



# Satellite data use at NOAA/ESRL for Rapid Refresh analysis and forecast systems



## Geostationary

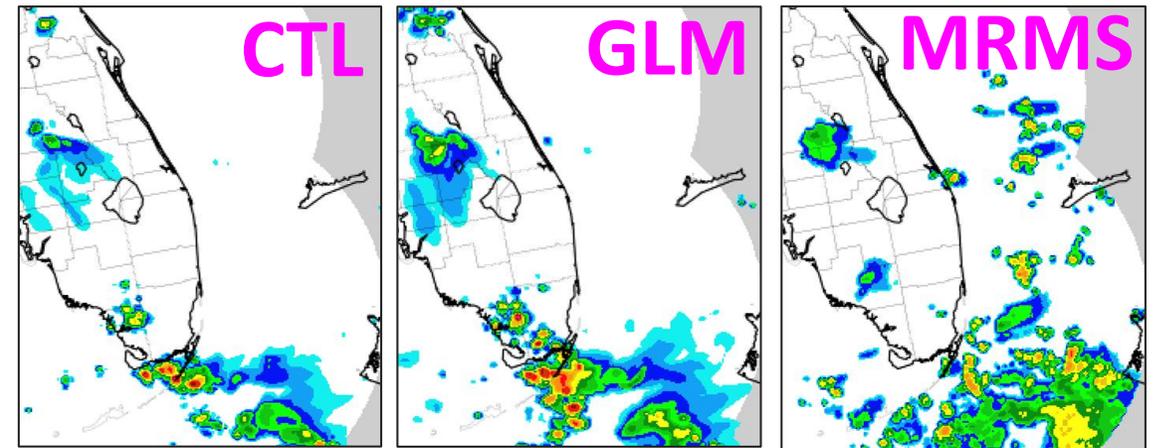
- **GOES cloud-top pressure**
- **AMVs (GOES16\*)**
- **IMS snow cover product**

## Experimental / future

- **GLM lightning data**
- **Cloud-top cooling rate**
- **Polar orbiter cloud products**
- **AOD, Smoke Mask (NESDIS)**
- **Soil moisture**

## Polar Orbiters

- **VIIRS Fire Radiative Power\***
- **VIIRS Green Vegetation Fractions**
- **IMS snow cover product**



**4-H FORECASTS**

**OBS**



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## Decision-making for new data inclusion

- Do we **know** that this product **exists**?
- Will the data be **operationally available** at **low latency**?
- Will use of the data increase **computation time** (e.g., expensive pre-processing)?
- Does evidence indicate its use will be **impactful** (e.g., inclusion in global model)?
- Do we have the **resources** to **test** the data, and, if applicable, **develop algorithms**?
- Do tests indicate the data ingest is **beneficial** (improved skill score; improved forecasts for stakeholders, such as aviation)?
- Are the data **reliable**?



# Satellite data use at NOAA/ESRL for Rapid Refresh analysis and forecast systems



## Resources

- More **people** and **computer time** needed to **thoroughly test** products, even those that already exist/are known to us
- Good products come online but much **work to leverage** (bias/error, obs. operator/adjustment scheme, long testing time periods, ...)
- **Pipeline to operations**

Ongoing or future needs for satellite data use

## Quality/bias correction

- **Expensive to develop** our own bias correction
- **Error reporting** (esp. retrievals)
- **Reliability/QC**

## Communication

- **Centralized location** to share new products; not “ad hoc”
- **Documentation** for all products including **error and methodology**



# Satellite data use at NOAA/ESRL for Rapid Refresh analysis and forecast systems



## Land/sea surface

- Need high-res, real-time
- 1-km **snow cover**
- Fractional **sea ice**
- Higher-resolution **green. fraction**

## Ongoing or future needs for satellite data use

## Info. in the vertical

- Many sat. products are **2D**
- Model fields are **3D**
- Cloud-top pressure (for ex.) 2D but gives **useful height info**

## Model assessment

- Tools needed for **global models** especially
- **Classification/ML** for, e.g., stratiform vs. convective precipitation
- **Product error information**

## Global, Convective-scale products

- RR-HI and RR-PR
- **Global RR**
- **IOPs/field campaigns to validate satellite products?**



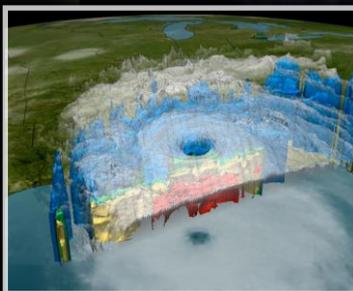
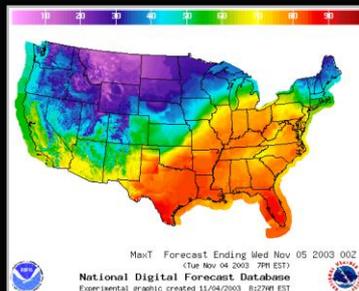
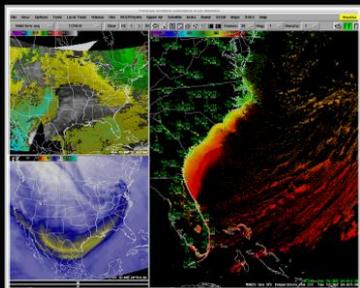
# Satellite data use at NOAA/ESRL for Rapid Refresh analysis and forecast systems



## More chances to learn about satellite data use for RR systems

- Tues, 1:15 pm: **Smoke and Fire Panel** (R. Ahmadov)
- Weds, 10:30 am: **Clouds and Winds Panel** (S. Weygandt)
- Weds, 4:45 pm, poster session:
  - **Use of satellite cloud product data for HRRR and RTMA cloud analysis** (S. Weygandt)
  - **Assimilation of GOES-16 ABI, N20 CrIS-FSR/ATMS (including Direct Broadcast) in RAP version 5** (H. Lin)
  - **Assimilation of GOES-R GLM Lightning Data and Cloud-Top Cooling Information in HRRR** (A. Back)
- <https://rapidrefresh.noaa.gov>

# NWP and Assimilation (Geophysical Parameters)



**James G. (Jim) Yoe**

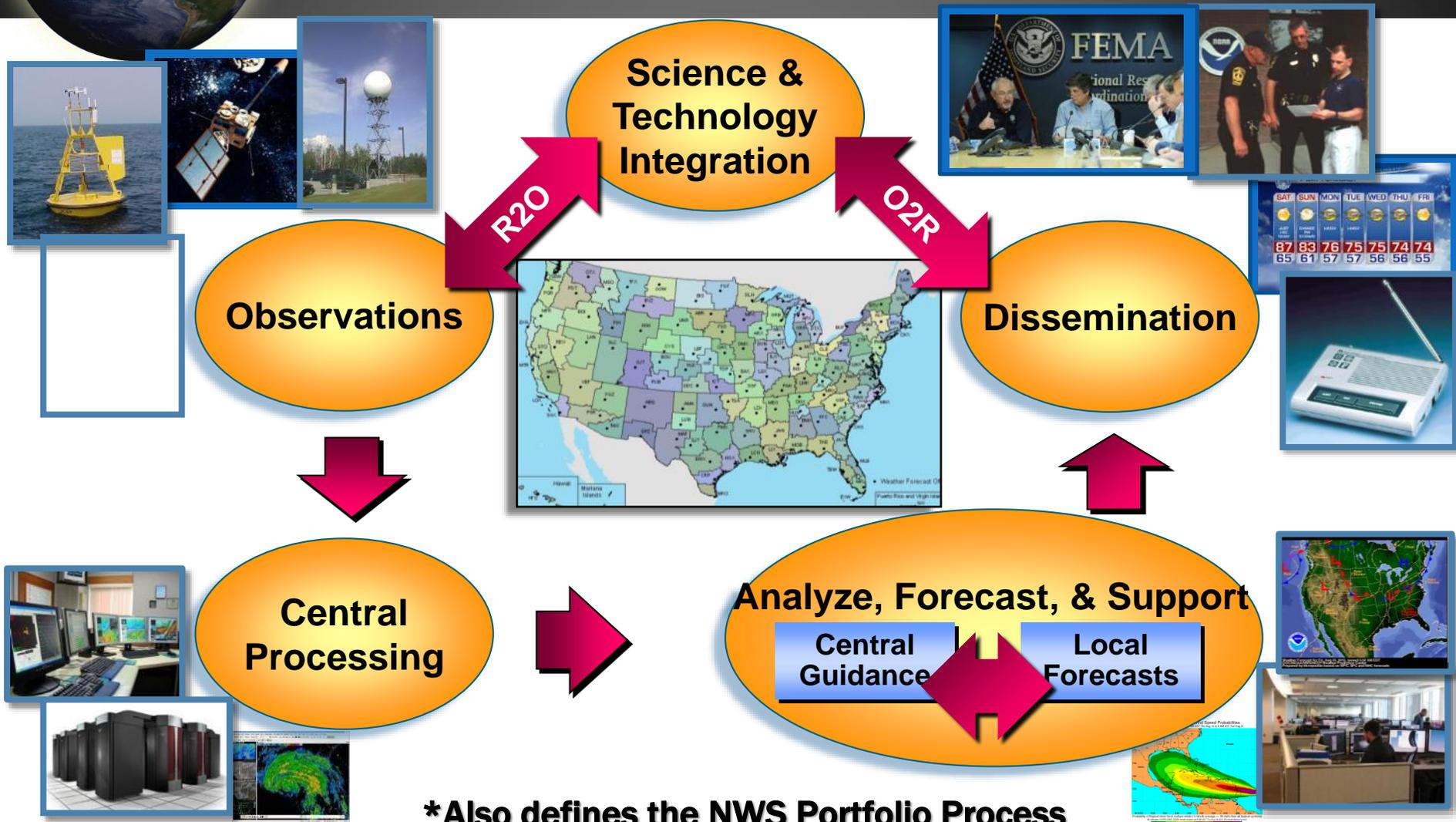
NOAA/NWS National Centers for Environmental Prediction

Satellite Proving Ground Summit

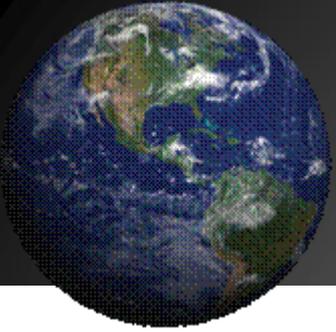
College Park, MD - February 24, 2020



# Background - NWS Infrastructure Based on: The Forecast Process



**\*Also defines the NWS Portfolio Process**



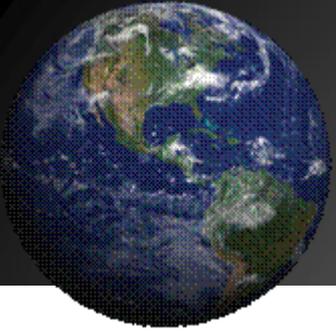
# My “User” Perspective

## ➤ What I Do (my job)

- Coordinate Obs, ST/I for National Centers (9 of them)
- Research Transition Manager for NCEP
  - Joint Center for Satellite Data Assimilation
  - Develop, Test, & Demonstrate methods/infrastructure to **Accelerate/Improve use of satellite data**

