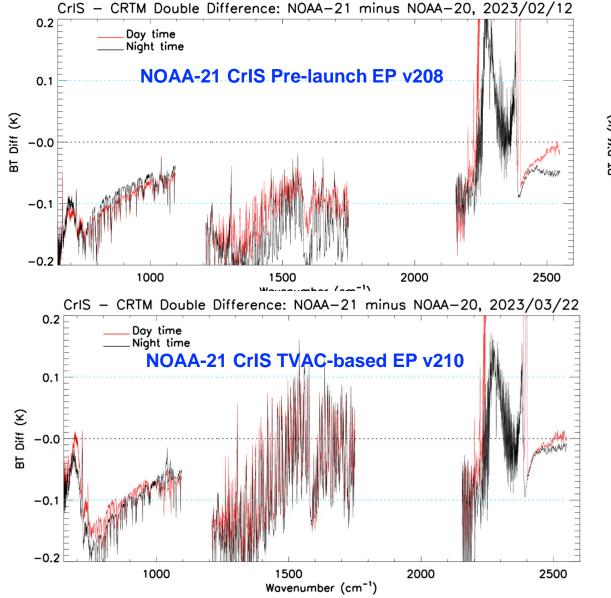
- Intercomparison of FOV-2-FOV variability before (March 22) and after (March 26) the EP v211 update.
- The NOAA-21 CrIS FOV-2-FOV relative radiometric variability is within +/- 0.1 K for all three bands after the Engineering Packet v211 update.
- The radiometric consistency improvement at MWIR is related to the optimized spectral parameters.
- Improved FOV-2-FOV radiometric consistency at LWIR as a result of optimized nonlinearity a2 values.

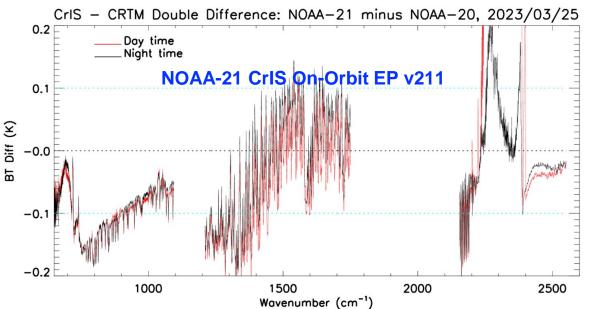




NOAA-21 CrIS Radiometric Comparison with NOAA-20 CrIS







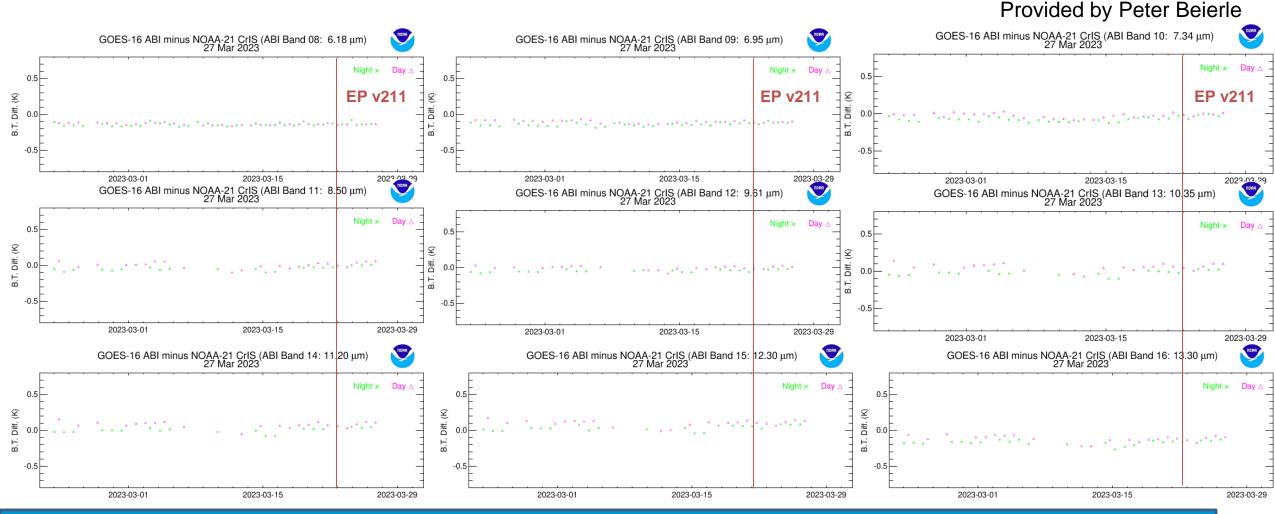
- Radiometric differences with the latest calibration table update (EP v211) are within +/- 0.2 K for most of channels in three bands.
- The non-linearity calibration update reduced the radiometric difference at LWIR.
- All FOVs and FORs for clear-sky observations over ocean between +/- 65 deg latitude were selected for March 25, 2023.
- NOAA-21 CrIS Calibration Table v211 in Provided by Kun Zhang



NOAA-21 CrIS SDR/GOES-16 ABI Radiometric Inter-comparisons



- ABI bands 8-11 correspond to CrIS MWIR band and bands 12-16 correspond to CrIS LWIR band.
- The comparison of the two instruments shows temporally stable brightness temperature biases (within 0.2K), <u>indicating the highly stable calibration of NOAA-21 CrIS Radiances</u>.

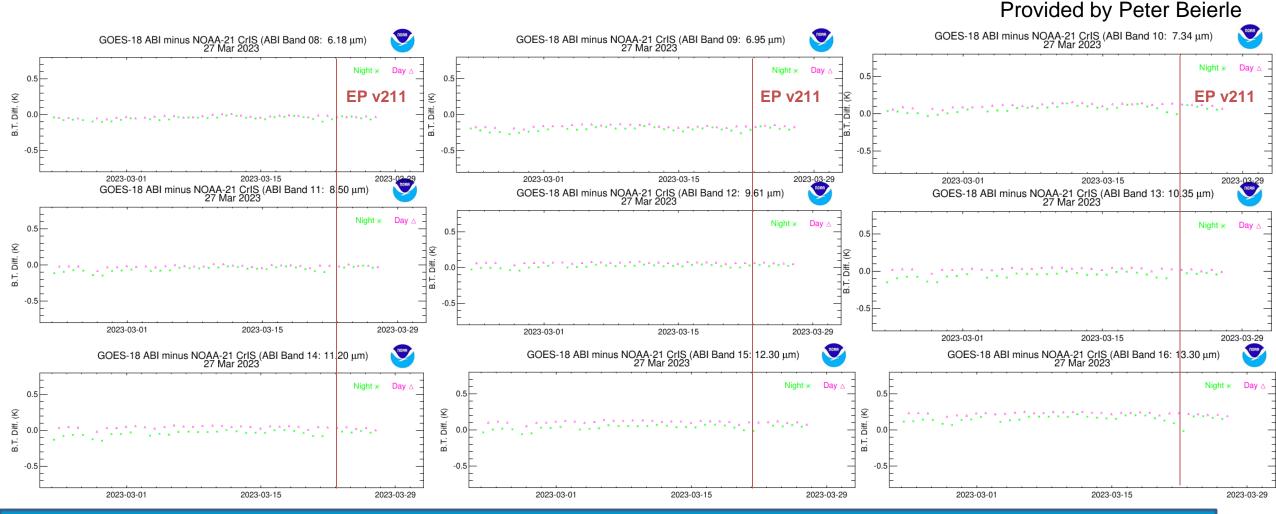




NOAA-21 CrIS SDR/GOES-18 ABI Radiometric Inter-comparisons



- ABI bands 8-11 correspond to CrIS MWIR band and bands 12-16 correspond to CrIS LWIR band.
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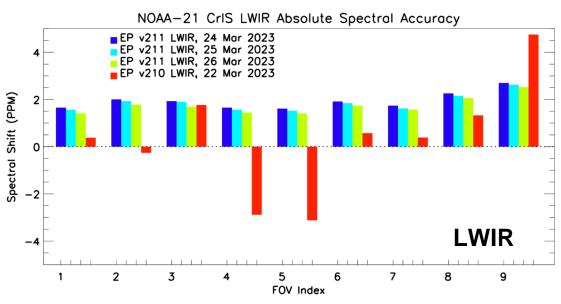


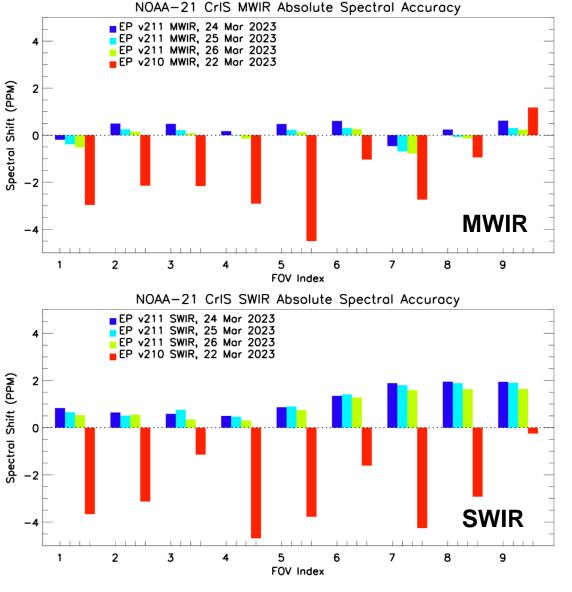


NOAA-21 CrIS Absolute Spectral Accuracy with EP v211 Update



- The NOAA-21 CrIS shows consistent absolute spectral shift for all three bands on March 24, 25, and 26 after EP v211 update.
- Significant spectral performance improvement compared to the Beta maturity EP v210.
- Absolute spectral shift within 2 ppm for LWIR, MWIR, and SWIR band.





Provided by Kun Zhang

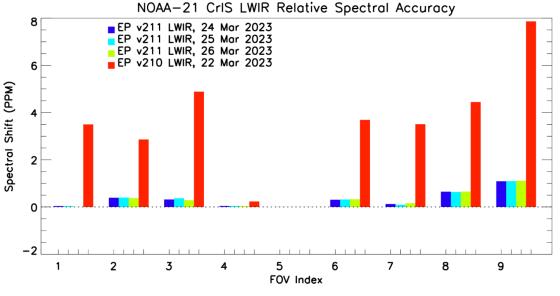


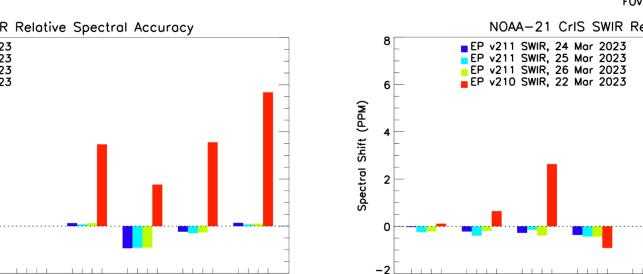
NOAA-21 CrIS FOV-2-FOV Spectral Shift with EP v211 Update



• The NOAA-21 CrIS shows FOV-2-FOV spectral shift within 1 ppm for all three bands after EP v211 update.

• Significant spectral performance improvement compared to the Beta maturity EP v210.





2

1

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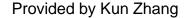
NOAA-21 CrIS SWIR Relative Spectral Accuracy

5

FOV Index

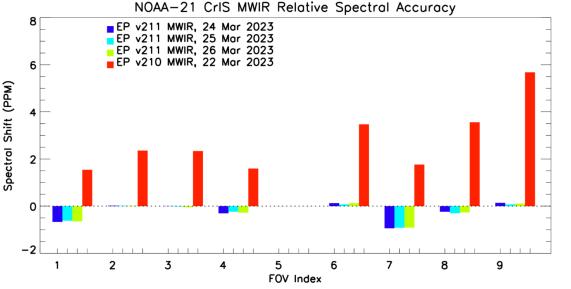
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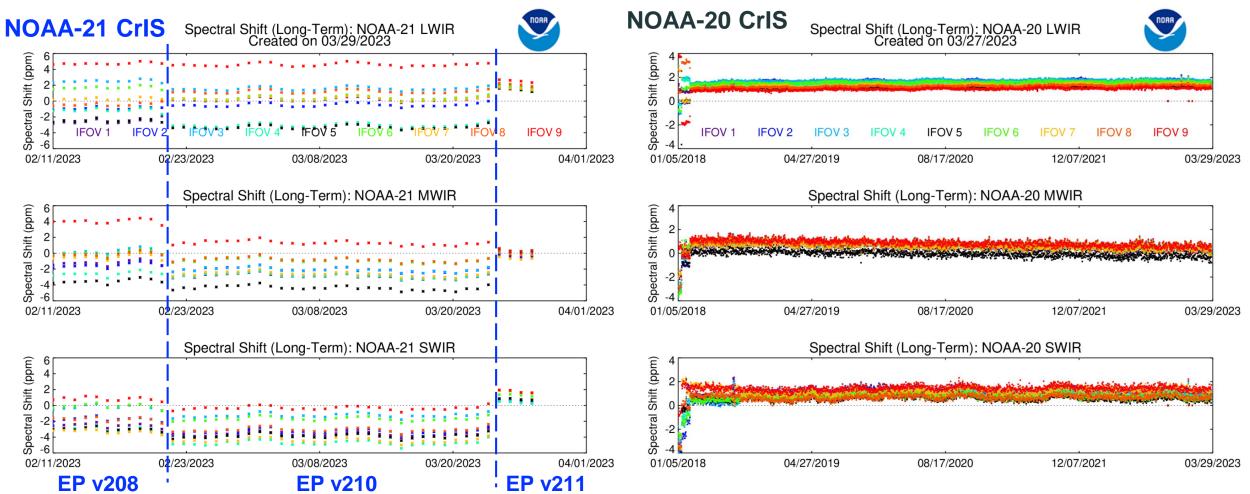
9





NOAA-21 CrIS Spectral Accuracy Comparisons with NOAA-20





- The NOAA-21 CrIS shows significant improvement of spectral accuracy after EP v211 update.
- The absolute spectral accuracy is reduced to within +/- 2 ppm for all three bands and has consistent performance as the operational NOAA-20 CrIS. Provided by Kun Zhang

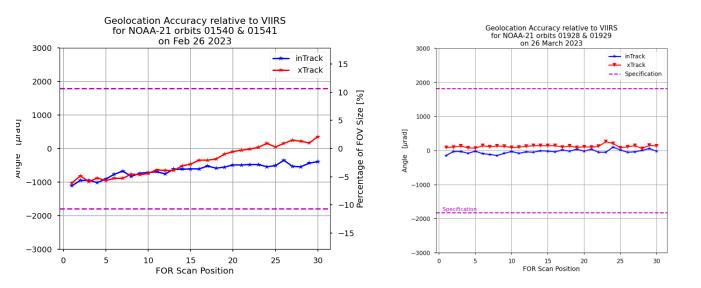
Geolocation Accuracy: NOAA-21 EP 210 vs EP 211 and Time Series



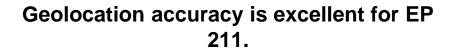
EP 210

-

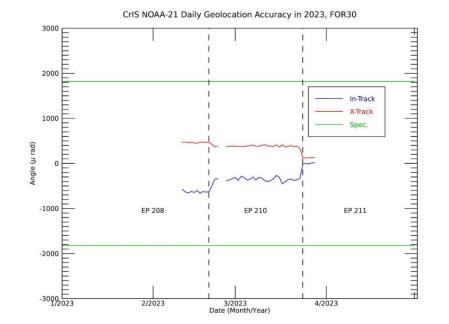
EP 211



- Geolocation meets the requirements for EP 211.
- Worst case performance: Geolocation uncertainty amounts to about 250
 m. at nadir with EP V211.
- Future geolocation adjustment will consider adjustment of the VIIRS geolocation and potential annual/semi-annual variation.



