



2014 STAR JPSS Science Team Annual Meeting

VIIRS Aerosol EDR

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- Aerosol Cal/Val Team
- VIIRS AOT, APSP and SM
 - IDPS algorithms
 - products
 - requirements
 - data quality
 - future plans
 - alternative algorithms



VIIRS Aerosol Cal/Val Team



Name	Organization	Major Task
Kurt F. Brueske	IIS/Raytheon	Code testing support within IDPS
Bigyani Das	IMSG/NOAA	Algorithm integration
Ashley N. Griffin	PRAXIS, INC/NASA	JAM
Brent Holben	NASA/GSFC	AERONET observations for validation work
Robert Holz	UW/CIMSS	Product validation and science team support
Ho-Chun Huang	UMD/CICS	SM algorithm development and validation
Jingfeng Huang	UMD/CICS	AOT Algorithm development and product validation
Edward J. Hyer	NRL	Product validation, assimilation activities
John M. Jackson	NGAS	VIIRS cal/val activities, liaison to SDR team
Shobha Kondragunta	NOAA/NESDIS	Co-lead
Istvan Laszlo	NOAA/NESDIS	Co-lead
Hongqing Liu	IMSG/NOAA	Visualization, algorithm development, validation
Min M. Oo	UW/CIMSS	Cal/Val with collocated MODIS data
Lorraine A. Remer	UMBC	Algorithm development, ATBD, liason to VCM team
Hai Zhang	IMSG/NOAA	Algorithm coding, validation within IDEA
Stephen Superczynski	IMSG/NOAA	Product evaluation, data management





AEROSOL OPTICAL THICKNESS (AOT) AND AEROSOL PARTICLE SIZE PARAMETER (APSP)





- AOT is from cloud-free, daytime VIIRS M-band SRDs over dark surface
- Separate algorithms over land and over ocean

Land

- retrieves AOT and surface reflectances by matching M3/M5 ratio of retrieved surface reflectances with expected ratio
- selects one of five aerosol models that best match retrieved and expected surface reflectances in bands M1, M2, M3, M5, M11

Ocean

- retrieves AOT by matching observed M7 TOA reflectance with calculated reflectance
- selects fine and coarse mode models and their weights out of 2020 combinations of candidate models that best match observed and calculated TOA M5, M6, M7, M8, M10, M11 reflectances

M1: 412, M2: 445, M3: 488, M5: 672, M6: 746, M7: 865, M8: 1,240, M10: 1,610, M11: 2,250 nm



At NOAA Comprehensive Large Arraydata Stewardship System (CLASS):

- Intermediate Product (IP)
 - 0.75-km pixel
 - AOT (550 nm); valid range: 0-2
 - APSP from AOTs at M2 (445 nm) and M5 (672 nm) over land, and M7 (865 nm) and M10 (1610 nm) over ocean
 - AMI (Aerosol Model Information)
 - quality flags

• Environmental Data Record (EDR)

- 6-km cell aggregated from 8x8 IPs filtered by quality flags
 - AOT (10 M bands + 550 nm)
 - APSP (over-land product is not recommended!)
 - quality flags
- 0.75 km
 - SM

At NOAA/NESDIS/STAR

- Gridded 550-nm AOT EDR
 - regular equal angle grid: 0.25°x0.25°
 (~28x28 km)
 - only high quality AOT EDR is used



DATA 2013316 VACOO_npp_d20131112_t1905061_e1906302_b10590_c20131113020703154426_noaa_ops.h5

Aerosol Optical Depth at 550nm



20131112 0.25°x0.25° Gridded High Quality EDR AOT 550nn



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Red period:	Product is not available to public, or product should not be used.
Blue period: (Beta)	Product is available to public, but it should be used with caution, known problems, frequent changes.
Green period: (Provisional)	Product is available to public; users are encouraged to evaluate.

- No changes to VIIRS aerosol algorithm between Jan 23, 2013 and Feb 20, 2014.
- Stable algorithm is needed for evaluation.