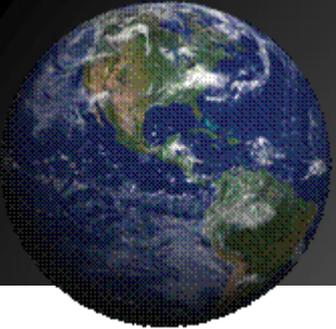
## ➤ What I Want to Accomplish (my mission)

- Improve Service Delivery:
  - **Assimilate more (sat) obs better** for more accurate NWP
  - More useful forecast products at Centers, WFOs, RFCs
  - Improved Decision Support to government agencies, individual citizens, and everyone in between



# Geophysical Parameters

- **Radio Occultation Profiles (bending angle)**
- **Atmospheric Motion Vectors (Geo and Polar)**
- **Surface properties (Land, Ocean, Cryosphere)**
  - **Ocean Surface Winds, Altimetry**
- **Vertical profiles of horizontal wind (DWL)**
- **Atmospheric Chemistry and Aerosols**

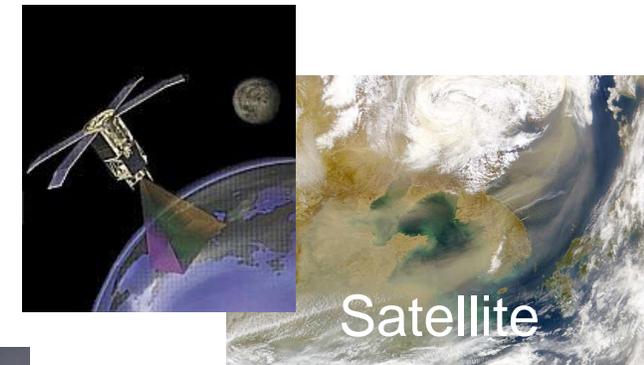


# Challenges

- **Recognized and Addressed**
  - **O2R is necessary for R2O**
  - **Acceleration requires common tools, efforts**
- **Recognized but not Addressed**
  - **DA Development and Testing requires ever more HPC**
- **What I Lose Sleep Over**
  - **O2R is NOT sufficient for R2O**
    - **Obs, DA, & Model compete for implementation**
    - **Faster planned dev of DA(JEDI), model (UFS via EPIC) and obs (small sats, commercial) not complemented on implementation side**

# Motivation – Navy Requirements for EO/Aerosol Research

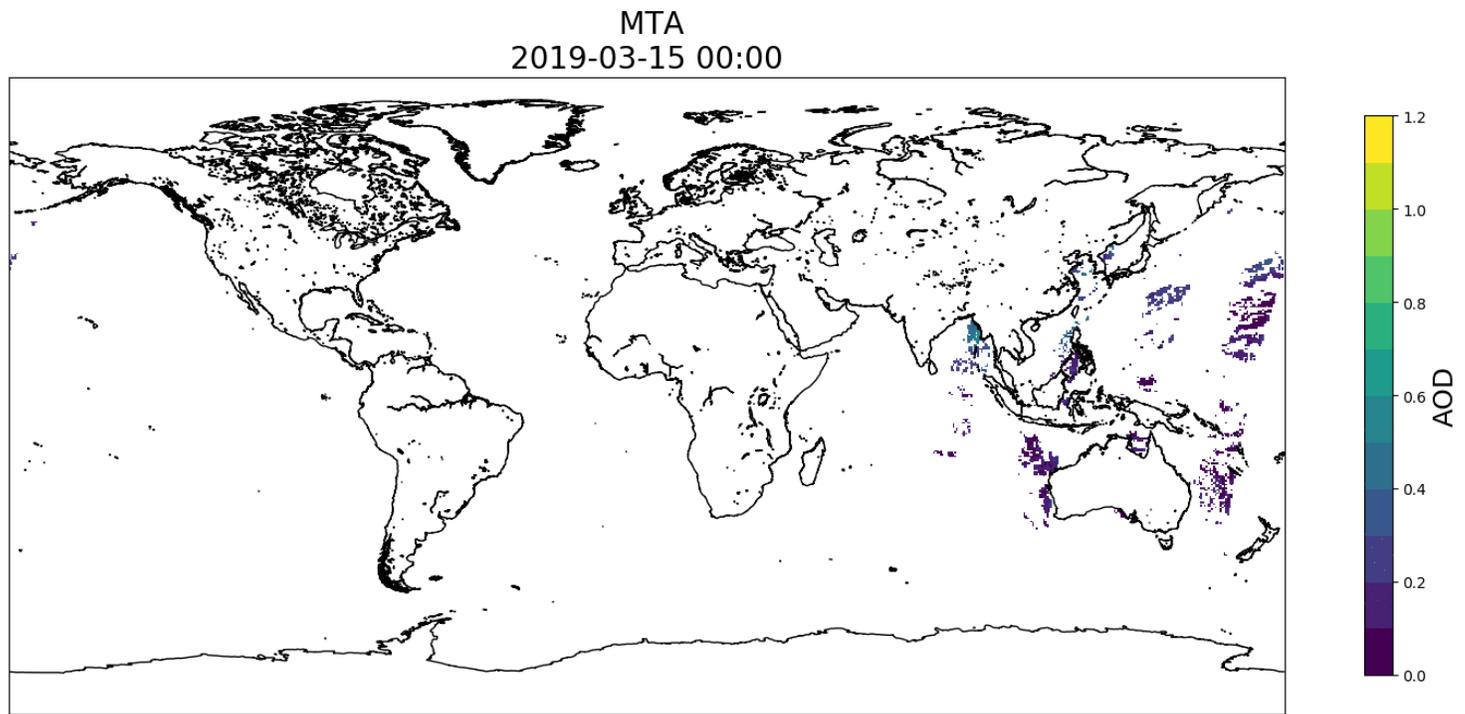
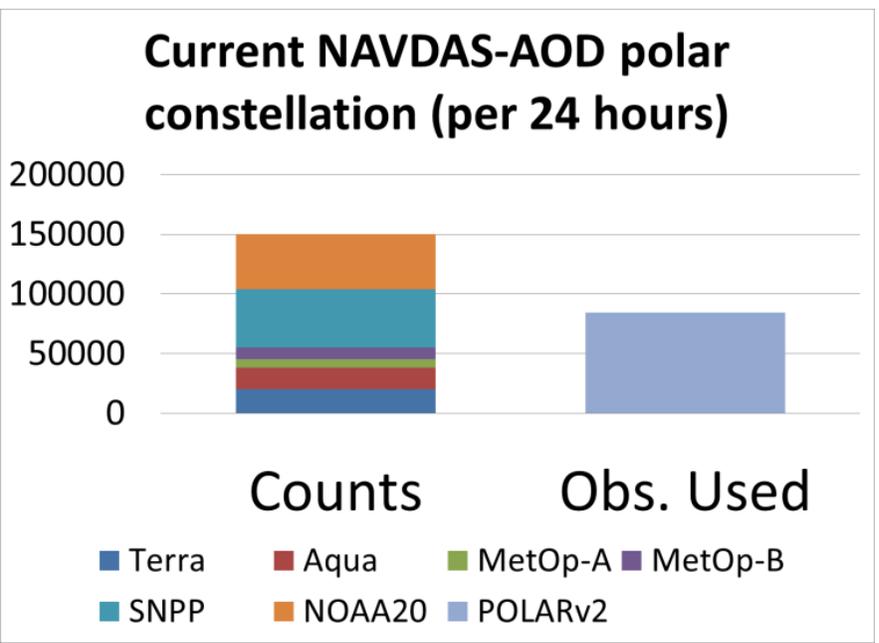
- Atmospheric environment (aerosols, clouds) can have a significant impact on **visibility** and **EO conditions**:
  - **Passive sensors**: Visibility for operations; EO/IR sensors, satellite sensors
  - **Active sensors**: Directed energy; laser communications; laser radar; precision guided munitions illumination



**Goal: Measure, model, and predict the impact of the environment on naval operations, and EO/IR sensors and weapon systems**

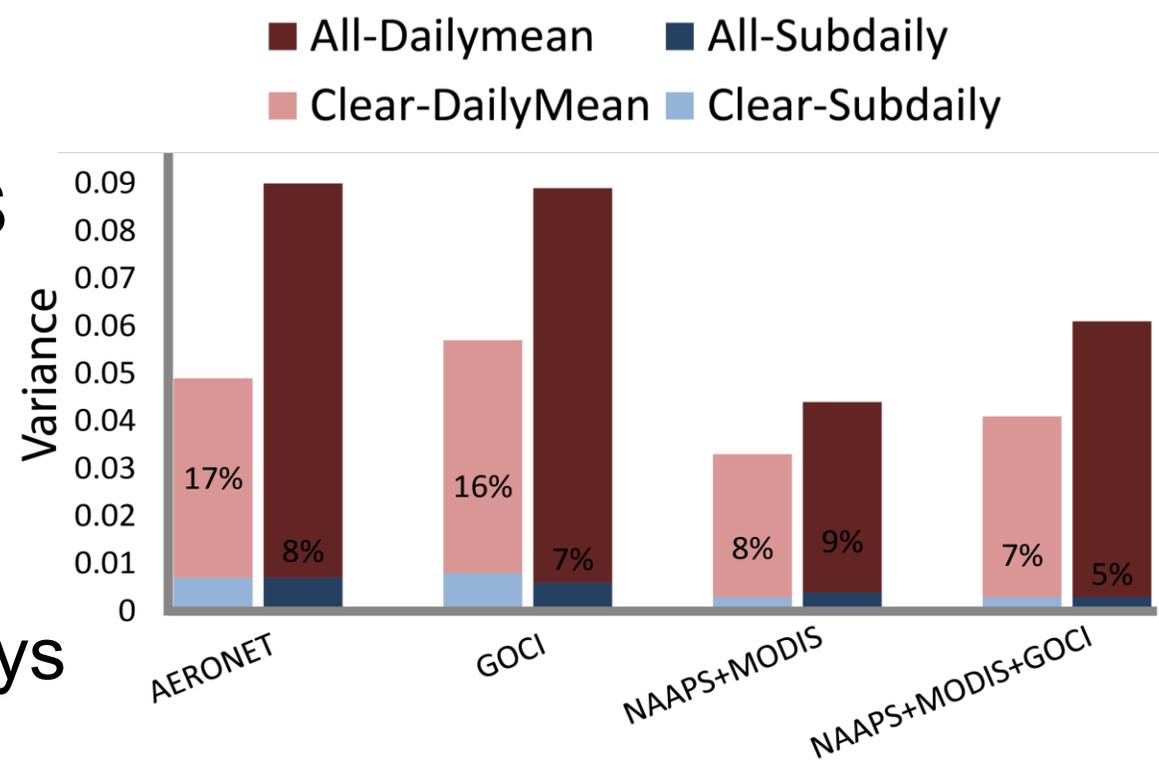
# Current LEO Constellation for Aerosol DA

- MODIS: Terra+Aqua, Collection 6.1 Dark Target + Deep Blue
- VIIRS: NOAA Enterprise AOD, NPP (preparing to use N20)
- AVHRR: ACSPO MetOp-A and MetOp-B, ocean-only
- 6-hourly 3DVAR: There is redundancy, but less than you'd think!