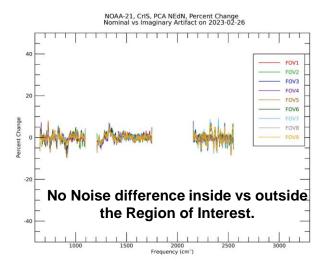
Time Series

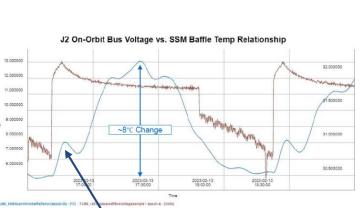






- What: Slightly elevated imaginary radiance levels have been observed between 45-60 deg. S Latitude Region.
- Why: Results from heating of the SSM baffle when illuminated by the Sun at high latitudes when leaving satellite eclipse, causing a thermal transient seen in the Dynamic Alignment System response and Instrument temperatures.
- The impact of this feature has been quantified and does not impact the quality of the NOAA-21 CrIS SDR data.





N21 CrIS FSR imaginary radiance, 11 µm (900 cm³), Mapped, Descending, 02/12/2023

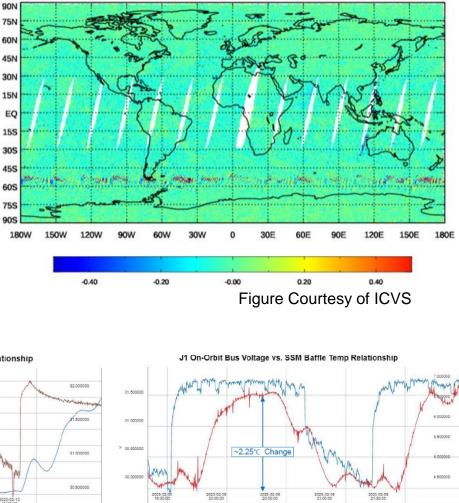


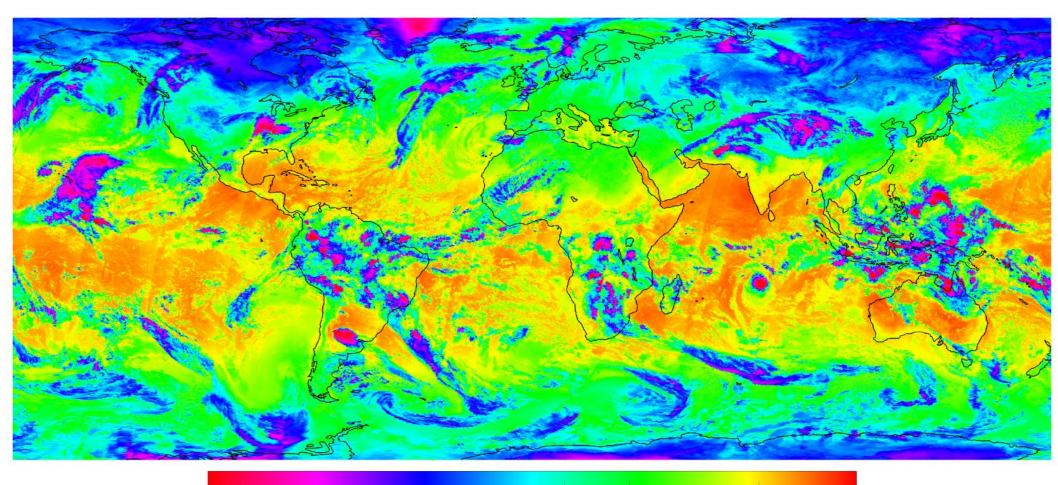
Figure Courtesy of L3 Harris

Heat pulse associated with the removal of a coating from the exterior of the baffle on J2 and forward



NOAA-21 CrIS SDR Calibration and Validation Efforts from University of Wisconsin/SSEC Cal/Val Science Team





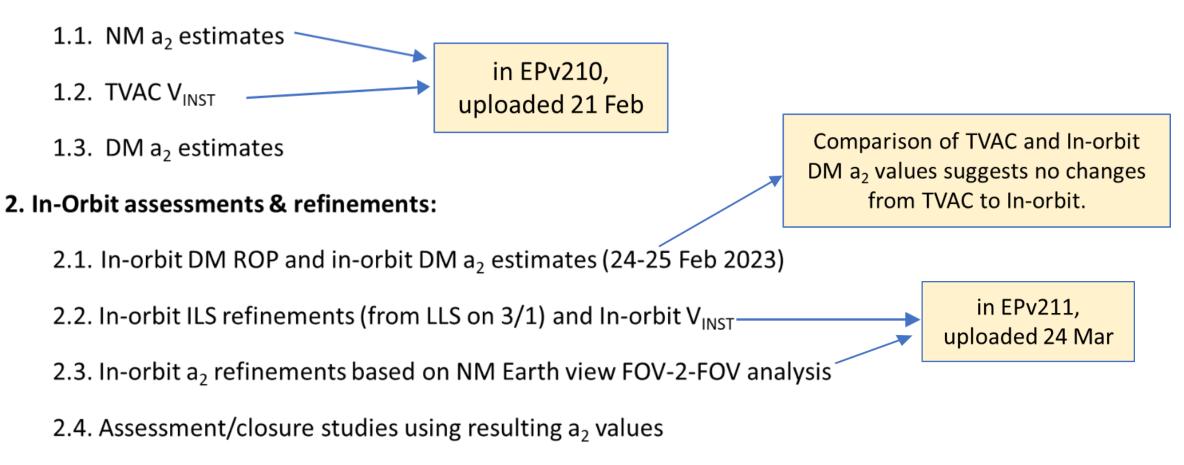






1. Pre-launch Ground Testing:

Stepped ECT NM data and DM data collections in MN TVAC (in 2020)

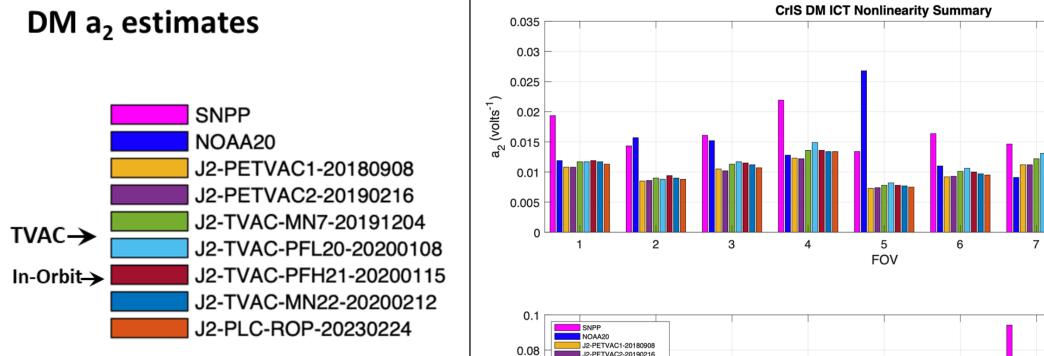


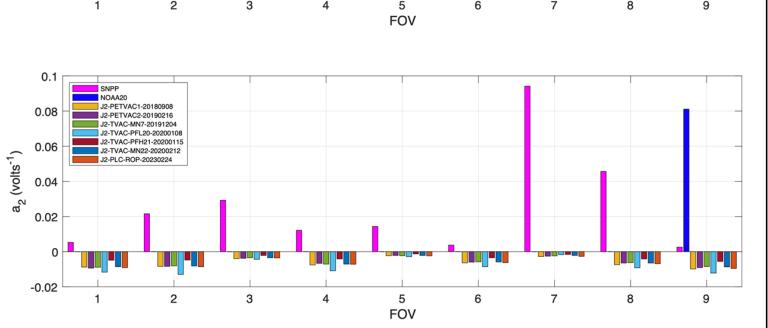
* Note agreement between subsequent CrISes or other sensors is not considered in this process



DM Nonlinearity Estimates











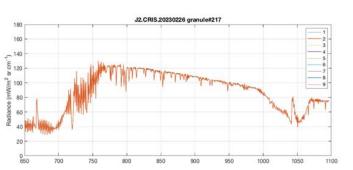
Results follow the same methodology as for SNPP and NOAA20, and are median a₂ multipliers for Midlatitude spatially uniform FOR data.

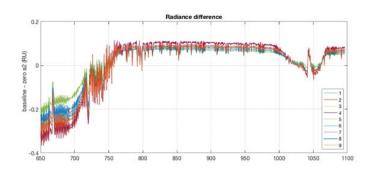
Uses data for 26 Feb to 2 Mar 2023 (5 full days)

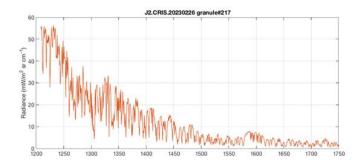
LW:

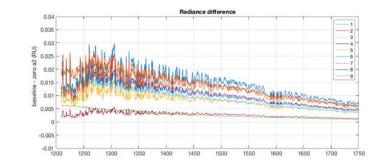
uses 680-690 cm⁻¹ radiance means, FOV5 as reference FOV, and 4 sets of data: EPv210 a₂ x [0.0 0.75 1.0 1.25]

Example ES NLC, for NOAA-21 using EPv210







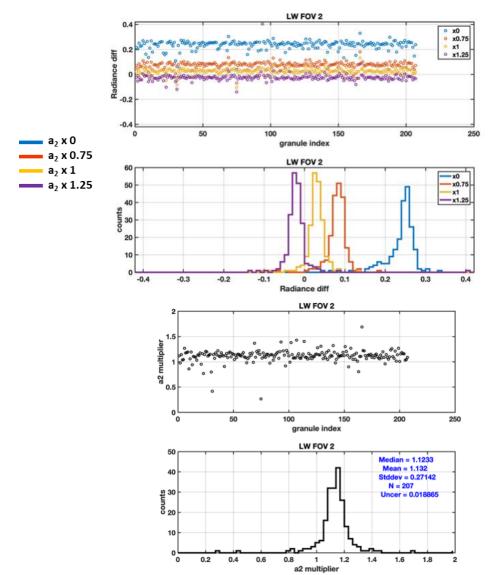




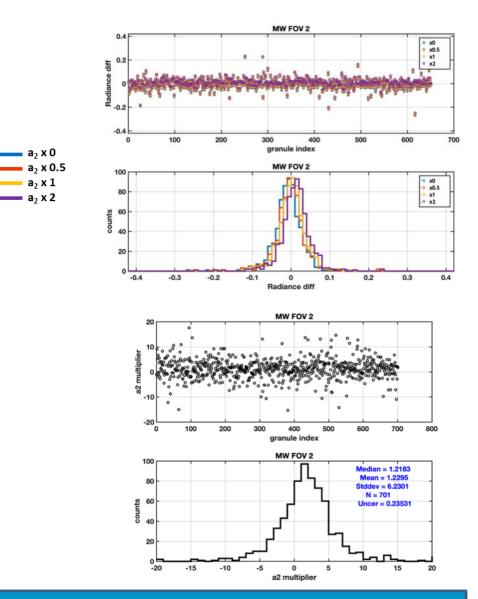
Example results: FOV-2-FOV Differences and a2 Fits



LW FOV2



MW FOV2



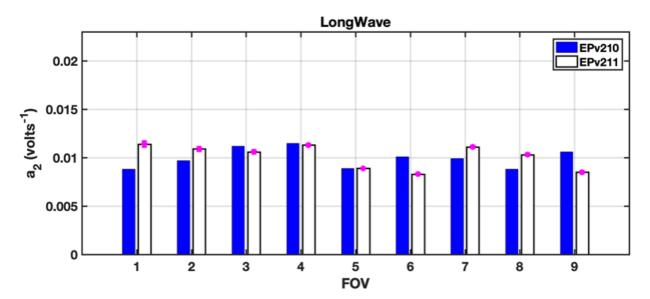


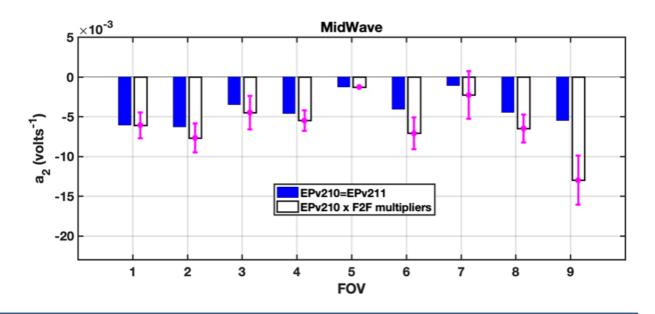


Feb 14

- LW band: FOV-2-FOV adjustments are straightforward and nice closure with various assessments
- MW band NL is very small (slightly negative) and FOV-2-FOV adjustment spread and uncertainty is significantly larger, and some closure assessments are inconclusive, with some possible degradation, at this point.