Time Series of Daily Mean Aerosol Products (non-collocated) (05/02/2012 – 01/31/2014)

ND ATMOS

NOAA



VIIRS vs. MODIS AOT

Comparisons use MODIS Dark Target Collection 5.1 data



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• Collocated VIIRS and MODIS Retrievals

- Over land: 01/23/2013 01/31/2014
- Over ocean: 05/02/2012 01/31/2014 excluding the processing error period (10/15/2012-11/27/2012



NNAA

VIIRS AOT EDR vs. AERONET L1.5 AOT



- Data from the VIIRS Aerosol / AERONET Match-up PGE
- Period: May 2, 2012 December 31, 2013
- VIIRS: reprocessed using Mx8.2 aerosol code! (TTO: 02/20/2014)
 - averaged min 25% of high quality AOT in 5x5 EDR cells
- Truth: AERONET L1.5 inversion (5/2012–2/2013) + direct sun (from 2/2013)
 - AOT averaged within +/- one hour

NOAA



VIIRS EDR vs. AERONET L1.5

Time period: 05/02/2012 - 12/31/2013; VIIRS data: Mx8.2



LAND	Ν	ACCL	IRACY	PREC	ISION	
ΑΟΤ		Requirement	SNPP/VIIRS	Requirement	SNPP/VIIRS	
<0.1	3244	0.060	0.012 🗸	0.150	0.058 🗸	
[0.1, 0.8]	4498	0.050	0.016 🗸	0.250	0.117 🗸	
>0.8	161	0.200	0.186 🗸	0.450	0.414 🗸	
all	7903		-0.008		0.116	
OCEAN	Ν	ACCU	IRACY	PRECISION		
AOT		Requirement	SNPP/VIIRS	Requirement	SNPP/VIIRS	
<0.3	1824	0.080	0.007 V	0.150	0.041 🗸	
≥0.3	264	0.150	0.020 V	0.350	0.144 🗸	
all	2088		0.004		0.064	
OCEAN	Ν	ACC	CURACY	PREC	ISION	
APSP		Requirement	SNPP/VIIRS	Requirement	SNPP/VIIRS	
865nm/1610nr	m 803	0.30	0.02 V	0.60	0.37 V	
More in poster	luang et al.	11				



Plans for AOT



- Replace over ocean aerosol models with those more closely matching MODIS models
- Extend AOT range to [-0.05 to 5.00]
- Implement new internal tests to reduce snow/ice and possible residual cloud contamination:
 - Spatial homogeneity filter
 - Spectral filter (e.g., NDSI)
- Continue evaluation of other internal tests (fire, bright pixel, ephemeral water) and update thresholds.
- Develop and test regional, seasonal land surface reflectance ratios (*see poster by Hai Zhang et al.*)
- Extend (in time and scope) evaluation of AOT EDR
- Test/modify NGAS implementation of "deep-blue" retrieval and if needed develop new algorithm, and implement it



The JPSS RR Aerosol Algorithm



The JPSS Risk Reduction (RR) ("NOAA VIIRS") algorithm

- over land
 - VIIRS-like algorithm; switches to MODIS-like algorithm when VIIRS-like retrieval fails
 - surface reflectance ratios are linear functions of NDVI_{SWIR} and surface redness
 - retrieves over areas where current IDPS algorithm does not retrieve AOT
- over ocean
 - algorithm and aerosol model as in MODIS
- AOT range [-0.05 to +5.0]
- AE is from AOTs from independent-channel retrievals
- pixel level (750 m) product





Data: average of every five days between 2013.03.01-2014.03.01; 750-m data



JPSS RR Aerosol Results





OCEAN



Daily 750-m VIIRS and AERONET matchup data for 2012.05.02 – 2014.03.31

"First look" results:

- Over land, more retrievals, better overall accuracy, but slightly worse precision.
- Over ocean, comparable accuracy, but slightly worse precision.
- Meets requirements.

Details and more results in talk by Hongqing Liu in Atmosphere Breakout on Wednesday at 14:50





- JPSS RR aerosol algorithm can be an alternative for J1
- The JPSS RR algorithm already has many updates planned for IDPS aerosol algorithm
 - over land
 - slightly better agreement with AERONET for high AOT values
 - retrievals over areas where current IDPS algorithm does not retrieve AOT
 - over ocean
 - same algorithm and aerosol model as in MODIS
 - meets J1 requirements
 - same algorithm works on VIIRS and ABI
 - likely needs more adjustments, data filtering; would benefit from more evaluation, and needs consensus from Aerosol Cal/Val Team and users!





- Characterized long term (over a year) record of VIIRS AOT globally and regionally by comparing it similar records from MODIS and AERONET
- VIIRS AOT and APSP (Ångström Exponent) products meet the requirements specified in the Joint Polar Satellite System (JPSS) Program Level 1 Requirements document
- Developed and evaluated new internal tests (for residual cloud, snow/ice) will be implemented in next version
- More results and details in Atmosphere Breakout on Wednesday, 14:30-16:10 and in posters!