# Contribution of GEO to Aerosol Model Skill

- AERONET observations over East Asia during Spring 2016
- GOCI (Yonsei v2 AOD) and NAAPS sampled to match
- “subdaily anomaly” calculated by subtracting daily mean at each location from each observation
- “Clear” excludes partially cloudy days

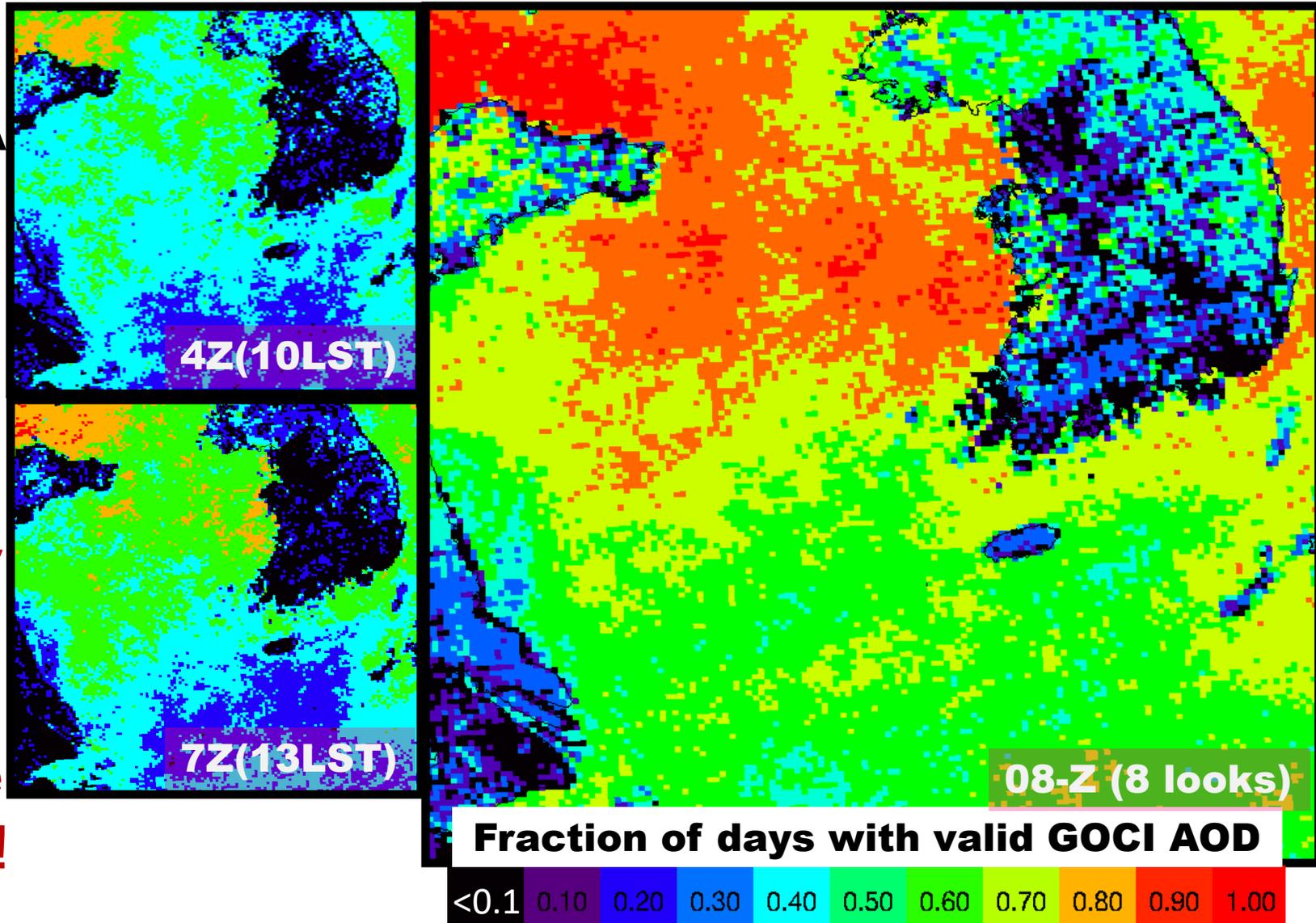


Hyer et al., JCSDA 2018

1. Subdaily variation is a 10-20% residual in this region
2. Satellite observations show similar variance to AERONET
3. NAAPS global model has smaller variance overall, much less subdaily variance (more with GOCI)
4. Daily mean matters most-- does GEO give a better daily mean?

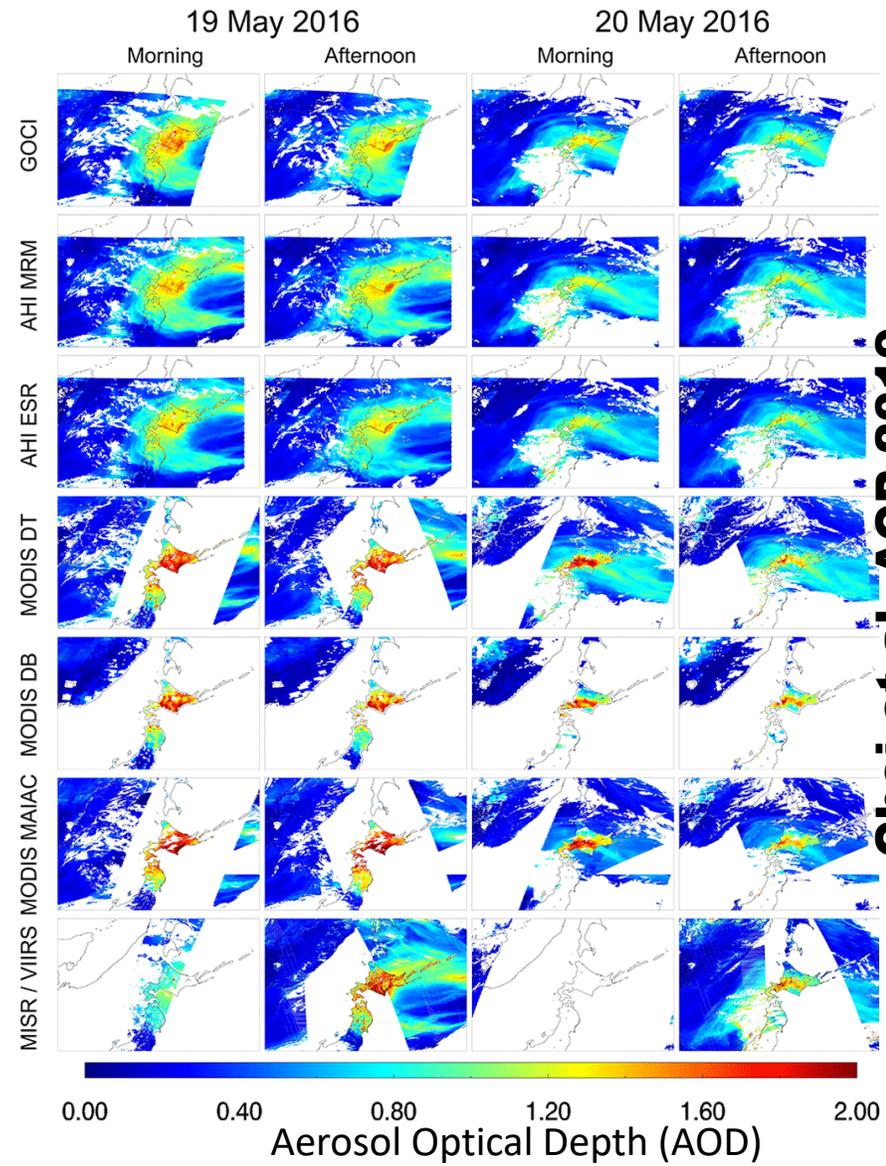
# Contribution of GEO to Aerosol Model Skill

- GOCI (Yonsei v2 AOD) filtered using built-in QA
- Upper left: 10LST
  - (AM LEO orbit)
- Lower left: 13LST
  - (PM LEO orbit)
- Right: Use entire day
- Repeat looks drastically increase available coverage!
- Large benefits available even for coarse models!



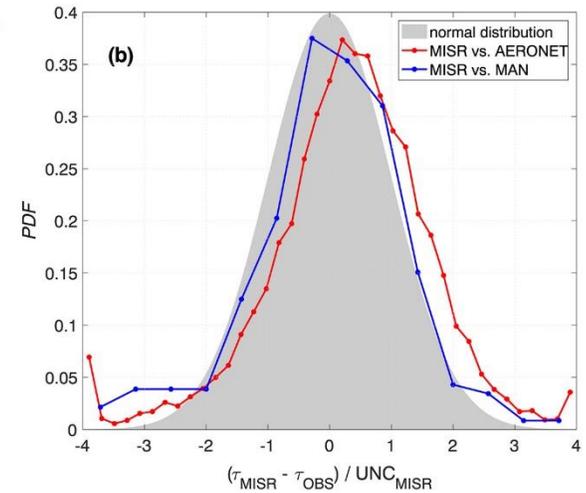
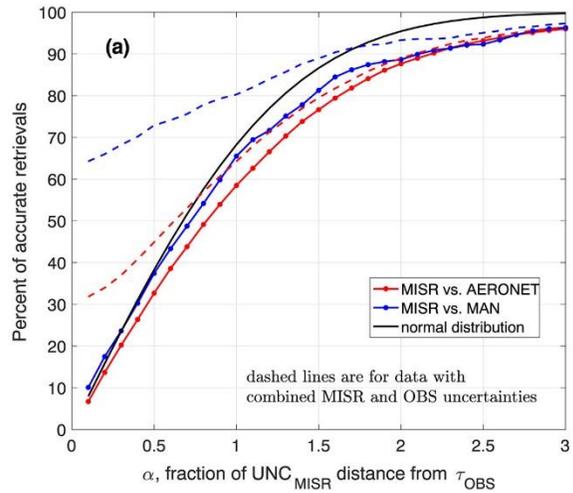
# Choosing satellite products for Assimilation

- Lots of products available-- top to bottom at right: GOCI, AHI MRM, AHI ESR, MODIS DT, MODIS DB, MODIS MAIAC, MISR(left), VIIRS SNPP Enterprise (right)
- Are they available in near real-time?
  - Research products push the science, NRT products get used for operations
- Do they verify well?
  - Optimally independent verification including comparison with current
- Do they include information to refine uncertainty estimates?