- For EPv211 and Provisional review, recommended FOV-2-FOV refinements for LW a₂ values, but leave MW at pre-launch TVAC values
- Continue to assess MW band with refinements possible for Validated stage review/EP.









- FOV-2-FOV spectral
- FOV-2-FOV radiometric
- CrIS/VIIRS
- Clear sky Obs-Calcs and Double Diffs
- IASI SNOs, AIRS SNOs and Double Diffs

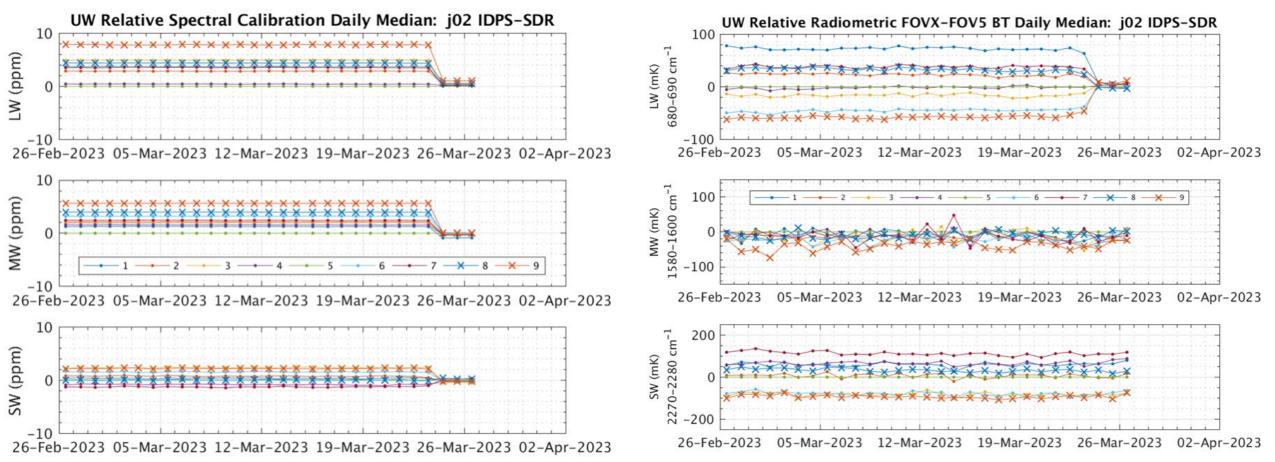
All show very good spectral and radiometric calibration and support use of EPv211





NOAA-21 CrIS Relative Radiometric Calibration

NOAA-21 CrIS Relative Spectral Calibration



- NOAA21 CrIS Engineering Packet v211 uploaded on 23 March 2023 19:09:30Z
- LW, MW, and SW FOV-2-FOV spectral calibration is better than 2ppm and similar to SNPP/NOAA-20 performance
- LW, MW, and SW FOV-2-FOV radiometric calibration is very good and similar to SNPP/NOAA-20 performance

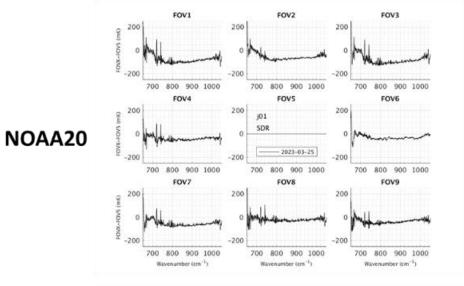


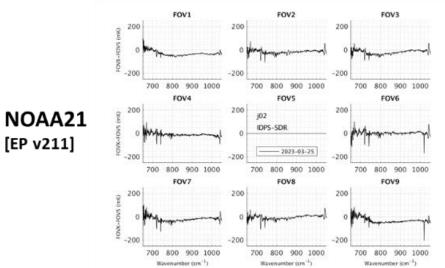


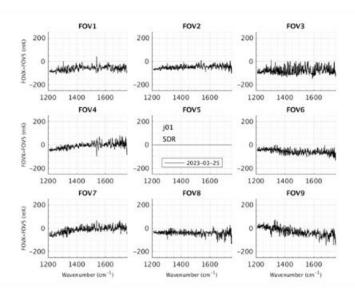
Daily Mean 60S-60N 25 March 2023

[EP v211]

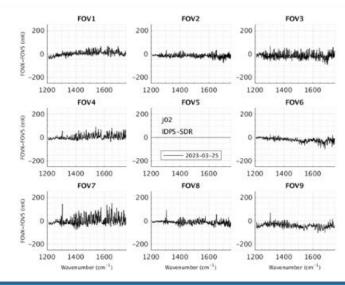
Longwave



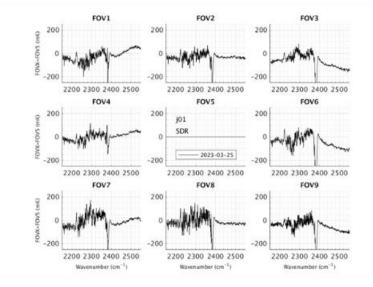


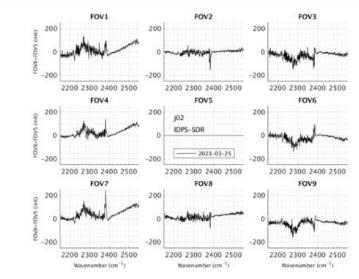


Midwave



Shortwave

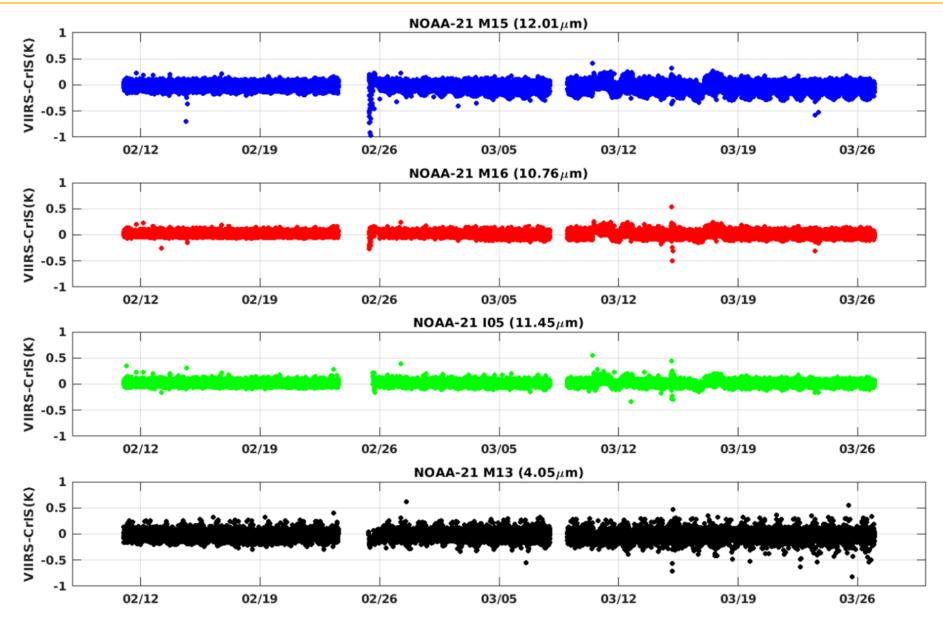






CrIS/VIIRS Comparison Timeline, since Feb 10



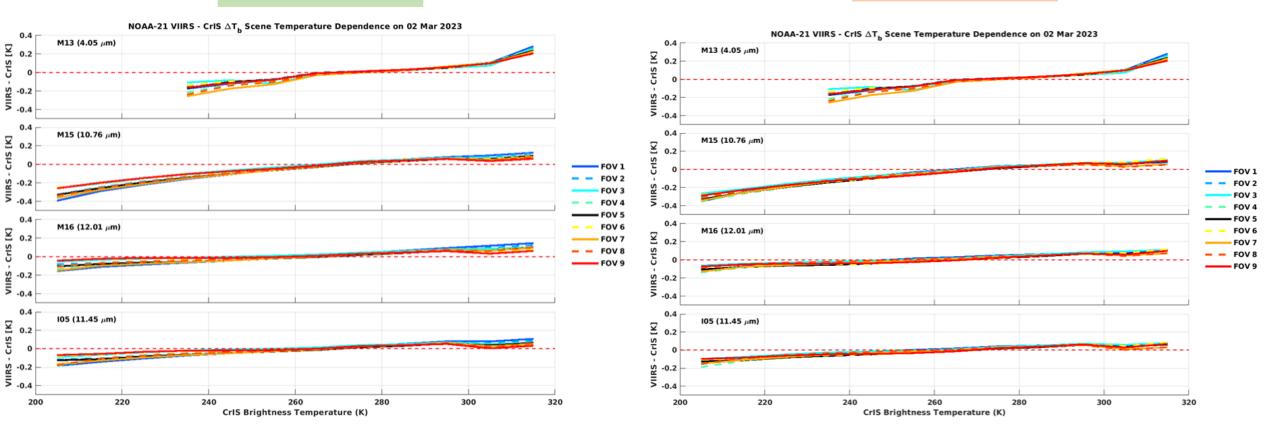






CrIS with EPv210

CrIS with EPv211



Overall behavior is very similar to SNPP and NOAA-20 comparisons

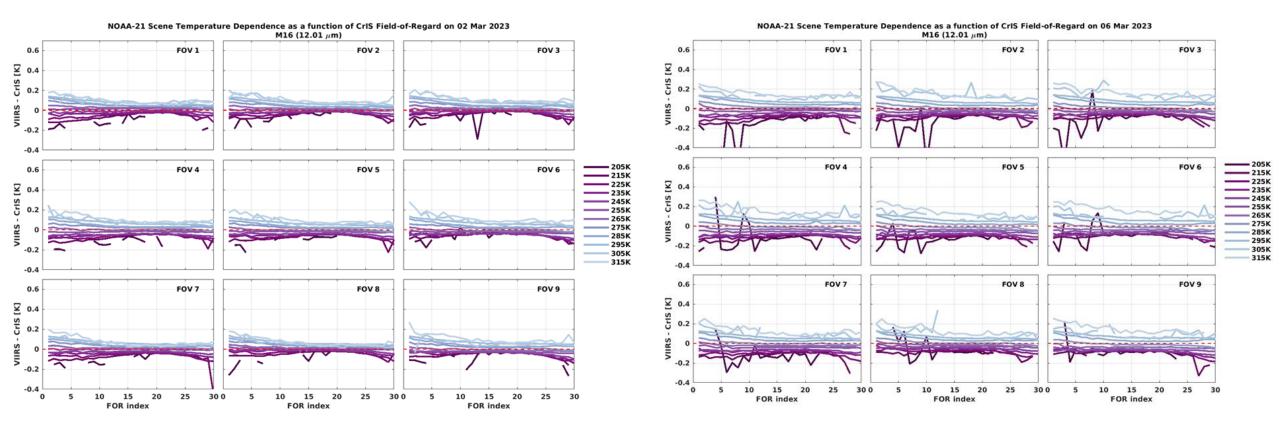
Better agreement among FOVs for EPv211 compared to EPv210





CrIS with EPv211 02 March 2023

06 March 2023



VIIRS cold focal planes temperature change on 3/3

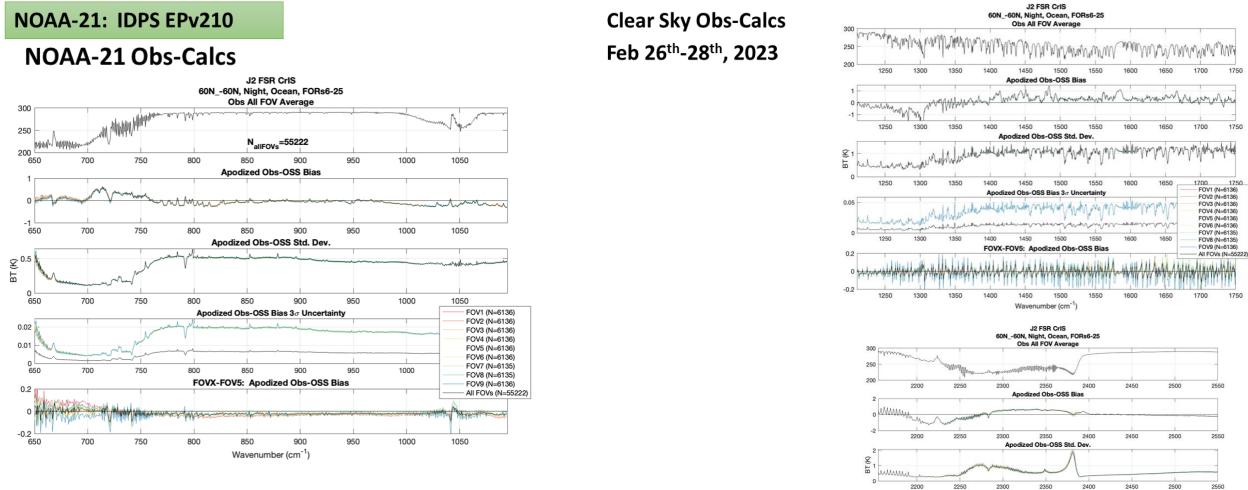
Slightly better agreement with CrIS prior to this change





1750

1750



LW spread due to NL and MW+SW "hash" due to ILS

FOV1 (N=6136) FOV2 (N=6136)

FOV3 (N=6136) FOV4 (N=6136) FOV5 (N=6136) FOV6 (N=6136)

FOV7 (N=6135) FOV8 (N=6135)

FOV9 (N=6136)

2500

- All FOVs (N=55222

Anodized Obs-OSS Biss 3 - Uncertaint

2350

FOVX-FOV5: Apodized Obs-OSS Bias

2350

Wavenumber (cm⁻¹)