SUSPENDED MATTER (SM)





Product	Threshold	Objective	Notes
SM	Dust, smoke, volcanic ash	Dust, smoke, volcanic ash, sea salt	
Smoke plume	0 to 150 μg/m ³	0 to 200 μg/m ³	
	Αςςι	iracy	
SM	80%		
Smoke	70%		
Dust	80%		
Ash	60%		Dust can be mis- identified as ash
Mixed Aerosol		80%	Report not only dominant aerosol but other aerosol components as well

Applications

- Exceptional Events (EEs) monitoring (volcanic eruptions, fires, dust storms)
- Assimilation in regional and global aerosol models for daily weather and/or climate predictions
- Operational air quality forecasting

Users

• National Weather Service, Environmental Protection Agency, State and local environmental agencies



From SM ATBD prepared by NGAS, dated 3/17/2010



SNPP SM Algorithm Evaluation: Validation Approach





- Qualitative comparison of monthly global maps of VIIRS SM (dominant aerosol type), dust fraction, and smoke fraction to other correlative measurements (CALIPSO, MISR)
- Direct matchups of CALIPSO and VIIRS SM to compute accuracy, probability of detection, and false alarm ratio



SNPP SM Algorithm Evaluation: VIIRS vs. MISR





VIIRS SM accuracy is < 20% (requirement is 80%)

- SM is not a legacy NASA MODIS product
- VIIRS SM algorithm relies on AOT and other internal parameters (not validated) to identify and type SM.
- SM product very difficult to evaluate and validate due to non-availability of "truth" dataset.
 Comparisons with MISR show that VIIRS SM doesn't identify dust near the source and dust outflow regions (Sahara and Atlantic Ocean)..
- The VIIRS SM product is not recommended for use in any applications. An alternate algorithm has been developed and is being tested.





Ash Dust Smoke Sea Salt Undetermined None





Algorithm details to be presented in tomorrow's "atmosphere" breakout session by Pubu Ciren

- Adapt GOES-R ABI aerosol detection (dust and smoke) algorithm to VIIRS
 - For dust, a slightly different algorithm than the one developed for GOES-R was used to take the advantage of deep blue (412 nm) channel present on VIIRS but will not be present on ABI.

• Advantages:

- Algorithm uses spectral threshold methods and some texture tests for uniformity to separate dust, smoke, and clouds.
- > Algorithm is fast and designed to run in near real-time.
- Algorithm uses VIIRS blue channels (412 nm and 445nm) that GOES-R ABI will not have.

• Disadvantages:

Like any algorithm based on thresholds, tuning of thresholds will be needed for changes associated with calibration etc.



JPSS RR Algorithm:

NOAA

Dust Storm in the Arabian Sea on January 13, 2013







JPSS RR Algorithm: VIIRS vs. CALIPSO Global Maps

- CALIPSO data at a coarser grid resolution (5° x 5°). Due to narrow swath of CALIPSO, coarser resolution is need to get a good sample size;
- VIIRS data at a finer grid resolution (0.25° x 0.25°);
- CALIPSO dust detection is also based on a classification/typing algorithm and not a physical retrieval. Dust accuracy is 91%.

VIIRS is detecting dust only near the dust source and outflow regions whereas CALIPSO dust is detecting it more widely (e.g., Australia). Some but *not very distinct* seasonal pattern in VIIRS.



JPSS RR SM Algorithm Evaluation:

VIIRS vs. CALIPSO Matchups for Dust



	Land											
Month	1	2	3	4	5	6	7	8	9	10	11*	12
Accuracy	100.0	99.4	99.9	99.9	98.4	99.4	99.6	98.7	100.0	100.0	-	100.0
POCD	N/A	71.4	77.8	80.0	75.3	73.4	97.9	76.5	N/A	N/A	-	N/A
POFD	N/A	50.0	8.7	42.8	13.5	53.4	39.4	35.3	N/A	N/A	-	N/A
	Water											
						Wa	iter					
	1	2	3	4	5	W a 6	ter 7	8	9	10	11	12
Month Accuracy	1 99.8	2 99.8	3 99.9	4 99.9	5 99.8	Wa 6 99.6	ter 7 99.7	8 99.8	9 100.0	10 100.0	-	12 100.0
Month Accuracy POCD	1 99.8 54.2	2 99.8 N/A	3 99.9 N/A	4 99.9	5 99.8 N/A	Wa 6 99.6 80.0	7 99.7 94.8	8 99.8 91.8	9 100.0 N/A	10 100.0 N/A	11 - -	12 100.0 N/A
Month Accuracy POCD POFD	1 99.8 54.2 56.6	2 99.8 N/A N/A	3 99.9 N/A N/A	4 99.9 N/A	5 99.8 N/A N/A	Wa 6 99.6 80.0 46.1	7 99.7 94.8 49.5	8 99.8 91.8 47.6	9 100.0 N/A N/A	10 100.0 N/A N/A	11 - -	12 100.0 N/A