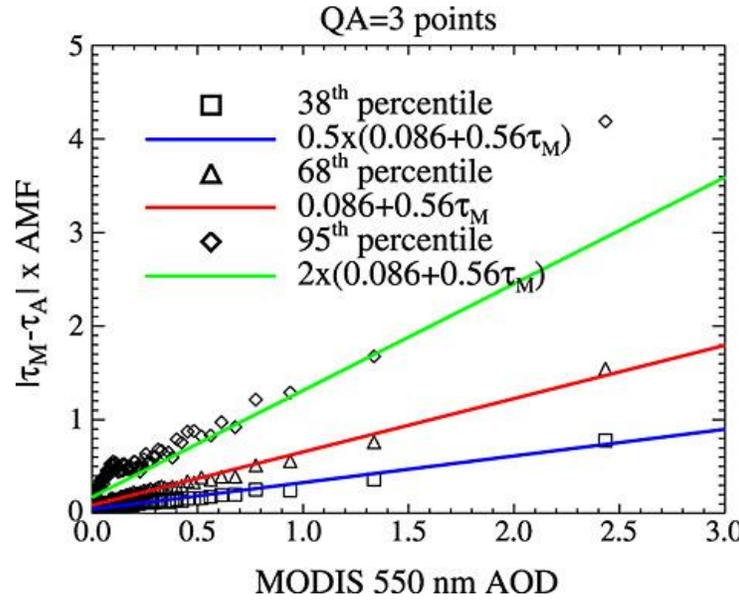


# Satellite product uncertainty-- and its validation!

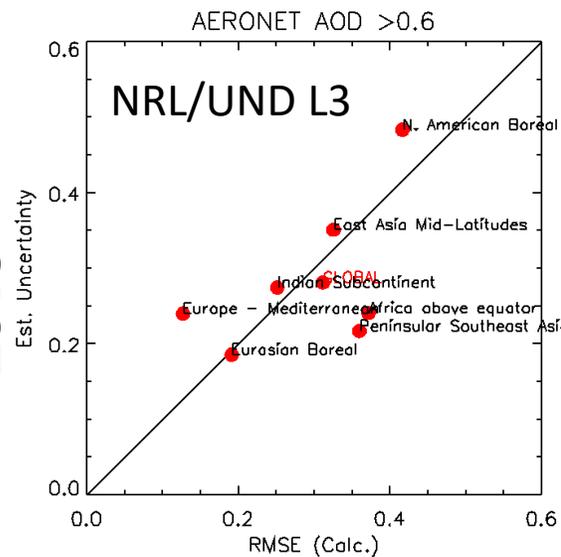
- Uncertainty comes from many sources-- many not readily stratified
- The mathematics of radiance inversion for retrieval generates an uncertainty
  - MISR uses this directly to estimate per-pixel uncertainty (Witek et al., top figures)
- Uncertainty can also be empirically estimated from verification
  - Some MODIS products include this (Sayer et al., bottom left)
  - Stratifies only by retrieved AOD and AMF
- **Can we make a skillful uncertainty resolved on ancillary characteristics?**
  - (example: by region, bottom right)



**Witek et al. JGR 2019**



**Sayer et al. JGR 2013**



1. Resolution and uncertainty trade off for many types of retrieval– who will manage that tradeoff (developer or user)?
2. Improving satellite retrievals is a job that evolves-- but does not end!
3. Information into the model is a function of ability to resolve uncertainty– data assimilation must always “assume the worst” about the observations!



**U.S. NAVAL  
RESEARCH  
LABORATORY**  
***THANK YOU!***

# NWP/Data Assimilation Part II: Geophysical Parameters

Kyle Hilburn

24-Feb-2020

# Challenges

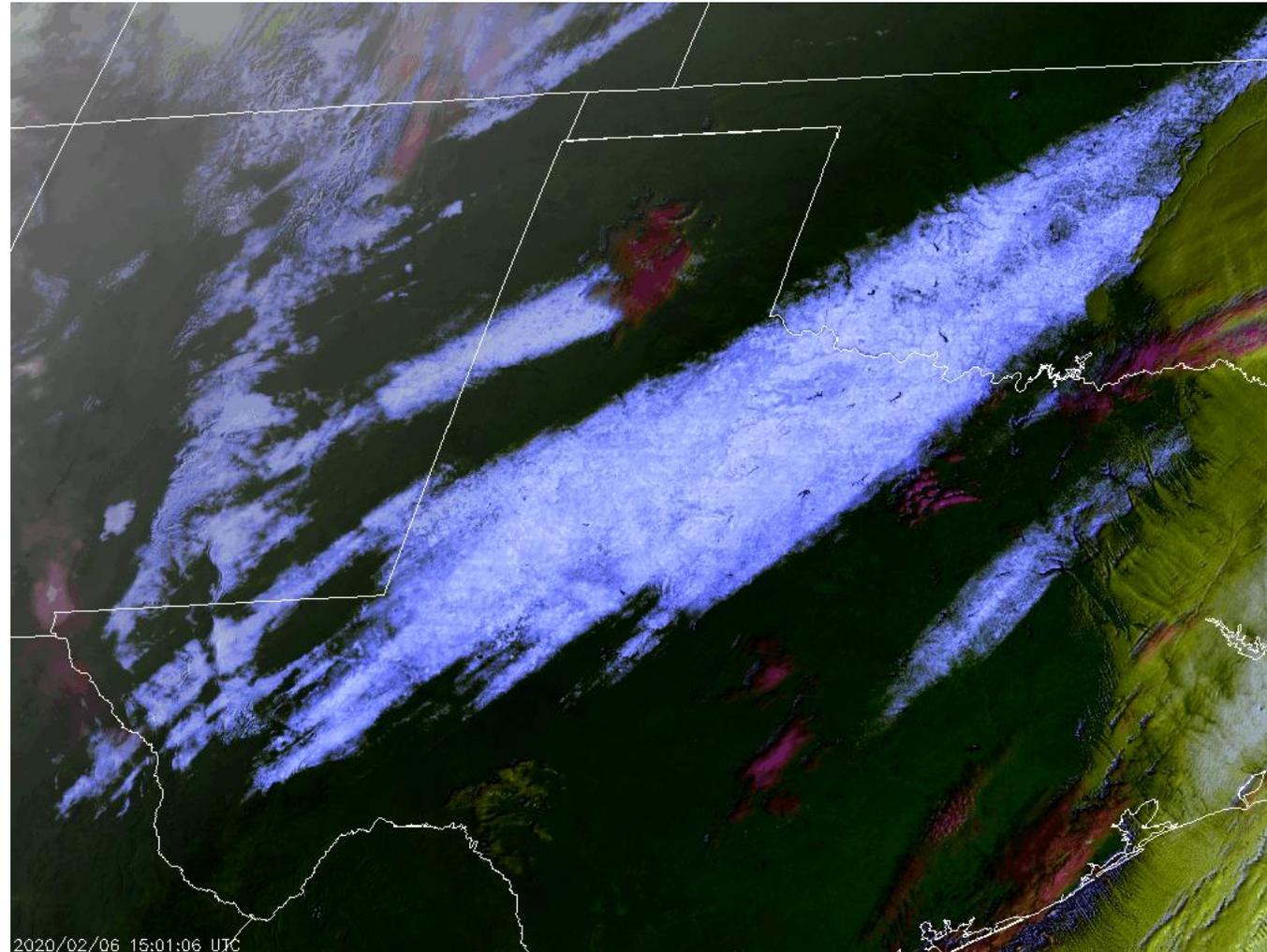
- Observations
  - Big data: many small improvements from a large number of observations makes for a big total improvement
- Models
  - Coupling: more Earth System components means many more interactions
- Data assimilation
  - Algorithms: becoming more complex and application dependent

# Opportunities

- Observations
  - GOES-R Series: untapped potential for snow, dust, smoke, and fire
  - Unmet need: latent heating for convective-scale forecasting in locations lacking good ground-based radar coverage
  - Other technologies (e.g., hyperspectral, UV, ...)
- Data assimilation
  - Machine learning
    - Humans develop imagery, machine learning uses imagery
    - Forward operator
    - Data fusion

# Untapped Potential: Snow Cover

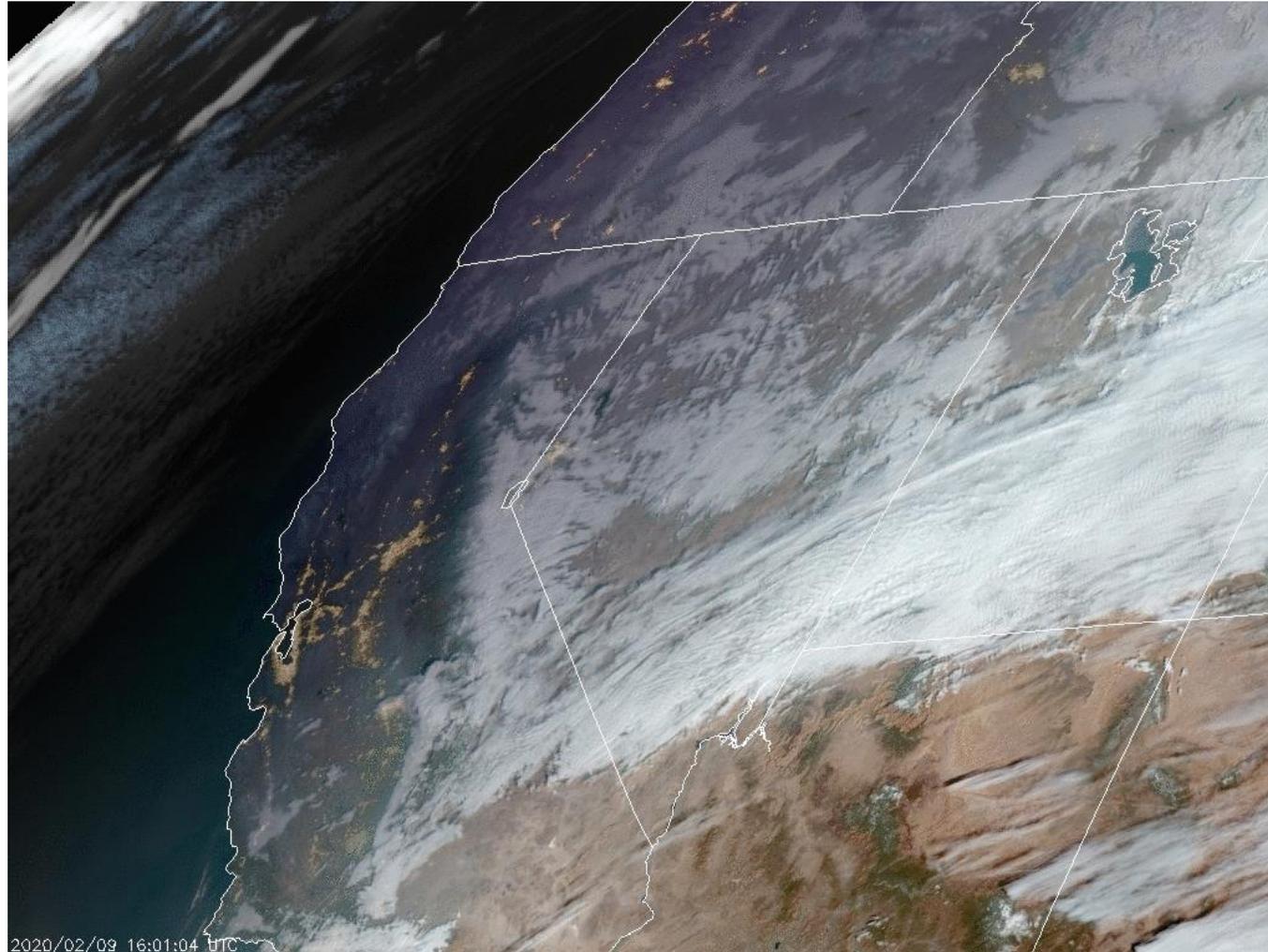
Melting snow over Texas  
GOES-16 CIRA Snow-  
Cloud/Layers