2400

2400

2450

2200

2200

0.2

0 -0.2 -0.4

2250

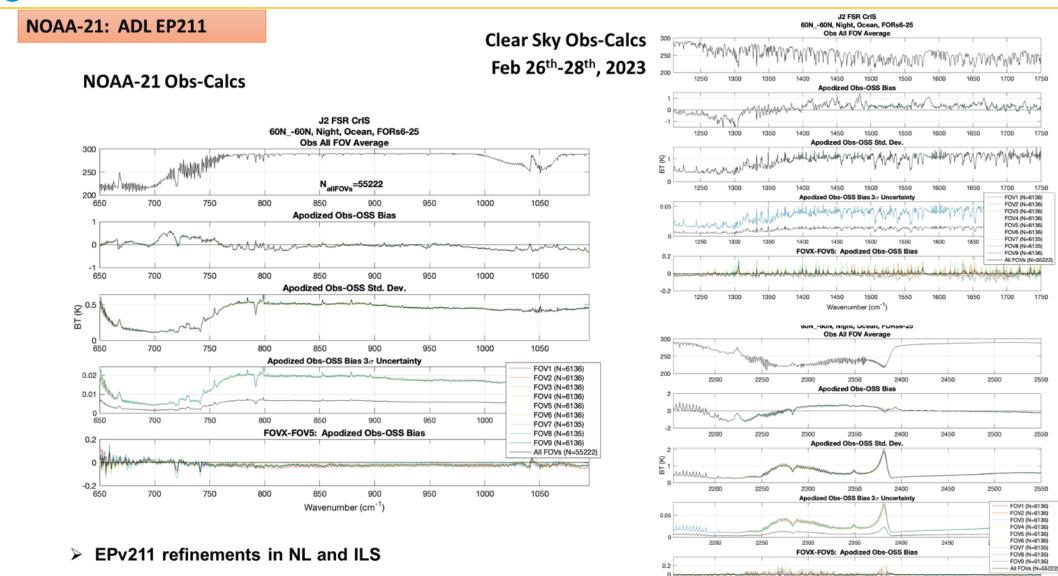
2250

2300

2300







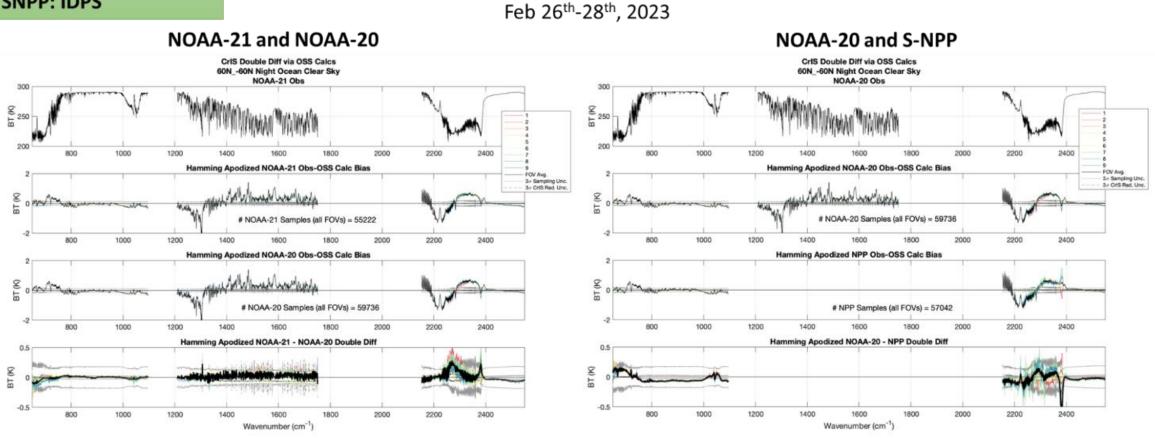
-0.2

Wavenumber (cm⁻¹





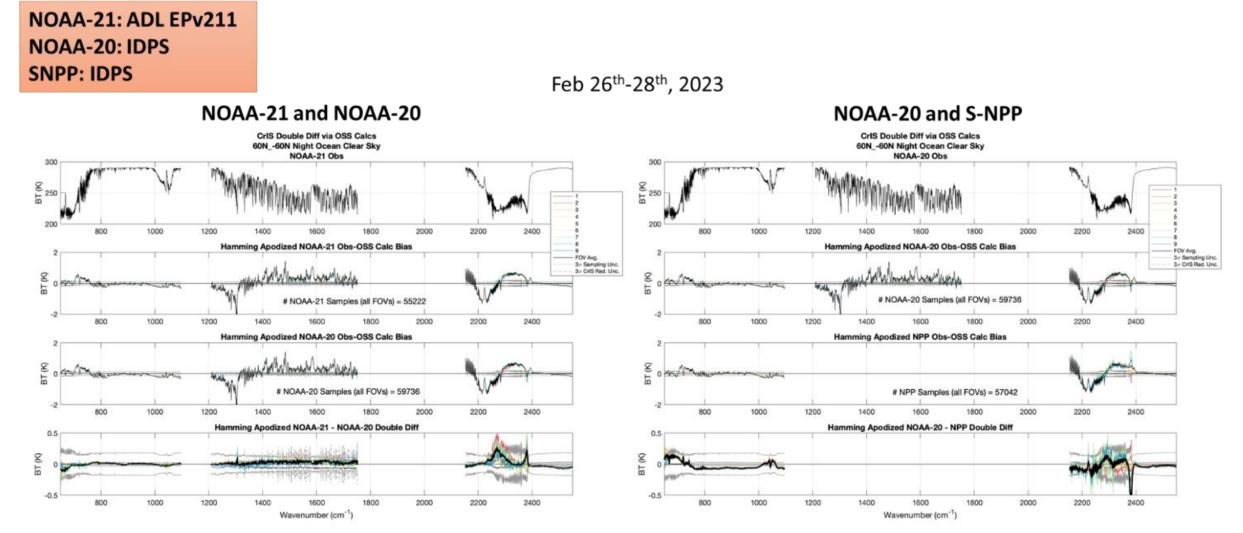
NOAA-21: IDPS EPv210 NOAA-20: IDPS SNPP: IDPS



Overall good performance for NOAA-21 but larger FOV spread in LW, and spectral "hash" in MW and SW







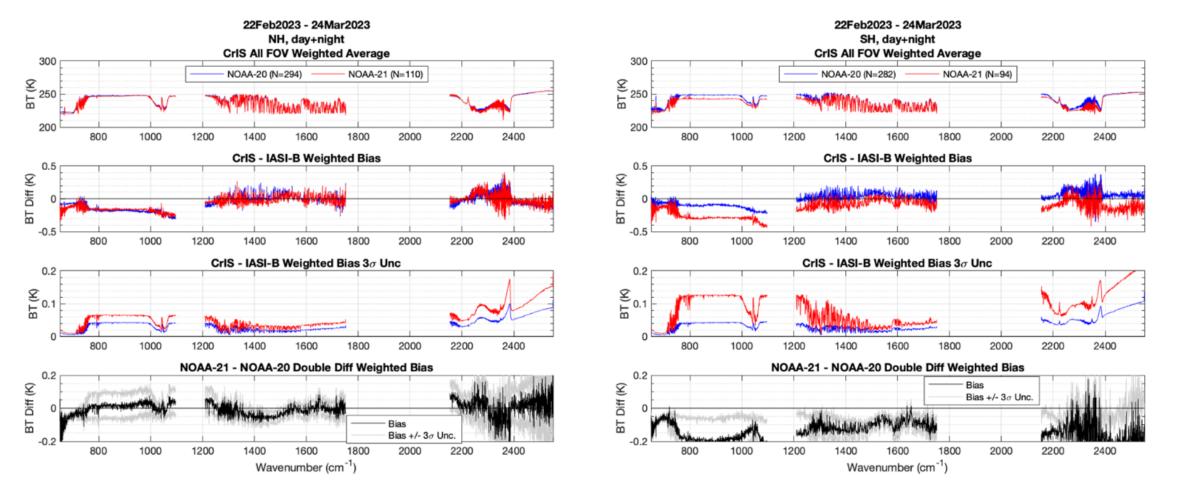


NOAA-21 - NOAA-20 via IASI-B SNOs

SH



NH



Good agreement between both CrISes and IASI-B

**Uncertainty shown in 2 bottom panels here is spatial sampling uncertainty - sqrt(1/sum of weights)

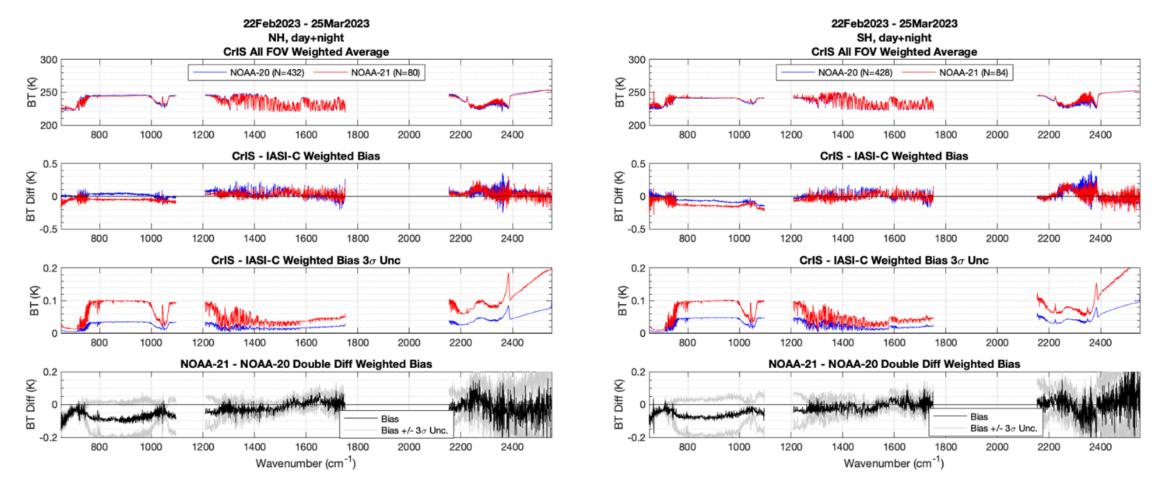


NOAA-21 - NOAA-20 via IASI-C SNOs

SH



NH

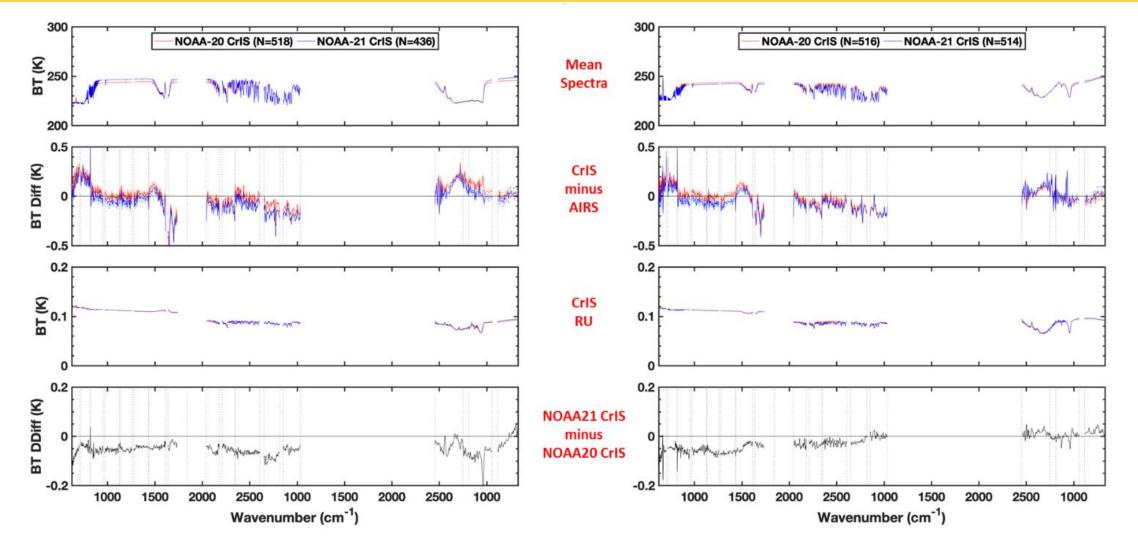


Good agreement between both CrISes and IASI-C

**Uncertainty shown in 2 bottom panels here is spatial sampling uncertainty - sqrt(1/sum of weights)

NOAA-21 - NOAA-20 via AIRS SNOs (with analysis performed with spectral sampling similar to CrIS/IASI SNOs)





NOA

Double Differences show good agreement between NOAA-20 and NOAA-21 CrIS, and similar behavior/differences as IASI SNO results





- 1. Derivation of PolCor calibration coefficients from the 3/10 Pitch maneuver data, and processing of data with PolCor turned on
- 2. Continue to study MW NLC and assess possible MW a₂ refinements
- 3. Assess FOV-2-FOV cross-talk
- 4. Assess interferometric/correlated noise
- 5. Provide Radiometric Uncertainty estimates
- 6. IASI and AIRS SNOs and Double Diffs
- 7. Assess artifacts via PCA





Name	Organization	Application	User Feedback - User readiness dates for ingest of data and bringing data to operations
Ken Pryor <u>ken.pryor@noaa.gov</u>	NOAA/STAR NUCAPS Team	Atmospheric Sounding	March 29, 2023

NOAA-21 ATMS/CrIS show high quality with respect to NOAA-20, as demonstrated by no additional tuning needed for the NUCAPS algorithm to generate high quality NOAA-21 NUCAPS EDR products.