* CALIPSO data not available



JPSS RR SM Algorithm Evaluation: VIIRS vs. AERONET Dust Matchups



Stations	True positive	False positive	True negative	False negative	Accuracy	POCD	POFD
Banizoumbou	10	1	65	12	85.2	45.4	9.0
Darkar	1	0	25	1	96.3	50.0	0.0
IER_Cinzana	2	0	23	1	96.2	66.6	0.0
Solar_Village	6	5	29	4	79.5	60.0	45.4
Capo_Verde	2	1	9	0	91.6	100.0	33.3
Cape_San_Juan	1	2	18	0	90.4	100.0	66.6

401 AERONET stations	Accuracy	POCD	POFD
Year of 2013	99.8	86.9	39.3



Conclusions



- The JPSS RR SM algorithm for dust and smoke is performing better than operational (IDPS) SM algorithm
 - Meets requirements for dust and smoke.
 - Dust detection evaluated using results from algorithm run on one year (2013) of data
 - Smoke detection evaluated on limited set of granules (22). Full one year run is forthcoming
 - Volcanic ash product will be passed on from VCM (when JPSS RR volcanic ash product is ready)
 - No sea salt will be detected
 - No smoke concentration will be reported. There is a user need for this and this information will come from a different algorithm (Automated Smoke Detection and Tracking Algorithm) that was developed using VIIRS fire hot spot and AOT products.
- Future work
 - Extensive evaluation of smoke product will be conducted
 - ATBD and other user documentation will be prepared
 - The dust algorithm is running in near real time on DB data and case studies will be selected and presented to NWS for discussion on transitioning from MODIS to VIIRS. Already had a conversation with NWS air quality program manager
 - Similar approach will be taken with other users.





BACKUP SLIDES





- Atmospheric correction of reflectances [*Vermote and Kotchenova*, 2008]
 - Basis: aerosols change the ratios of spectral reflectances (spectral contrast) from those of the surface values
 - Dark target algorithm, conceptually similar to MODIS over-land alg.
- Lambertian surface reflection is assumed
- 5 aerosol models [*Dubovik et al*. 2002]:
 - dust, smoke (high and low absorption), urban (clean & polluted)
 - bimodal lognormal size distribution, function of AOT, spherical particles
- Surface reflectances in selected M bands are retrieved for varying AOT and their ratios are compared to expected values
- AOT and aerosol model that provide the best match between ratios of surface reflectances retrieved in multiple channels and their expected values are reported as solution





- Close adaptation of the MODIS approach [*Tanré et al.*, 1997]
 - wind-dependent (speed and direction) ocean surface reflectance is calculated analytically
 - combines 4 fine mode and 5 coarse mode models with
 0.01 increments in fine mode fraction (2020 models)
 - TOA reflectances in selected M bands are calculated and compared to observed ones to retrieve AOT aerosol models and their weights simultaneously
 - AOT and aerosol model that most closely reproduces the VIIRS-measured TOA reflectance in multiple bands are reported as solution



VIIRS AOT EDR vs. AERONET L1.5 AOT





- Time series of monthly average VIIRS-AERONET AOT difference and standard deviation of differences
- Mx8.2 bias < 0.04 over land and < 0.025 over ocean for almost all months examined.
- Mx8.2 std < 0.20 over land and < 0.10 over ocean.

More in posters by Jingfeng Huang et al. and Ho-Chun Huang et al.



JPSS RR Aerosol Results





Data: average of every five days between 2013.03.01-2014.03.01, 750-m data

- Over land, better overall accuracy, but slightly worse precision.
- Over ocean, comparable accuracy, but slightly worse precision.
- Meets requirements.

Details and more results in talk by H. Liu in Atmosphere Breakout on Wednesday at 14:50



OCEAN





VIIRS AE EDR vs. AERONET L1.5 AE





Time period: 05/02/2012 - 12/31/2013; Data: Mx8.2

OCEAN	Ν	ACCU	RACY	PREC	ISION
		Requirement SNPP/VIIRS		Requirement	SNPP/VIIRS
865nm/1610nm	803	0.30	0.02 🗸	0.60	0.37 🗸

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AOT are combined to generate "dust AOT". MISR nonspherical AOT is assumed to be "dust AOT". **MISR dust AOT**

ND ATMOS NOAA

- observed over the biomass burning region is likely coarse mode smoke aerosol?
- **VIIRS dust AOT** biased high compared to MISR.
- **VIIRS high AOT** observed year round in the Red Sea, Persian Gulf, and Arabian Sea.



VIIRS vs. MISR Dust AOT Correlation June 2013







June 2013 Dust AOT





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July 2013 Dust AOT





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August 2013 Dust AOT





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