[http://rammb.cira.colostate.edu/ramsdisk/online/loop\\_of\\_the\\_day/](http://rammb.cira.colostate.edu/ramsdisk/online/loop_of_the_day/)

# Untapped Potential: Blowing Dust

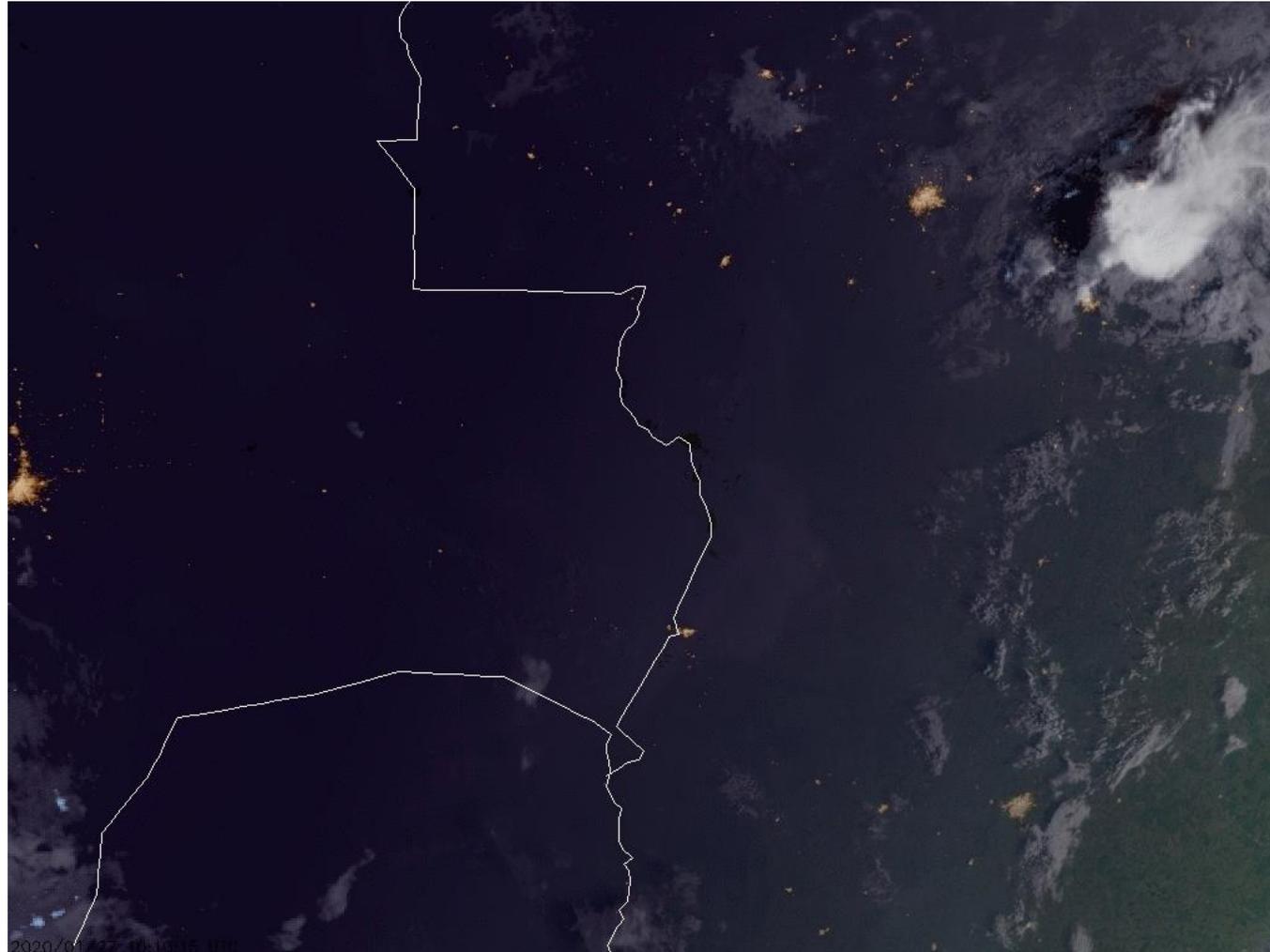
High winds loft dust from  
dry lake beds in Nevada  
GOES-16 CIRA GeoColor



[http://rammb.cira.colostate.edu/ramsdisk/online/loop\\_of\\_the\\_day/](http://rammb.cira.colostate.edu/ramsdisk/online/loop_of_the_day/)

# Untapped Potential: Smoke Dispersion

Smoke ingested by  
convection over Bolivia,  
Brazil, and Paraguay  
GOES-16 CIRA GeoColor



[http://rammb.cira.colostate.edu/ramsdisk/online/loop\\_of\\_the\\_day/](http://rammb.cira.colostate.edu/ramsdisk/online/loop_of_the_day/)

# Untapped Potential: Fire Temperature

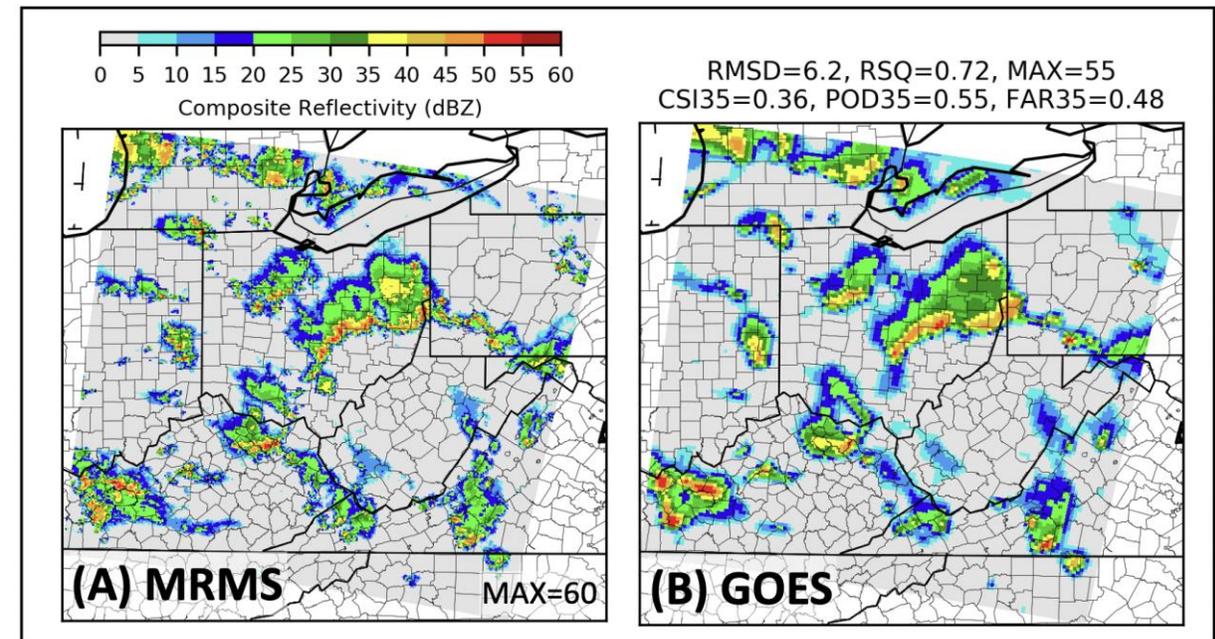
Explosive growth of the  
Kincaid Fire  
GOES-17 Fire  
Temperature RGB



[http://rammb.cira.colostate.edu/ramsdisk/online/loop\\_of\\_the\\_day/](http://rammb.cira.colostate.edu/ramsdisk/online/loop_of_the_day/)

# Unmet Need: Latent Heating for Convective-Scale Forecasting

- Data assimilation
  - Radiance assimilation
  - Machine learning
- Machine learning
  - Convolutional neural networks
  - Experiments: channel withholding, 1x1 filters, synthetic inputs
  - Attribution methods: LRP
- Poster 27: Using Machine Learning to Assimilate Precipitating Pixel Information from GOES ABI and GLM



## Conclusions

- 1) Information content in image gradients
- 2) Data fusion of lightning and radiances

# Discussion Questions

- To users: do you see potential benefits from assimilation of GOES-R Series snow cover, blowing dust, smoke dispersion, or fire temperature?
  - And what data assimilation strategies would be needed to include such observations in your models?

# Improving rapidly-developing storm prediction by assimilating high-resolution GOES-16 ABI Infrared water vapor and cloud sensitive radiances: issues and challenges

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## **Collaborators:**

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**Yanqiu Zhu** (NCEP/EMC)

24 Feb. 2020

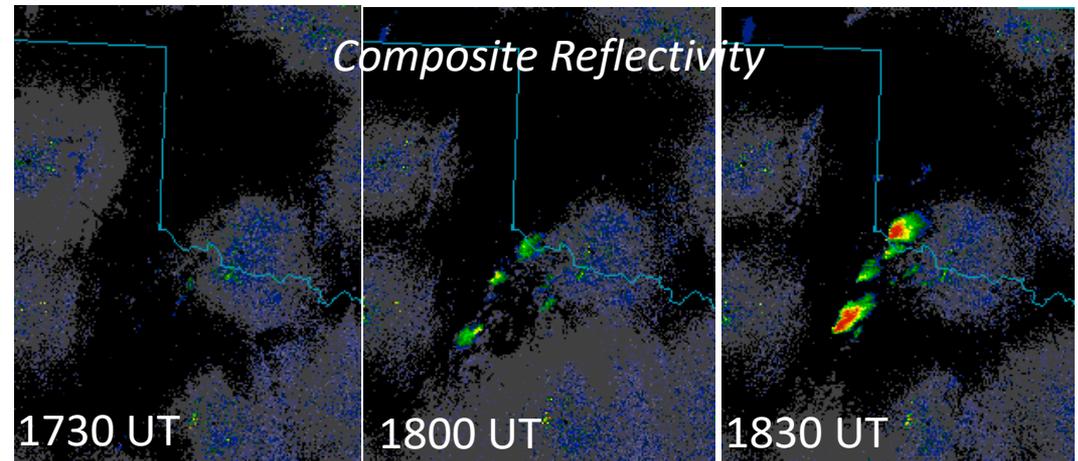
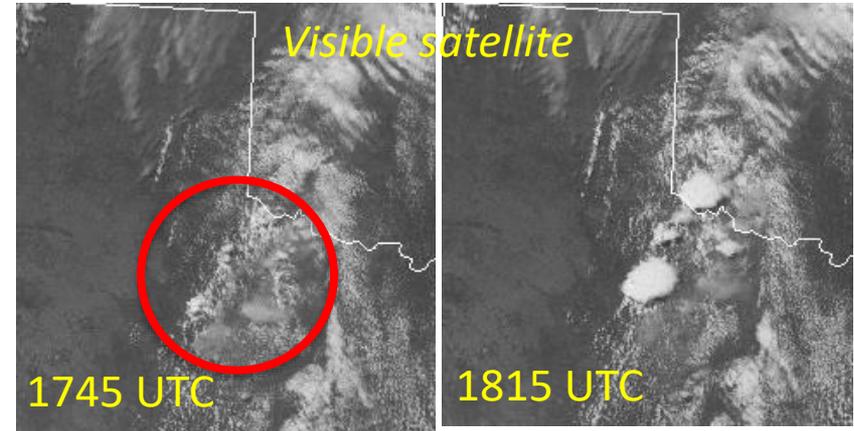
*JPSS GOES PGRR summit, College Park, MA*



# Background and motivation



- Direct radar reflectivity assimilation has shown good results for already-initiated storms (e.g., Dowell et al. 2011; Johnson et al. 2015; Wang and Wang 2017).
- High resolution ABI observations can be complementary to radar observations and if assimilated can add lead time to NWP of rapidly developing storms (e.g., Cintineo et al. 2016).