NOAA-21 NUCAPS EDR Products



Evaluation of NOAA-21 NUCAPS EDR Products in Support of CrIS SDR Provisional Maturity

Ken Pryor, Murty Divakarla, Tong Zhu, Juying Warner, Margarita Kulko, Nick Nalli, Mike Wilson, Changyi Tan and Zaizhong Ma



NOAA-21 NUCAPS Environmental Data Record (EDR) Products Evaluation



- > NOAA-20 NUCAPS v3.1 (v3.0 Operational version + updates)
- > NOAA-21 Ready NUCAPS v3.1 (Currently using NOAA-20 v3.1 LUTs)

Focus Days	CrIS Engr Pckg	Satellites & EDR Evaluations
02/16/2023 02/20/2023	EP v208 EP v210	NOAA-20 & NOAA-21 <i>T(p), q(p),</i> O3(<i>p</i>) <i>vs</i> ECMWF NOAA-20 <i>vs</i> NOAA-21 OLR NOAA-20 & NOAA-21 <i>vs</i> TROPOMI, OCO-2, TCCON
02/27/2023 03/24/2023 IDPS	EP v211 (ADL) EP v211 (IDPS OPS)	NOAA-20 & NOAA-21 <i>T(p), q(p),</i> O3(<i>p</i>) vs. ECMWF NOAA-20 vs. NOAA-21 OLR NOAA-20 & NOAA-21 <i>vs</i> TROPOMI, OCO-2, TCCON

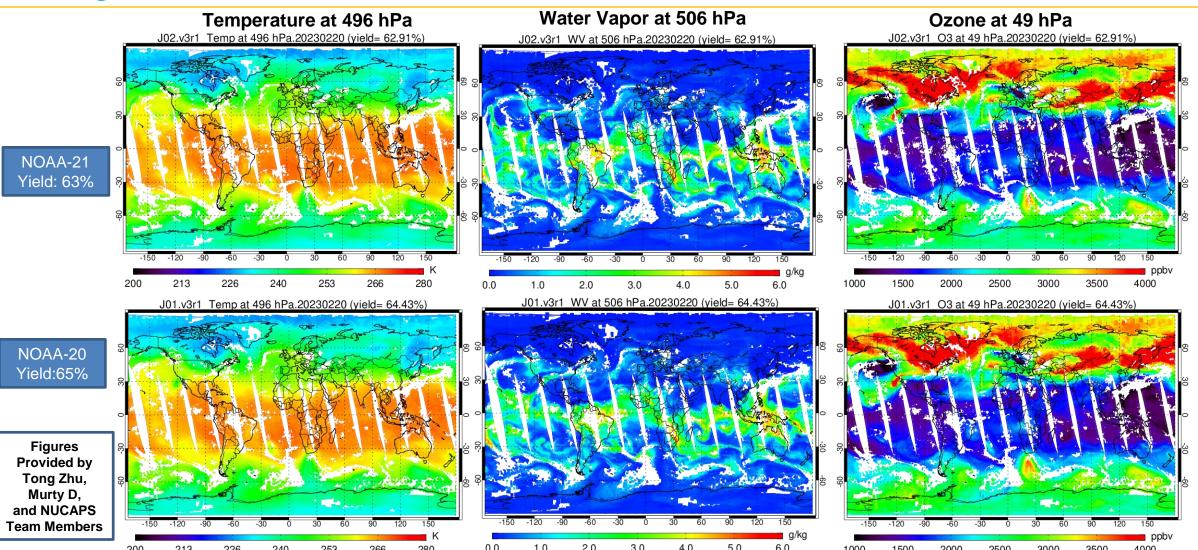
Focus Days	NOAA-20 (v3.1)	NOAA-21 (v3.1)	ECMWF	TROPOMI	OCO-2	TCCON	OLR
02/16/2023 02/20/2023*	✓ Yes	✓ Yes	✓ Yes	✓ Yes	✓ Yes	 Not Available 	✓ Yes
02/27/2023 03/24/203	✓ Yes	✓ Yes	✓ Yes	✓ Yes	✓ Yes	 Not Available 	✓ Yes

- ▶ Global maps and Statistical metrics (NOAA-20 & NOAA-21) vs ECMWF (T, q, O3)
- ▶ Global maps of CO, CH4, and CO2 (NOAA-20 & NOAA-21 vs TROPOMI CO, CH4, and OCO-2 v11
- > OLR Plots: NOAA-21 vs NOAA-20 for 02/20, 03/24
- > TCCON Measurement Matches: NOAA-20 & NOAA-21 vs TCCON (Currently Not Available)



NOAA-21 vs NOAA-20 NUCAPS EDR retrievals for 2023/02/20 (EP v210)

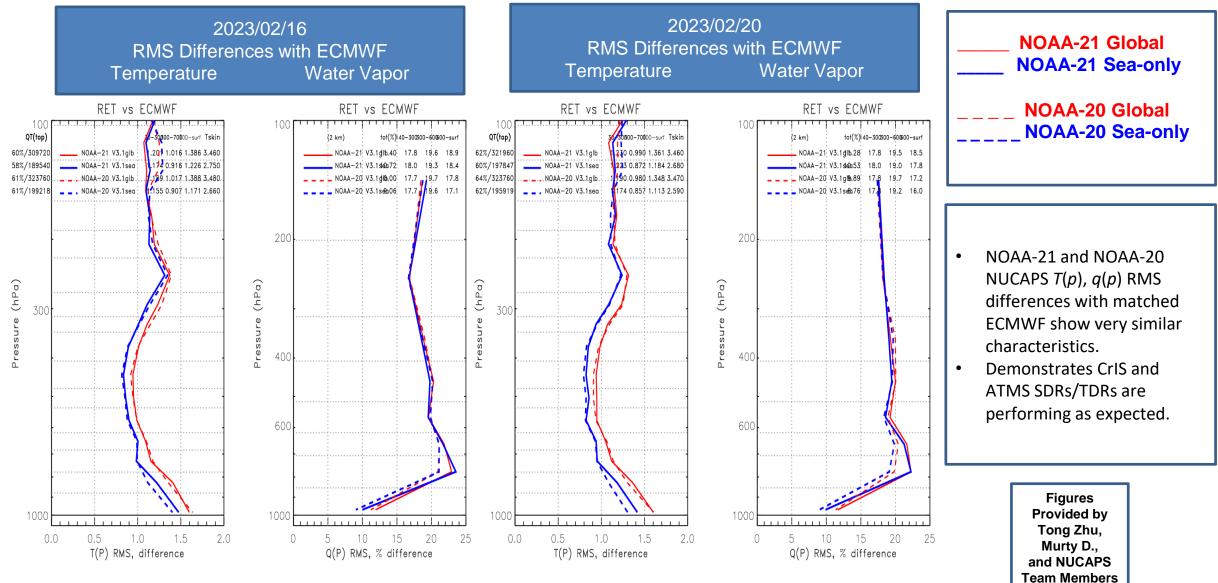




NOAA-21 NUCAPS EDR retrievals from J2-Ready algorithm matches very well both qualitatively and quantitatively with the NOAA-21 operational NUCAPS EDRs. The algorithm produces vertical profiles of temperature, water vapor, ozone, CO, CH4, and CO2. Retrieved profiles (100 layers) span from surface to 0.01 hPa.

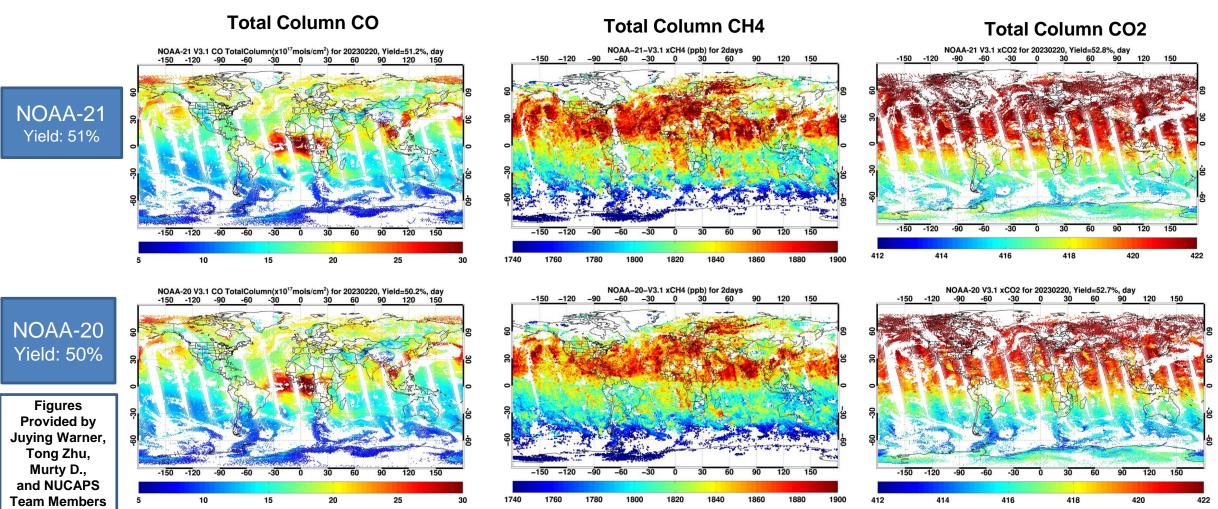








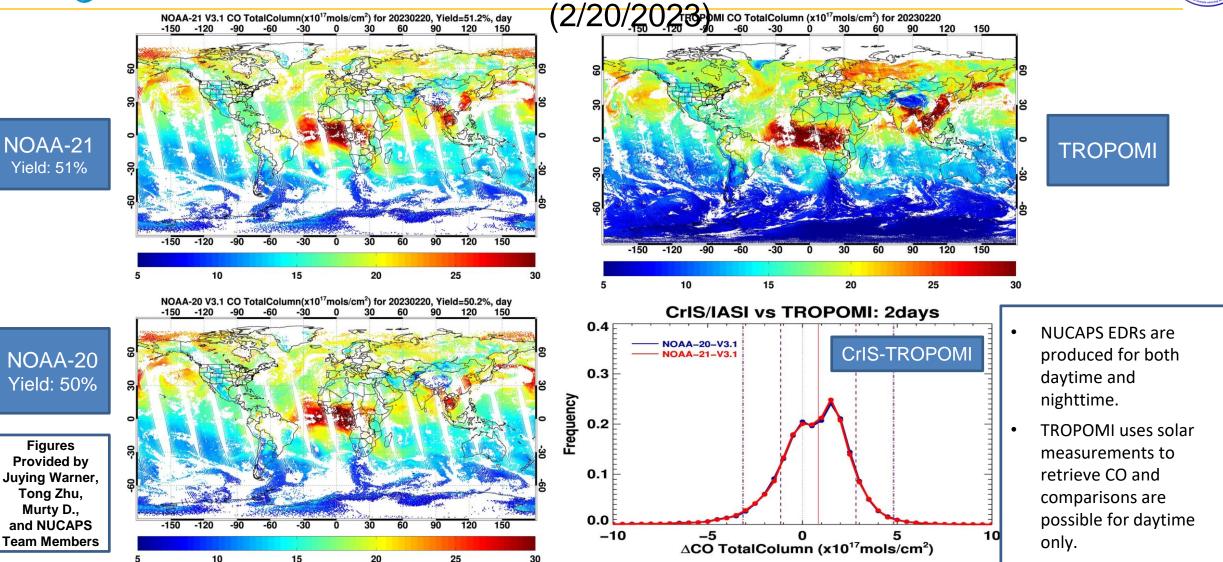




NOAA-21 NUCAPS trace gas EDR products from J2-Ready algorithm matches very well both qualitatively and quantitatively with the NOAA-20 operational NUCAPS EDRs. Retrieved trace gas profiles (100 layers) span from surface to 0.01 hPa. Figures show Total Column CO, CH4, and CO2 EDRs. We are currently evaluating these EDRs with TROPOMI/OCO-2 products as well as TCCON in-situ Measurements.



Total Column CO NOAA-21 vs NOAA-20 NUCAPS 3.1 vs TROPOMI

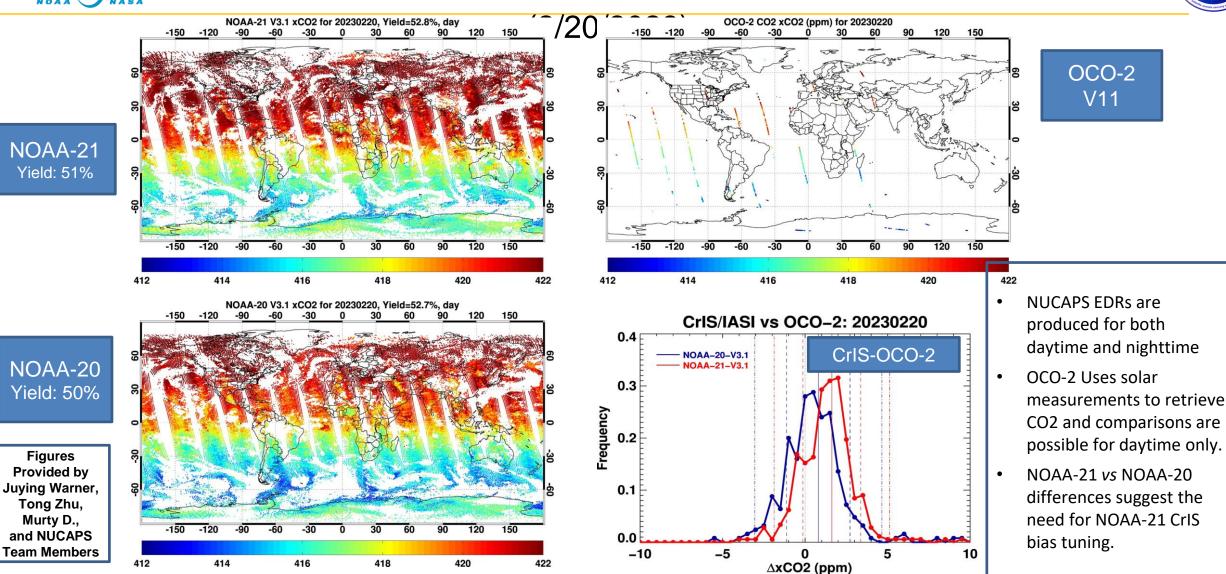


NOAA-21 NUCAPS CO EDR retrieval from J2-Ready algorithm matches very well both qualitatively and quantitatively with the NOAA-20 operational NUCAPS EDRs. Retrieved CO profile (100 layers) span from surface to 0.01 hPa. Shown here is the total column CO vs TROPOMI.



Total Column CO2 NOAA-21 vs NOAA-20 NUCAPS 3.1 vs OCO-2





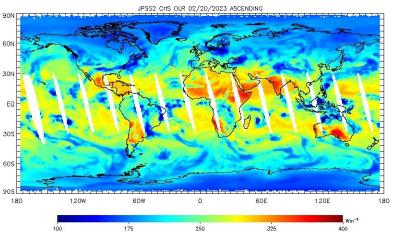
NOAA-21 NUCAPS EDR retrievals from J2-Ready algorithm matches very well both qualitatively and quantitatively with NOAA-20 operational NUCAPS EDRs. Retrieved trace gas profiles (100 layers) span from surface to 0.01 hPa. Shown here is the total column CO2 vs OCO-2. 5



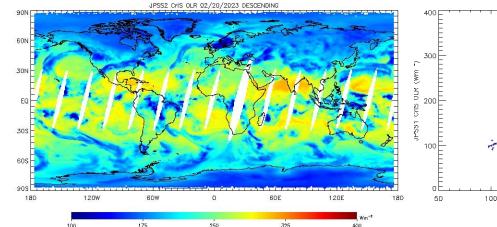
NUCAPS CrIS OLR NOAA-21 vs NOAA-20 (02/20/2023)



CrIS NOAA-21 Ascending



CrIS NOAA-21 Descending



CrIS vs. CrIS 02/20/2023 ASCENDING

250

300

350

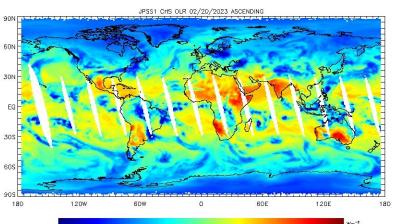
400

150

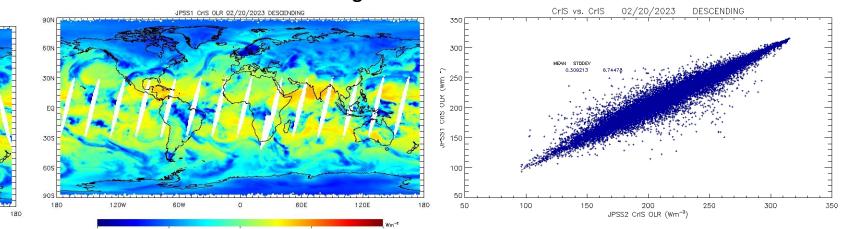
200

JPSS2 CrIS OLR (Wm-2

CrIS NOAA-20 Ascending



CrIS NOAA-20 Descending

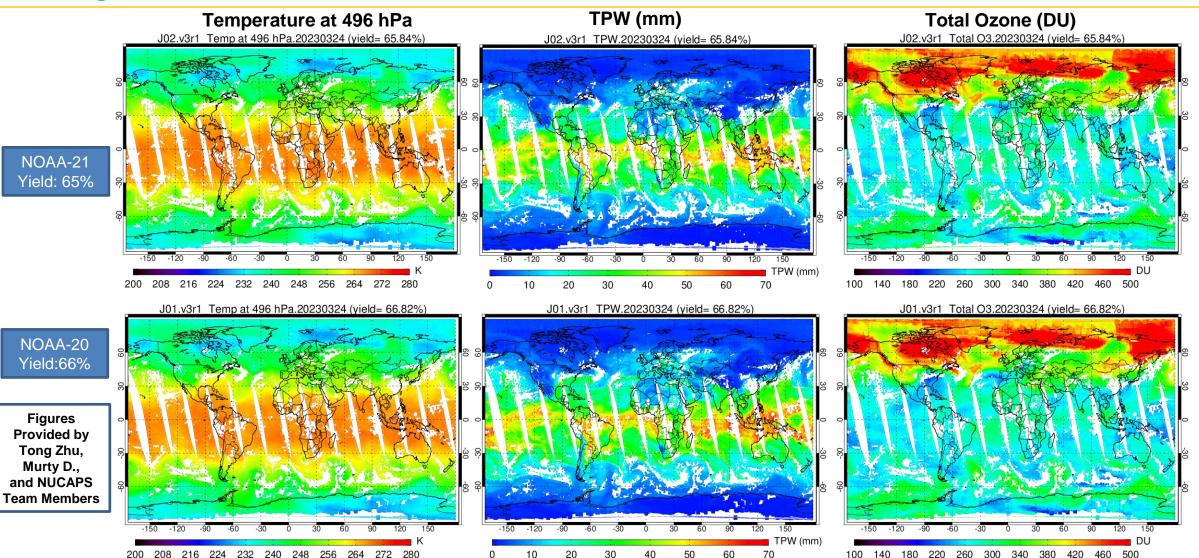


CrIS NOAA-21 OLR agrees well with NOAA-20 for both ascending and descending nodes Figure Credits: Margarita Kulko, Tong Zhu



NOAA-21 vs NOAA-20 NUCAPS EDR Retrievals for 2023/03/24 (EP v211)

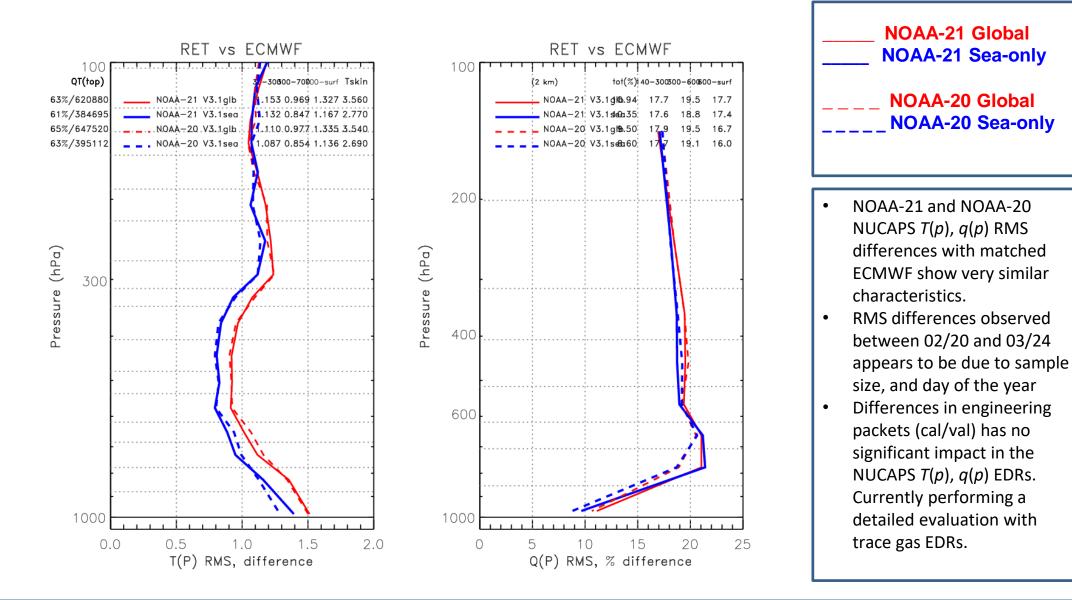




NOAA-21 NUCAPS EDR retrievals from J2-Ready algorithm matches very well both qualitatively and quantitatively with the NOAA-21 operational NUCAPS EDRs. The algorithm produces vertical profiles of temperature, water vapor, ozone, CO, CH4, and CO2. Retrieved profiles (100 layers) span from surface to 0.01 hPa.



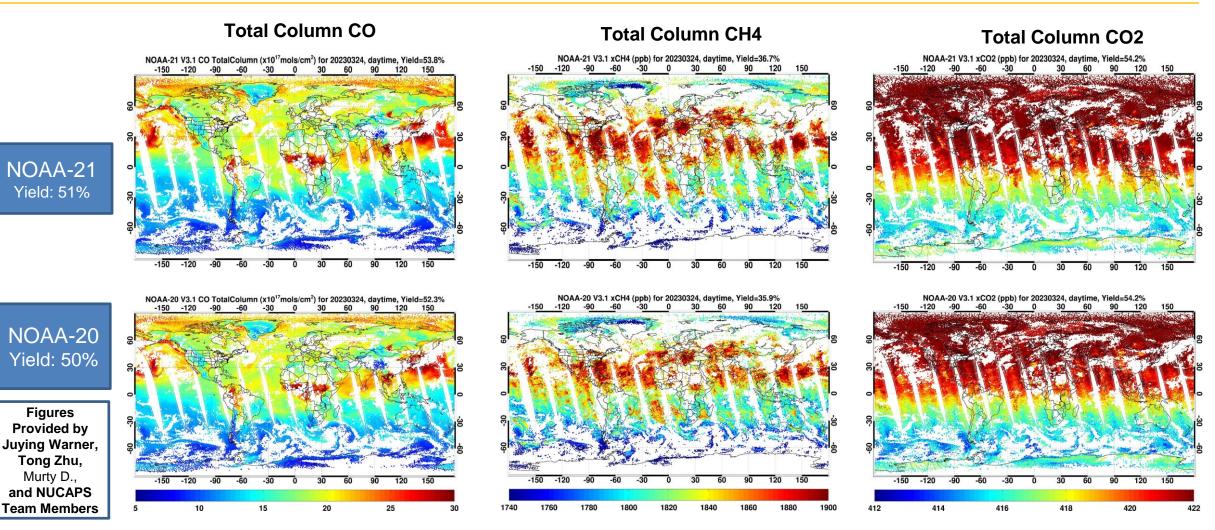




Figures Provided by Tong Zhu, Murty D., and NUCAPS Team Members

60 60 NOAA-21 vs NOAA-20 NUCAPS Trace Gas EDR Products (2023/03/24 Daytime; EP v211)

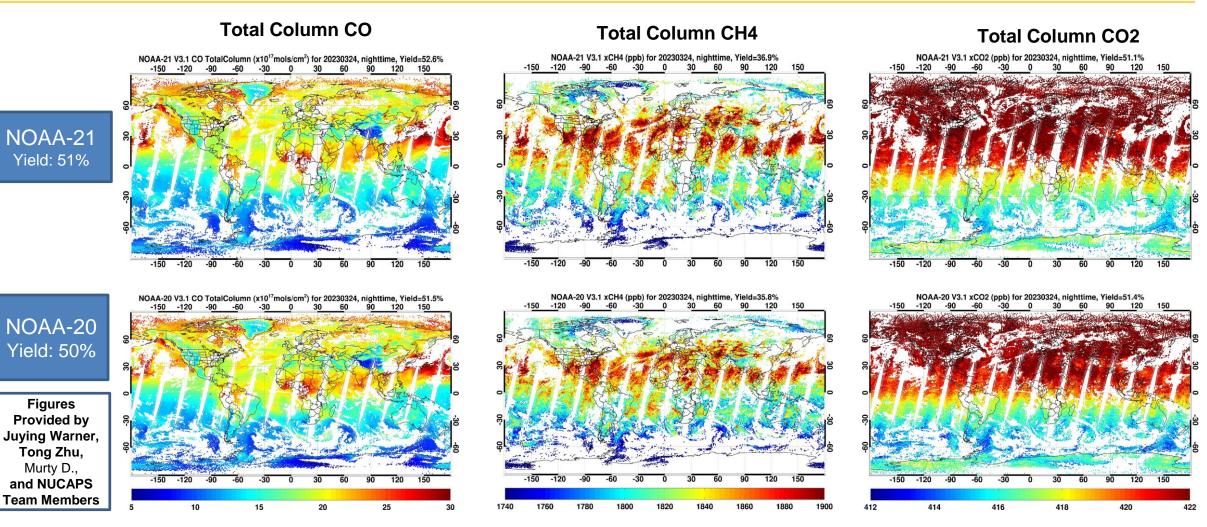




NOAA-21 NUCAPS trace gas EDR products from J2-Ready algorithm matches very well both qualitatively and quantitatively with the NOAA-20 operational NUCAPS products. Retrieved trace gas profiles (100 layers) span from surface to 0.01 hPa. Figures show Total Column CO, CH4, and CO2 Products. We are currently evaluating these products with TROPOMI/OCO-2 products as well as TCCON in-situ Measurements.

NOAA-21 vs NOAA-20 NUCAPS Trace Gas EDR Products (2023/03/24 Nighttime; EP v211)



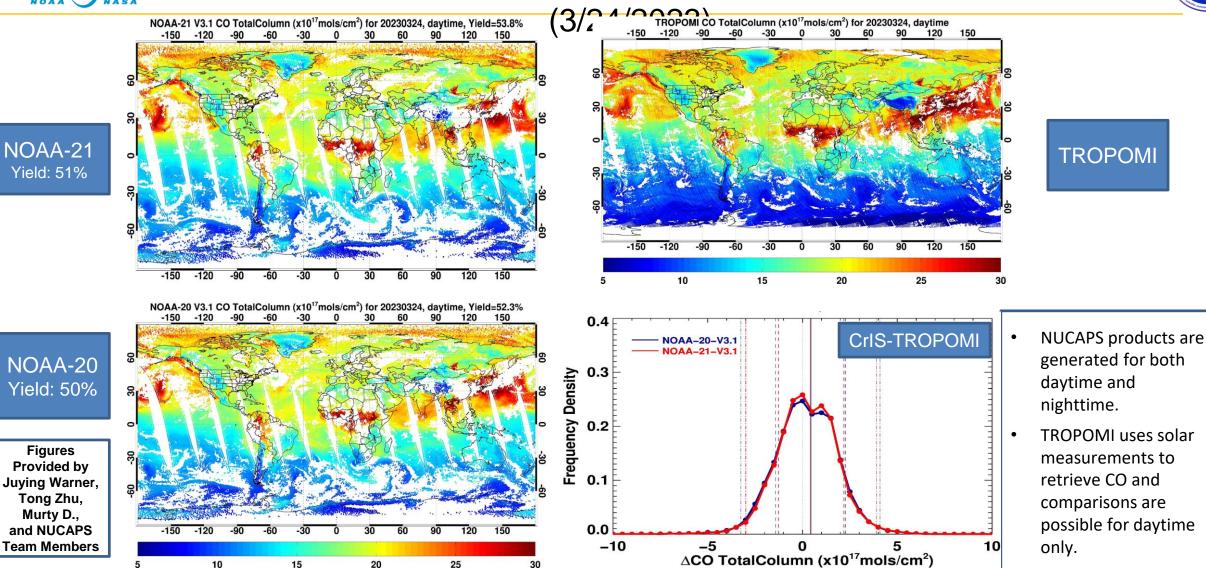


NOAA-21 NUCAPS trace gas EDR products from J2-Ready algorithm matches very well both qualitatively and quantitatively with the NOAA-20 operational NUCAPS products. Retrieved trace gas profiles (100 layers) span from surface to 0.01 hPa. Figures show Total Column CO, CH4, and CO2 Products. We are currently evaluating these products with TROPOMI/OCO-2 products as well TCCON in-situ Measurements.