May 18 2017



# Challenges of assimilating GOES-16 clear air and cloudy radiances in convection allowing models



- Issues of numerical models
- Bias (model and obs.) correction
- Observation error estimation (operator error, representativeness error, etc.)
- Effective assimilation of multiple channels
- QC during DA
- Data assimilation algorithm advancement to treat nonlinearity and multiscale



- ❑ We have implemented ABI clear air and cloudy radiance assimilation in GSI-EnKF with various methods to enhance the assimilation.
  - additive noise inflation to treat model issue
  - adaptive obs. error estimation
  - understanding impact of assimilating different ABI channels (channel 9 vs. channel 10)
  - comparing different bias correction predictors/methods.



# GOES-16 products used



## Bias correction predictor

TABLE 1. ABI FMI spectral attributes [band number, center wavelength, 50% full width at half maximum (FWHM) minimum-FWHM maximum, approximate subpoint ground sampling distance, and name].

ABI band	Approx central wavelength ( $\mu\text{m}$ )	FWHM at 50% minimum ( $\mu\text{m}$ )	FWHM at 50% maximum ( $\mu\text{m}$ )	Subpoint pixel spacing	Descriptive name
1	0.47	0.45	0.49	1	Blue
2	0.64	0.60	0.68	0.5	Red
3	0.864	0.847	0.882	1	Vegetation
4	1.373	1.366	1.380	2	Cirrus
5	1.61	1.59	1.63	1	Snow/ice
6	2.24	2.22	2.27	2	Cloud particle size
7	3.90	3.80	3.99	2	Shortwave window
8	6.19	5.79	6.59	2	Upper-level water vapor
9	6.93	6.72	7.14	2	Midlevel water vapor
10	7.34	7.24	7.43	2	Lower/midlevel water vapor
11	8.44	8.23	8.66	2	Cloud-top phase
12	9.61	9.42	9.80	2	Ozone
13	10.33	10.18	10.48	2	Clean longwave window
14	11.21	10.82	11.60	2	Longwave window
15	12.29	11.83	12.75	2	Dirty longwave window
16	13.28	12.99	13.56	2	CO <sub>2</sub>

Schmit et al. (2017)

Assimilated

Parallax correction

TABLE 4. List of GOES-R ABI-derived baseline products. Also included are other attributes, such as the geographical coverage, horizontal resolution, and product refresh rate.

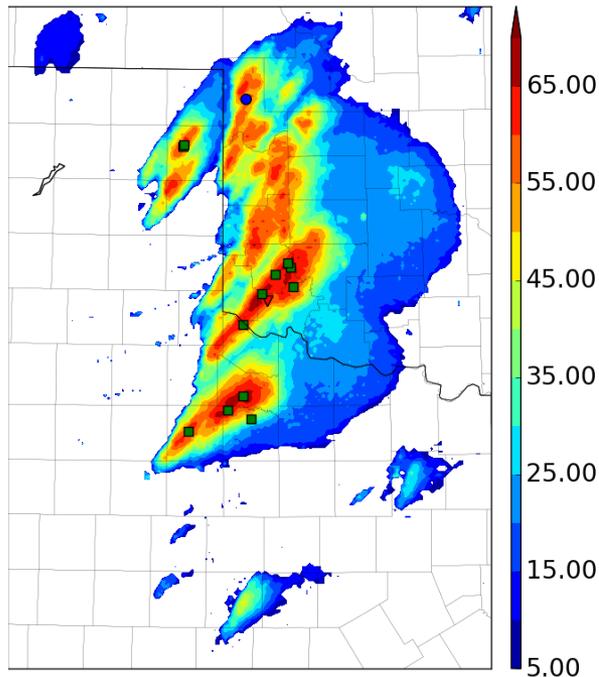
Baseline product	Product geographic coverage	Product horizontal resolution	Refresh rate/coverage time (mode 3)
Aerosol detection (including smoke and dust)	CONUS	2 km	CONUS: 15 min
	Mesoscale		FD: 15 min
Aerosol optical depth	CONUS	2 km	CONUS: 5 min
	FD		FD: 15 min
Volcanic ash: Detection and height	FD	2 km	FD: 15 min
Cloud and moisture imagery	CONUS	2 km, with finer day-time observations	CONUS: 5 min
	FD		FD: 15 min
Cloud optical depth	CONUS: for optical depth > 1	CONUS: 2 km	CONUS: 15 min
	FD: for optical depth > 1	FD: 4 km	FD: 15 min
Cloud particle size distribution	CONUS	2 km	CONUS: 5 min
	FD		FD: 15 min
Cloud-top phase	Mesoscale	2 km	Mesoscale: 5 min
	CONUS		CONUS: 5 min
Cloud-top height	FD	2 km	FD: 15 min
	Mesoscale		Mesoscale: 5 min
Cloud-top pressure	CONUS	CONUS: 10 km	CONUS: 60 min
	FD	FD: 10 km	FD: 60 min
Cloud-top temperature	Mesoscale	10 km	Mesoscale: 5 min
	CONUS		CONUS: 60 min
Hurricane intensity	FD	2 km	FD: 30 min
	Mesoscale		Mesoscale: 4 km
Rainfall rate/quantitative precipitation estimation (QPE)	CONUS	10 km	CONUS: 60 min
	FD		FD: 60 min
Legacy vertical moisture profile	FD	2 km	FD: 15 min
	Mesoscale		Mesoscale: 5 min
Legacy vertical temperature profile	CONUS	10 km	CONUS: 30 min
	FD		FD: 60 min
Derived stability indices [convective available potential energy (CAPE), lifted index, K index, Showalter index, total totals]	Mesoscale	10 km	Mesoscale: 5 min
	CONUS		CONUS: 30 min
Total precipitable water	FD	10 km	FD: 60 min
	Mesoscale		Mesoscale: 5 min
Clear-sky masks	CONUS	2 km	CONUS: 15 min
	FD		FD: 15 min
	Mesoscale		Mesoscale: 5 min
	CONUS		CONUS: 30 min

Removal of partial cloudy pixels

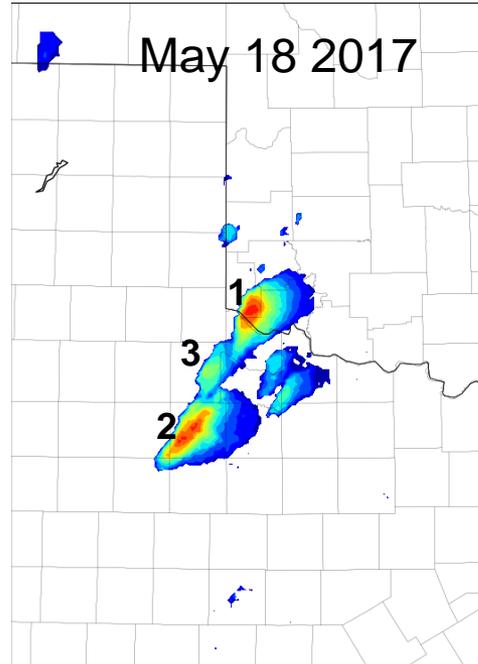
Schmit et al. (2017)<sup>5</sup>



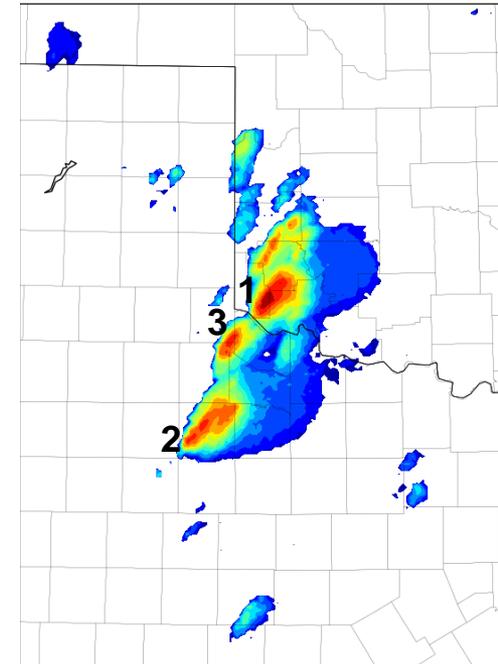
# Case Overview



Observed maximum composite reflectivity during 1800-2000 UTC



Observed maximum composite reflectivity during 1800-1830 UTC



Observed maximum composite reflectivity during 1830-1900 UTC

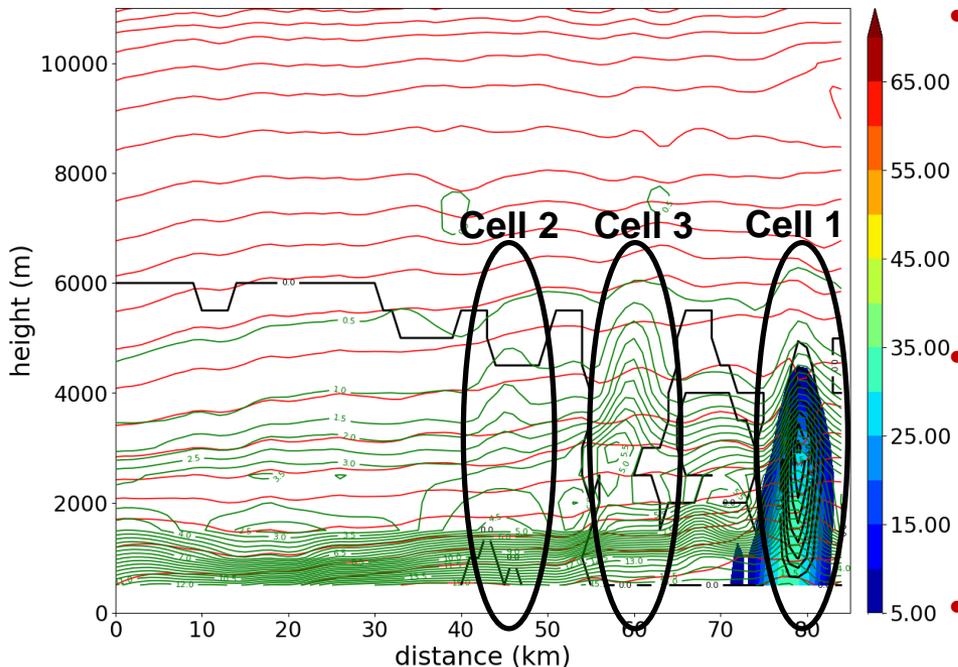
- Two long-track supercells were observed in southwestern OK and north-central TX.
- Interested in predicting storm scale details and individual convective cells.
- Cell 1 initiated first and had the longest track and a tornado.
- Cell 2 developed next, and also intensified quickly into a long-lived supercell.
- Cell 3 developed last, eventually weakening as it followed the path of cell 1 and ingested cooler air.