Total Column CO NOAA-21 & NOAA-20 NUCAPS 3.1 vs TROPOMI



5



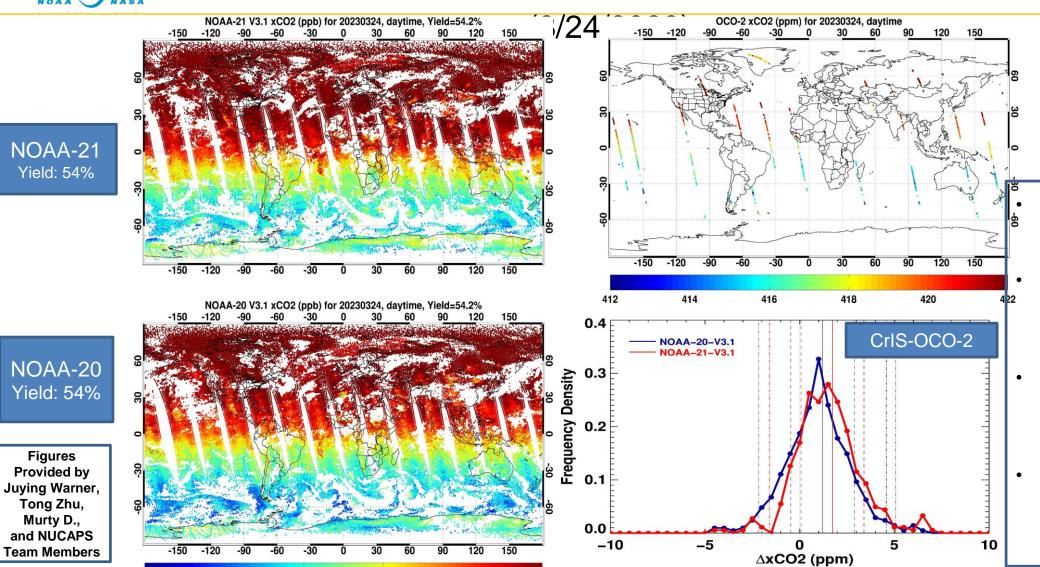
NOAA-21 NUCAPS CO product retrieval from J2-Ready algorithm matches very well both qualitatively and quantitatively with the NOAA-20 operational NUCAPS products. Retrieved CO profile (100 layers) span from surface to 0.01 hPa. Shown here is the total column CO vs TROPOMI.

20



Total Column CO2 NOAA-21 & NOAA-20 NUCAPS 3.1 vs OCO-2





NUCAPS products are generated for both daytime and nighttime.

OCO-2

V11

- OCO-2 Uses solar measurements to retrieve CO2 and comparisons are possible for daytime only.
- NOAA-21 vs NOAA-20 differences suggest the need for NOAA-21 CrIS bias tuning.
- EP v211 improved CO2 product matches between NOAA-20 and NOAA-21.

NOAA-21 NUCAPS EDR retrievals from J2-Ready algorithm matches very well both qualitatively and quantitatively with NOAA-20 operational NUCAPS products. Retrieved trace gas profiles (100 layers) span from surface to 0.01 hPa. Shown here is the total column CO2 vs OCO-2. 64

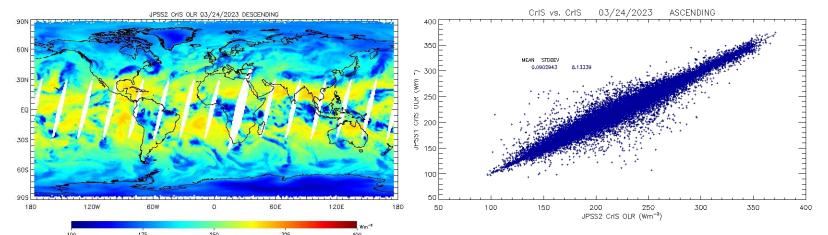


NUCAPS CrIS OLR NOAA-21 vs NOAA-20 (3/24/2023)



CrIS NOAA-21 Ascending



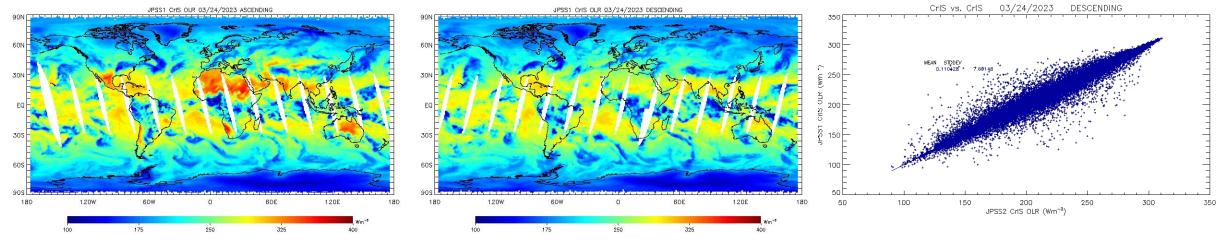


CrIS NOAA-20 Ascending

60E

120E





CrIS NOAA-21 OLR agrees well with NOAA-20 for both ascending and descending nodes Figure Credits: Margarita Kulko, Tong Zhu





- Evaluated NUCAPS Environmental Data Records (EDRs) from a set of global Focus Days covering the CrIS SDR Engineering Packet (EP) updates
 - EP v208/210: 02/16, 02/20; EP v211 02/27 (ADL), 03/24 (IDPS)
 - Differences in EP versions (cal/val) had no significant impact on the NUCAPS T(p), q(p) EDRs. However, positively impacted the trace gas EDRs.
- NOAA-21 and NOAA-20 NUCAPS T(p), q(p), and O3(p) RMS differences with matched ECMWF show very similar characteristics.
- NOAA-21 and NOAA-20 trace gas EDR evaluations (CO, CH4 and CO2) with TROPOMI and OCO-2 observations show very similar patterns. EP v211 improved CH4 and CO2 matches between NOAA-20 and NOAA-21.
- NOAA-21 and NOAA-20 Outgoing Longwave Radiation (OLR) product agrees well for both ascending and descending orbital nodes.
- TCCON measurements have a lag between the measurements and data archive availability; TCCON data will be used for NUCAPS NOAA-21 Provisional and Validated Maturity assessments.
- Currently performing first guess cloudy and clear regression exercises to ascertain the need for seasonal focus days for optimized first guess.
- Evaluations performed so far may allow the NUCAPS products to be declared as Beta Maturity. A minimum of 12 focus days spanning the season cycle will be used to evaluate seasonal biases to meet NUCAPS EDR Provisional Maturity requirements.
- NOAA-21 NUCAPS EDR product evaluation demonstrates that CrIS/ATMS SDRs/TDRs are performing as expected for meeting Provisional Maturity.





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NOAA

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Contributors listed in alphabetical order

Polar Product Operations and Readiness Team Meeting Tuesday March 14th 2023

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NCEP/EMC Readiness for NOAA-21

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Summary

- We expect to be able to implement NOAA-21 data in a timely manner based on current experience with the data.
- ATMS and CrIS will be the first to be operationally implemented with a target of Q3FY2023
- Other observations will be assimilated based on when data is available





There are **no CrIS SDR Pre-Launch Scientific Waivers** for the NOAA-21 provisional maturity science review





- Provisional Maturity Performance is well-characterized and meets/exceeds the requirements based on a comprehensive assessment of on on-orbit NOAA-21 CrIS SDR data.
- No data gaps associated with the performance of the SDR calibration algorithm have been identified.





Identified Risk	Description	Impact	Action/Mitigation and Schedule
None	No major risks have been identified for the NOAA-21 CrIS SDRs.	None	None



Documentations (Science Maturity Checklist)



Science Maturity Checklist	Yes ?	Where
ReadMe for Data Product Users	Yes	https://docs.google.com/document/d/10zFbY4ps dp44A6bHcc3IBOMcRw3H- MVf/edit?usp=sharing&ouid=108646070675148 611458&rtpof=true&sd=true
Algorithm Theoretical Basis Document (ATBD)	Yes	https://docs.google.com/document/d/14Nqk6b- Ay dNywRZg8iWA_GZs8NvPyY/edit?usp=share_lin k&ouid=108646070675148611458&rtpof=true&s d=true
Algorithm Calibration/Validation Plan	Yes	https://docs.google.com/document/d/1Ce4FS8G Ttkx- E50efIRM1mWGxaPK- VX/edit?usp=share link&ouid=10864607067514 8611458&rtpof=true&sd=true
(External/Internal) Users Manual	Yes	https://www.star.nesdis.noaa.gov/jpss/document s/UserGuides/CrIS_SDR_Users_Guide1p1_201 80405.pdf
System Maintenance Manual (for ESPC products)	Not Applicable	Not Applicable
Peer Reviewed Publications (Demonstrates algorithm is independently reviewed)	In Progress	In Progress
Regular Validation Reports (at least annually) (Demonstrates long-term performance of the algorithm)	In Progress	In Progress





Provisional Maturity End State	Assessment
Product performance has been demonstrated through analysis of a large, but still limited (i.e., not necessarily globally or seasonally representative) number of independent measurements obtained from select locations, periods, and associated ground truth or field campaign efforts.	Yes
Product analysis is sufficient to communicate product performance to users relative to expectations (Performance Baseline).	Yes
Documentation of product performance exists that includes recommended remediation strategies for all anomalies and weaknesses. Any algorithm changes associated with severe anomalies have been documented, implemented, tested, and shared with the user community.	Yes
Product is ready for operational use and for use in comprehensive cal/val activities and product optimization.	Yes



Product Requirements Met



Band	Longway	ngwave Mid-wave		Shortwave		
Attribute	Requirement	Meet Req?	Requirement	Meet Req?	Requirement	Meet Req?
Wavenumber (cm ⁻¹)	650-1095	YES	1210-1750	YES	2155-2550	YES
Spectral Range (µm) (J1MSS-1586)	9.13-15.38	YES	5.71-8.26	YES	3.92-4.64	YES
Spectral Resolution (cm ⁻ ¹) (J1MSS-2440)	0.625	YES	0.625	YES	0.625	YES
Polarization	NS	-	NS	-	NS	-
Radiometric Uncertainty @ 287K BB (%) (J1MSS-1584)	0.45	YES	0.58	YES	0.77	YES
Radiometric Stability @ 287K BB (%) (J1MSS-1592)	0.40	YES	0.50	YES	0.64	YES
Maximum NEdN (mW/(m²-sr-cm ⁻¹) (J1MSS-1583)	0.45 @ 670 cm ⁻¹ 0.15 @ 700 cm ⁻¹ 0.15 @ 850 cm ⁻¹ 0.15 @ 1050 cm ⁻¹	YES	0.078 @ 1225 cm ⁻¹ 0.064 @ 1250 cm ⁻¹ 0.069 @ 1500 cm ⁻¹ 0.075 @ 1700 cm ⁻¹	YES	0.013 @ 2200 cm ⁻¹ 0.014 @ 2350 cm ⁻¹ 0.014 @ 2550 cm ⁻¹	YES
Nadir FOV (km) (J1MSS-1590)	15	YES	15	YES	15	YES
Spectral Uncertainty (ppm) (J1MSS-1587)	10	YES	10	YES	10	YES



NOAA-21 CrIS SDR Performance vs JPSS L1-Requirements

Band	Minimum Wavenumber Range ¹ (cm ⁻¹)	# of Channels 4	Spectral Resolution (cm ⁻¹) ^{1,3}	Maximum NEdN @287K BB ² (mW/m ² /sr/cm ⁻¹)	Radiometric Accuracy @287K ^{1,2} (%)	Maximum Spectral Uncertainty ¹ (ppm)	Geolocation Mapping Uncertainty (3σ) ¹ (km)
LWIR	650-1095	713	0.625	(0.203) 0.45 @ 670 cm-1, (0.115) 0.15 @ 700 cm-1, (0.0725) 0.15 @ 850 cm-1, (0.0647) 0.15 @ 1050 cm-1	<mark>(0.19)</mark> 0.45	(2) 10	(0.75) 5
MWIR	1210-1750	865	0.625	(0.02140) 0.078 @ 1225 cm-1 (0.02086) 0.064 @ 1250 cm-1 (0.02272) 0.069 @ 1500 cm-1 (0.02951) 0.075 @ 1700 cm-1	<mark>(0.21)</mark> 0.58	(2) 10	(0.75) 5
SWIR	2155-2550	633	0.625	(0.00513) 0.013 @ 2200 cm-1 (0.00434) 0.014 @ 2350 cm-1 (0.00801)0.014 @ 2550 cm-1	(0.37) 0.77	<mark>(2)</mark> 10	(0.75) 5

¹JPSS Algorithm Specification Volume I: Software Requirement Specification (SRS) for the CrIS RDR/SDR, 474-00448-01-03, Revision I, October 24, 2019.

²JPSS Level 1 Requirements Document Supplement (L1RDS) – Final, JPSS-REQ-1002/470-00032, Revision 2.11, Rev. 2.1, 02/07/2019. The NEdN Maximum values for the MWIR and SWIR are the result of scaling the NEDN values, defined in Table 4.3, by a factor of $\sqrt{2}$ and 2, respectively.

³JPSS-2 CrlS Performance Requirements Document (PRD), 472-00346, Revision B, 03/10/2016.

⁴JPSS CrIS SDR ATBD) for Full Spectral Resolution, June 14, 2018.



Justification



After NOAA-20 CrIS SDR beta maturity on February 23, 2023, CrIS SDR team members continued the assessment and analysis of both CrIS on-orbit data and special post-launch tasks (PLT) data, including CrIS science RDR, diagnostic RDR, SDR, and GEO data products. This includes verification of the Radiometric Nonlinearity using DM data and further refinements using FOV2FOV radiometric comparisons, further refinement of the ILS, neon lamp, and geolocation parameters. Based on the nearly thirty five days of continuous intensive evaluation and monitoring of CrIS data, the following assessments of the CrIS instrument and data products are given:

- 1. On-orbit NEdN: all FOVs and bands are within the specification and are comparable to S-NPP and NOAA-20 CrIS
- 2. Radiometric uncertainty: radiometric FOV2FOV relative consistency is within ±0.1K for all three bands.
- Spectral uncertainty: absolute spectral offsets for all three bands are all within ±2ppm, and relative spectral offsets for all three bands are within ±1ppm.
- Geolocation uncertainty: In-track geolocation accuracy significantly improved after updating mapping angles in v211 relative to VIIRS. The total geolocation uncertainty is 750 meters 3-sigma where the specification is 5 km. Current uncertainty is comparable to S-NPP and NOAA-20 CrIS.
- 1. NOAA-21 CrIS SDR products have been reliably produced by IDPS since the first light data was produced on February 10, 2023.
- 2. Intercomparisons of NOAA-21 CrIS with clear sky calculated spectra, NOAA-21 VIIRS, Metop-B IASI, Metop-C IASI, and Aqua AIRS all show very good agreement
- 3. Double differences via calculated spectra, IASI, and AIRS show similar results and show very good agreement between NOAA-20 CrIS and NOAA-21 CrIS
- 4. Intercomparisons between GOES-16 and GOES-18 ABI and NOAA-21 CrIS demonstrate consistent results across all bands over the assessment time period (bias within 0.2K).
- 5. NOAA NUCAPS team has generated and analyzed NOAA-21 trace gasses, temperature, water vapor and other EDR products and is comparable with operational NOAA-20 EDR's.
- 1. Assessed the effect of the permanent spacecraft pitch offset of -10 arc minutes, performed to optimize OMPS performance, and found that it will have minimal impact on the CrIS SDR Product.







There are no caveats for the NOAA-21 CrIS SDR Calibration Algorithm.



Path Forward



The CrIS Team will work diligently to continue with the following planned Cal/Val tasks to promote the CrIS SDR data product to validated maturity by September 28, 2023 (L+322 days). The JPSS-2 Cal/Val plan provides details on all of the various planned efforts. Some highlights include:

- 1. Perform long-term monitoring of the NOAA-21 CrIS SDR products and the NOAA-21 CrIS sensor.
- 2. Perform on-orbit radiometric error budget and provide the radiometric uncertainty
- 3. Perform long-term inter-comparison with other sensors, including METOP IASI and RO products.
- 4. Update the nonlinearity coefficients in the next Engineering Packet if needed.
- 5. Update the Instrument Line Shape (ILS) parameters in the next Engineering Packet if needed.
- 6. Update geolocation mapping angles in the next Engineering Packet if VIIRS geolocation is further updated, or if changes occur due to seasonal variation.
- 7. Continue to monitor CrIS instrument stability and performance, as well as SDR data quality
- 8. Analyze the pitch maneuver data to derive instrument polarization coefficients.
- 9. Continue to monitor and investigate the 40S-65S latitude imaginary radiance behavior.
- 10. Provide support to CrIS SDR data users to facilitate the assimilation of NOAA-21 CrIS calibrated radiances for weather forecasting and environmental monitoring. User's feedback on the quality of NOAA-21 CrIS SDR data is essential for the transition to the validate maturity level.





Acknowledgement and thanks are extended to all individuals and organizations participating in the intensive NOAA-21 CrIS Pre-launch analysis, Early Checkout, and Intensive Cal/val toward Validated Maturity, an example of Team Effort, Hard Work, Dedication and Professionalism: NOAA/STAR, NASA, University of Wisconsin, University of Maryland Baltimore County, L3Harris, Logistikos, Northrop Grumman, and MIT (Pre-Launch Testing)

















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Backup Slides







TAR





NOAA-21 vs NOAA-20 CrIS FOV-2-FOV Consistency

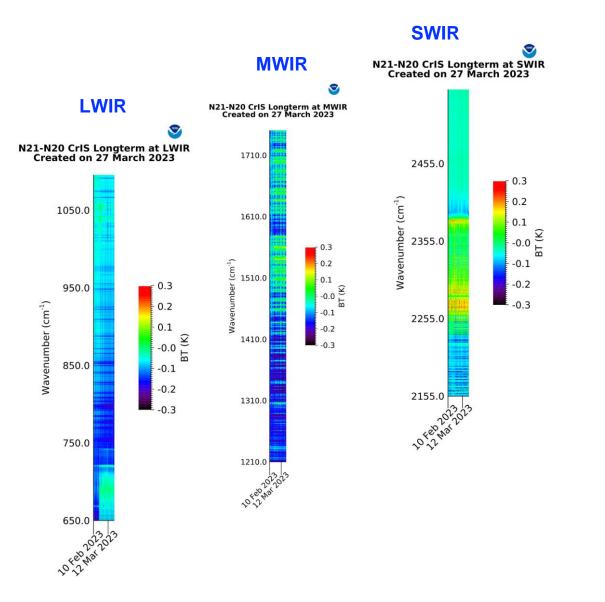
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- The NOAA-21 CrIS FOV-2-FOV relative radiometric variability is within +/- 0.1 K for all three bands after the Engineering Packet v211 update.
- The FOV-2-FOV relative radiometric variability was assessed by mean difference of observed and simulated apodized spectra for each FOV relative to the overall mean bias.
- This result was derived using the NOAA-21 CrIS observations and collocated CRTM simulations over clear-sky and ocean surface for March 24, 2023.



Time Series of Radiometric Comparisons Between NOAA-21 and NOAA-20 CrIS





- NOAA-21 CrIS started to collect science data since February 10, 2023.
- A short time series of NOAA-21 CrIS radiometric comparisons with NOAA-20 CrIS show radiance differences are within 0.3 K for majority of channels since the first light observation.
- NOAA-21 CrIS Calibration Table v211 was uploaded in March 23, 2023.

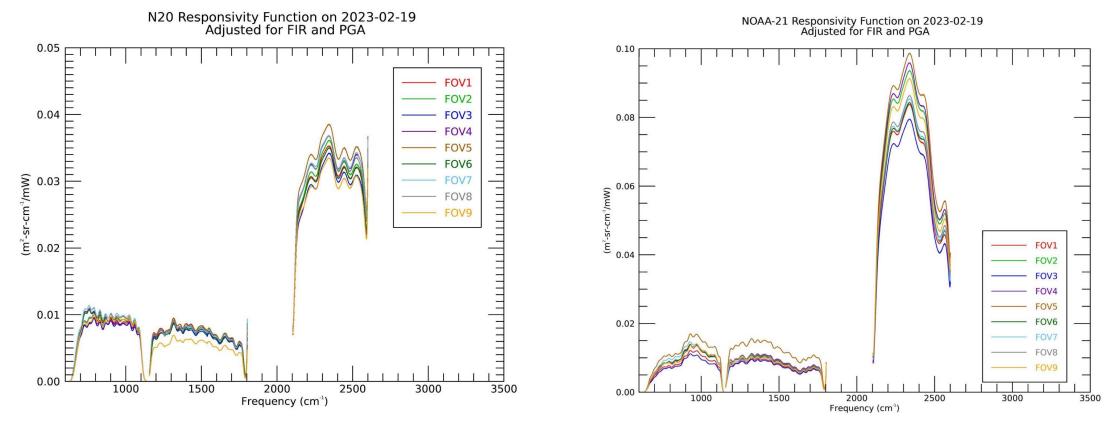


CrIS Responsivity: NOAA-21 relative to NOAA-20



NOAA-20





Main point: Responsivity is as high or higher for NOAA-21 than it was for NOAA-20 for all three bands (SWIR, LWIR, MWIR)

- These changes are calibrated out.
- Monitoring tool is developed to track possible degradation due to ice and/or chemical contamination.
- Possible responsivity degradation would increase the NEdN.

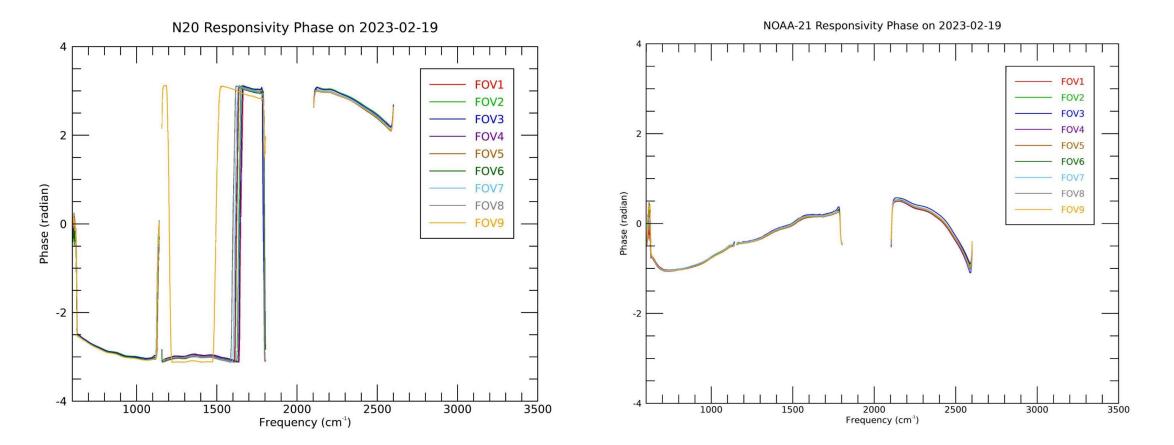
Provided by Denis Tremblay





NOAA-20

NOAA-21

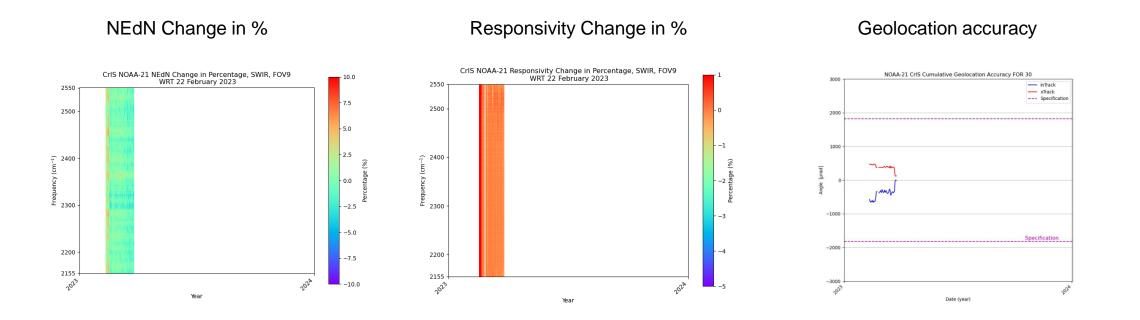


NOAA-21 responsivity phase has better FOV overlay than NOAA-20.

Provided by Denis Tremblay





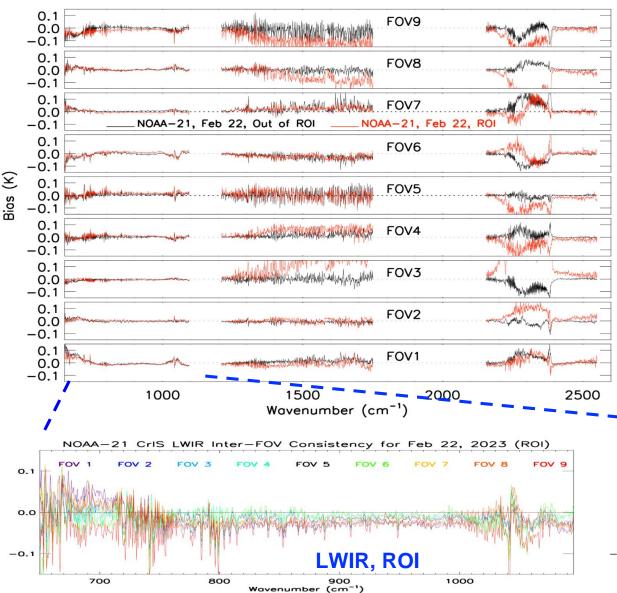


- Automated tools show the accumulated times series for NEdN, Responsivity, and Geolocation Accuracy.
- Too early in the mission to see any temporal trends at this time.

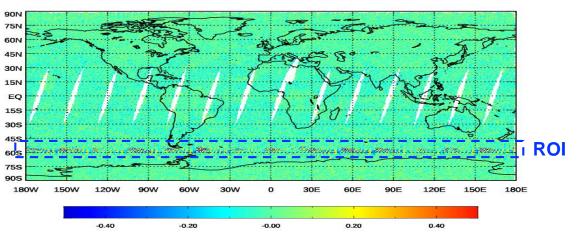


NOAA-21 CrIS FOV-2-FOV Analysis Over Different Regions

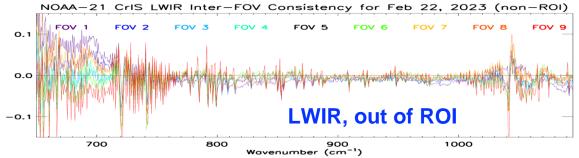




N21 CrIS FSR imaginary radiance, 11 µm (900 cm³), Mapped, Descending, 02/22/2023



- FOV-2-FOV analysis shows small differences resulted from ROI vs non-ROI area. (large difference in the number of points for averaging may introduce bias in some FOVs in MWIR and SWIR band).
- Region of Interest (ROI): [-50, -60] latitude with slightly
 __elevated imaginary radiance levels



Provided by Kun Zhang