# Additive Noise Inflation Implementation



## Radar and Ch. 10 DA: 1830 UTC cycle



*Ensemble mean background of reflectivity (shaded, potential temperature (red contour), water vapor (green contour), and total cloud (black contour).*

- Ensemble based DA cannot add cloud or precipitation hydrometeors to locations where all first guess members predicted zero values of these variables, even if they are implied by the radiance observations.

- Zero background error variance for these variables prevents the southern storm(s) (e.g. cell 2) from being produced during ABI DA cycling

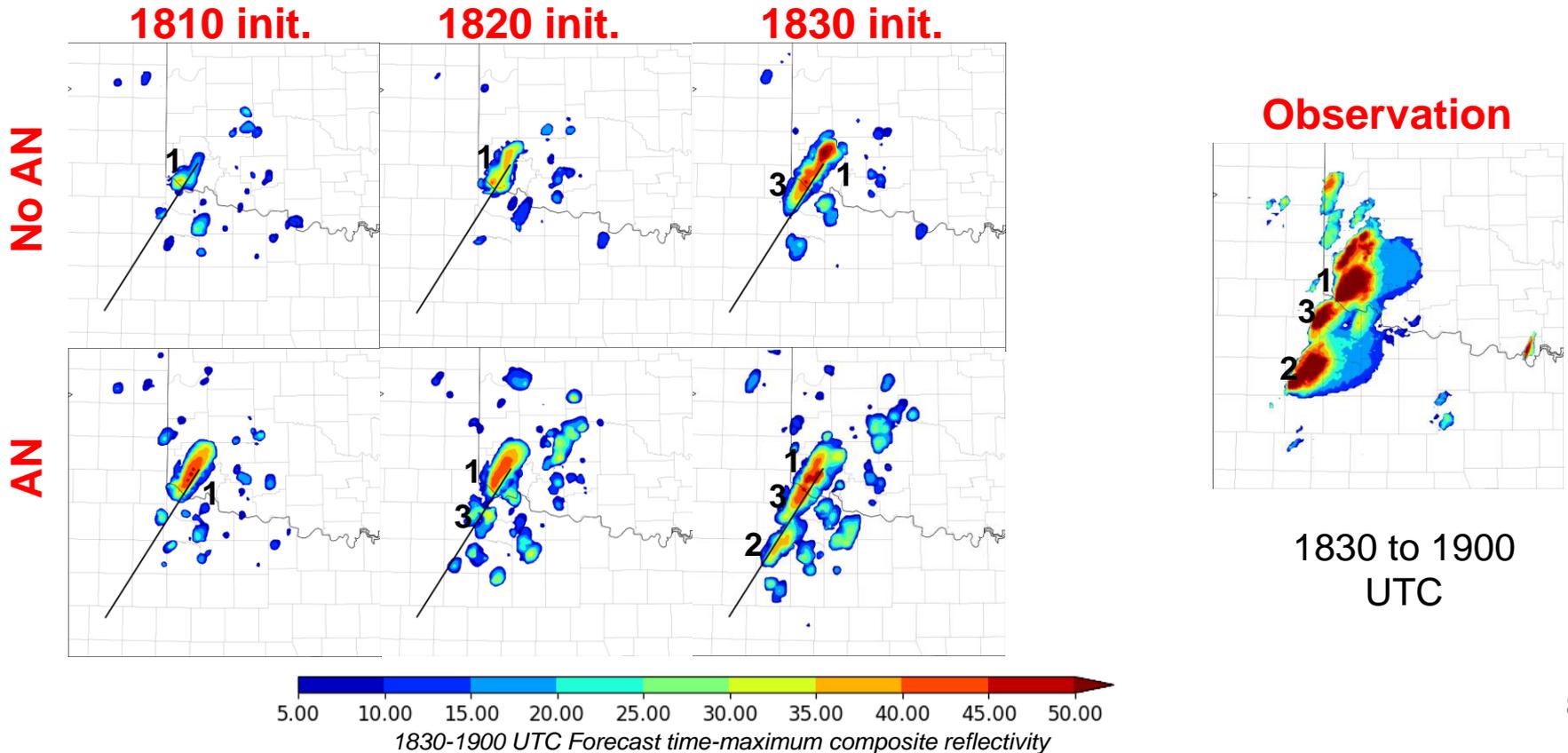
- Analogous approach to radar reflectivity DA, an additive noise inflation approach is adopted where observations indicate cloud but background indicates clear air.



# Additive Noise Inflation Impact on Channel 10 ABI DA



- Verification of time-maximum (using output files every 5 minutes) composite reflectivity during the 1830 to 1900 UTC period.
- The implementation of AN allows all storms be well forecast in the 1830 UTC initialization.
- The northern storms are able to be forecast with greater lead time. Southern storms captured at 1830 init.
- Further optimizations to the AN implementation is still ongoing.

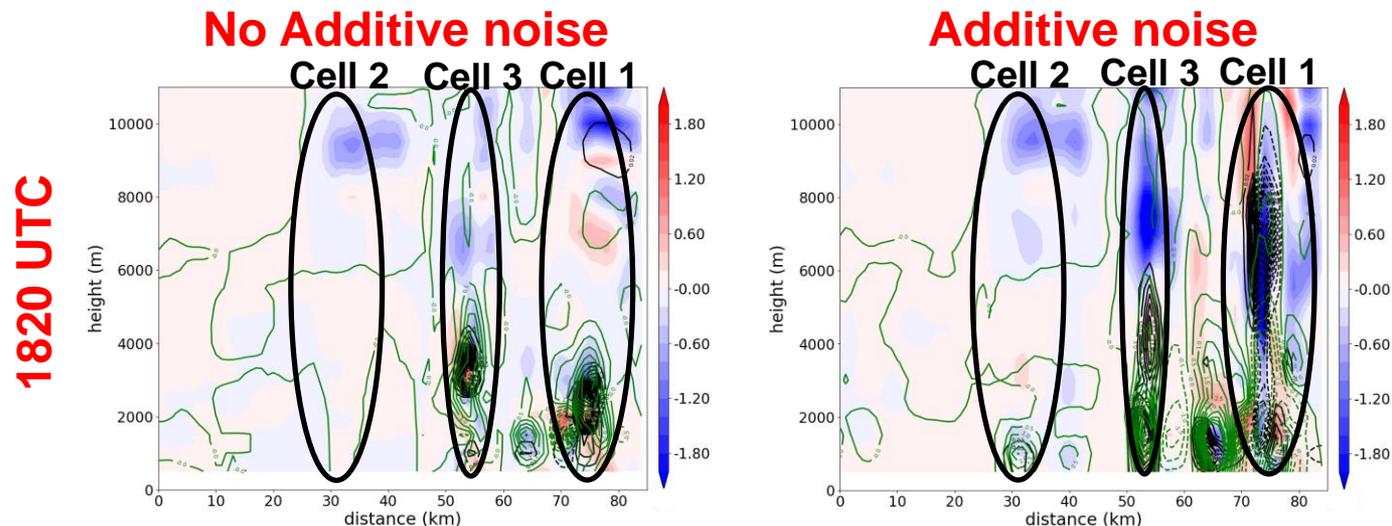




# Cross Section Diagnostics on Additive Noise Inflation (Ch10)



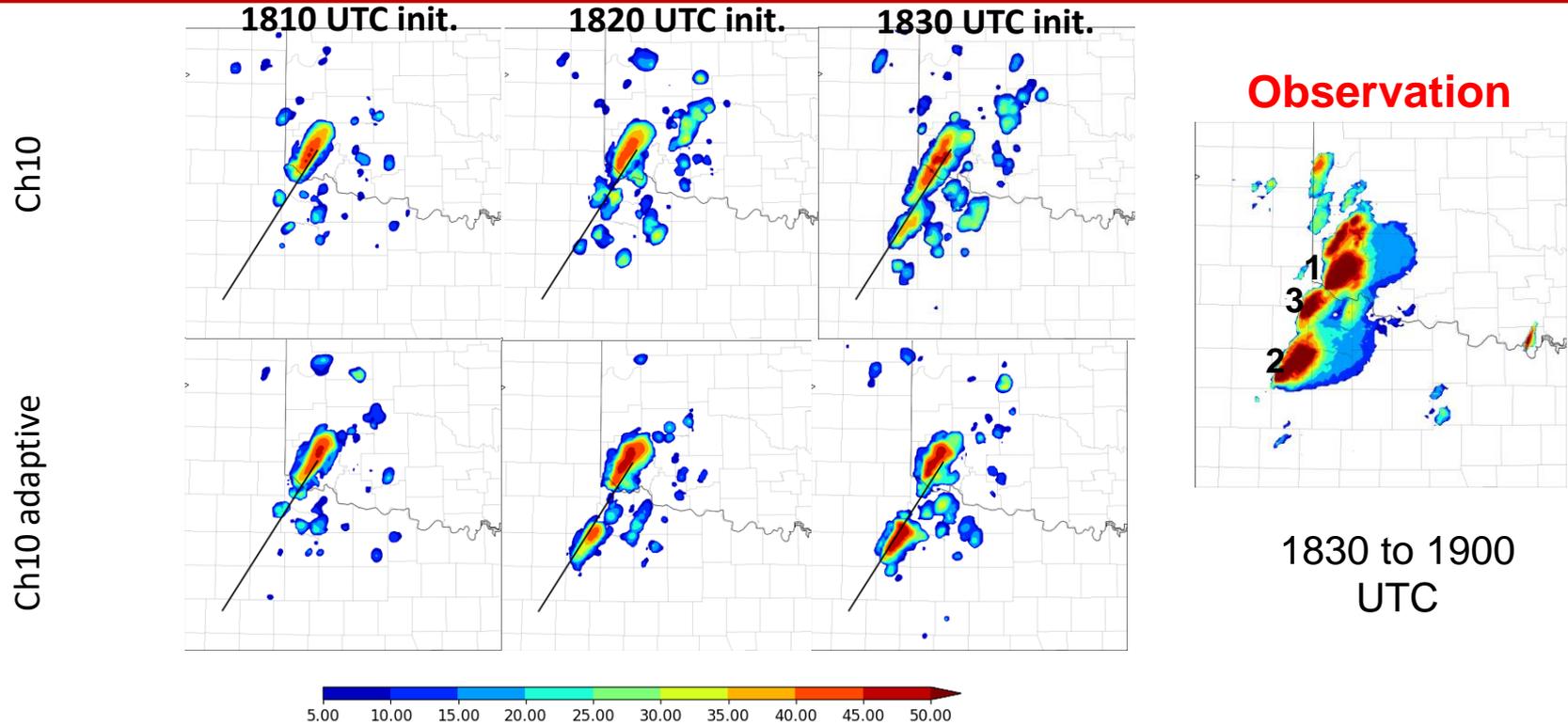
*Cross sections of DA increment to potential temperature (shaded), water vapor (green contour), and total cloud hydrometeor (black contour)*



- Additive noise allows the increments to produce deeper storms in northern two cells.
- Additive noise allows DA to start spinning up the southern storm in the 1820 UTC cycle



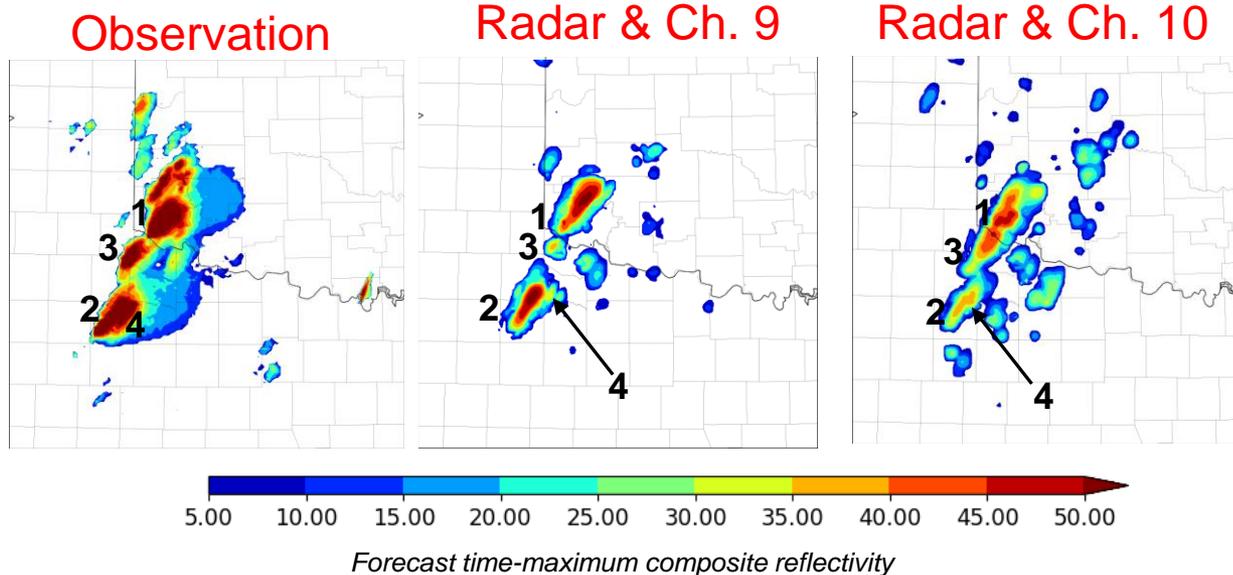
# Impact of adaptive obs. error estimation



- Ob error estimated from o-b as a function of symmetric cloud amount
- The forecasts are generally improved by using adaptive ob error estimation, especially in the southern storm.



# Ch9 vs Ch10 ABI DA impact



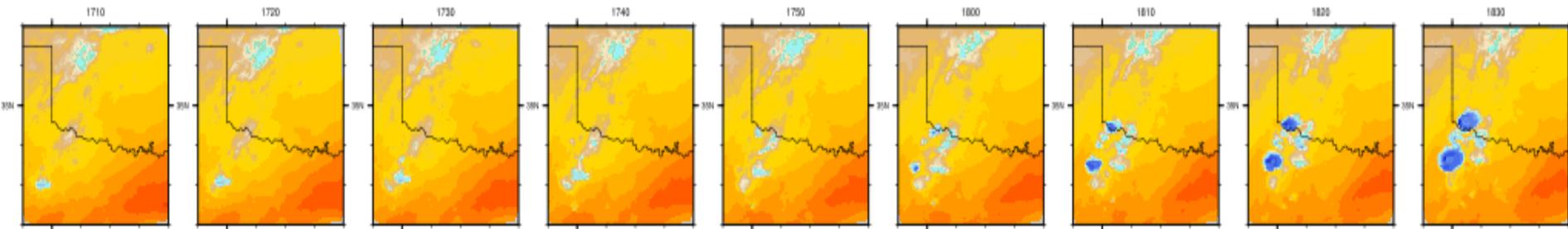
- Ch10 better captures cell 3 likely because Ch10 can see the early stages of low-level cloud development more clearly than Ch 9.
- Ch9 better captures cell 1 & 2 likely because of better spun-up ensemble covariance structure



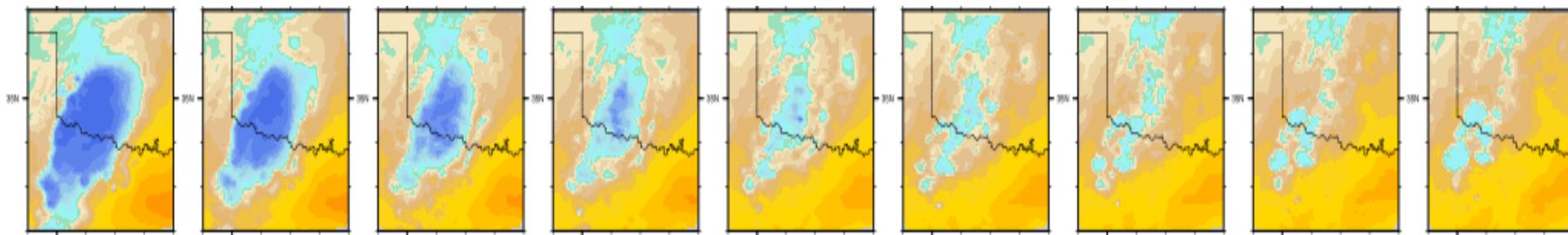
# Challenge of bias correction



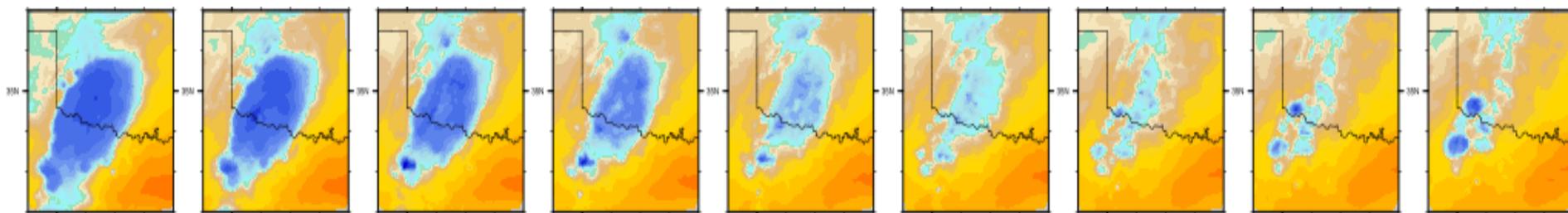
Channel 9 BT Observation at times from 1710 to 1830 UTC



Channel 9 BT analysis : Observed BT predictor at times from 1710 to 1830 UTC



Channel 9 BT analysis : Simulated BT predictor at times from 1710 to 1830 UTC



# Ozone Data Assimilation at NCEP

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# Why Ozone Data Assimilation?

- Ozone data assimilation provides a global 3D distribution of ozone.
- Ozone observations from satellite instruments are important to constrain the ozone field in global model.
- Accurate knowledge of the ozone distribution has potential to improve temperature forecasts in stratosphere.
- The time evolution of ozone contains wind information.
- Ozone analyses initialize ozone forecasts which are used for surface UV forecasts.

# O3 products used operationally at NCEP

## Actively Assimilated

- OMPS version 8 nadir profiler (NP) and nadir mapper (NM) from NPP
- OMI\_AURA (total column)

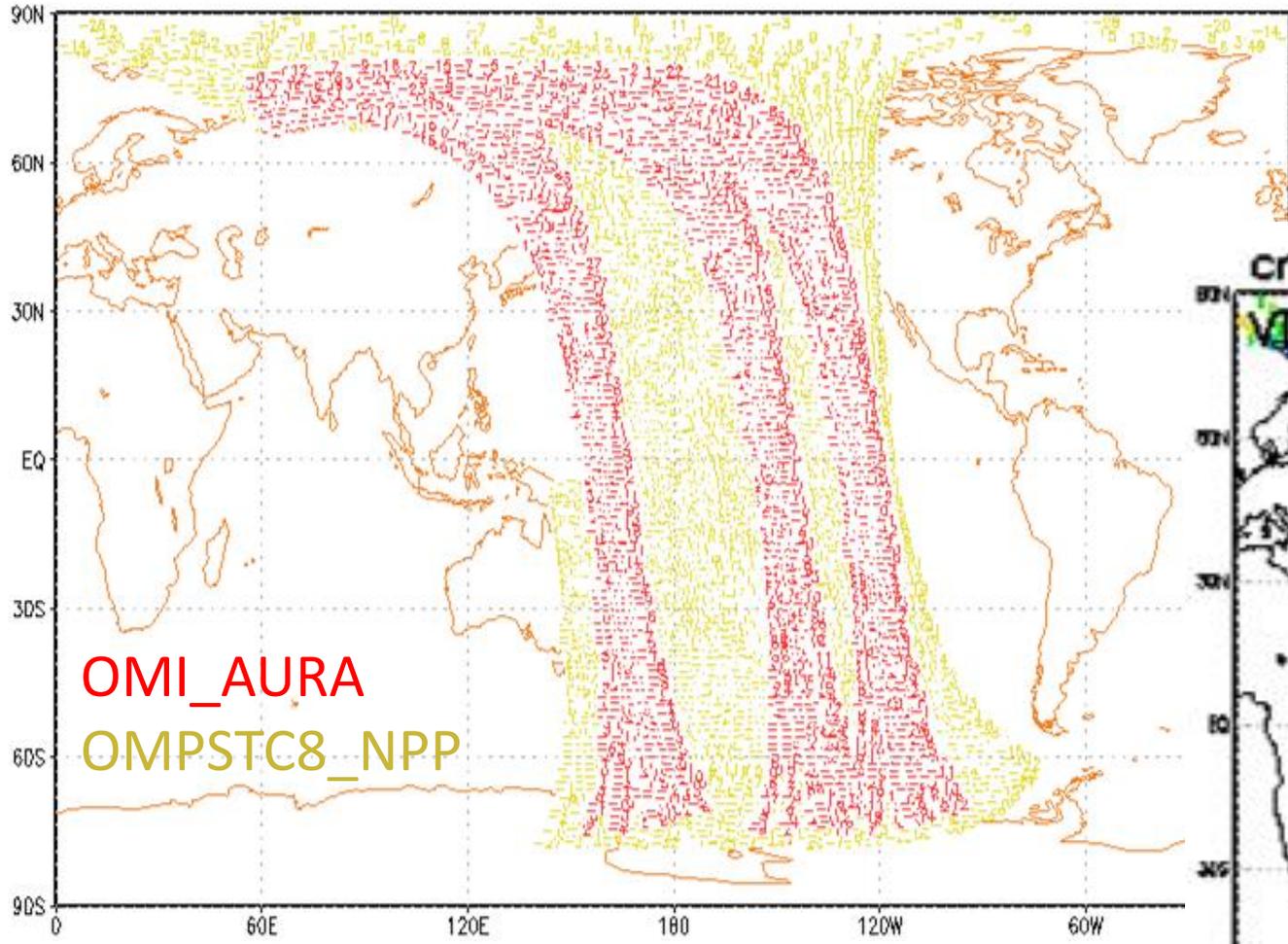
## Passively Monitored

- SBUV\_N19 version 8 nadir profiler
- GOME from Metop-A and Metop-B

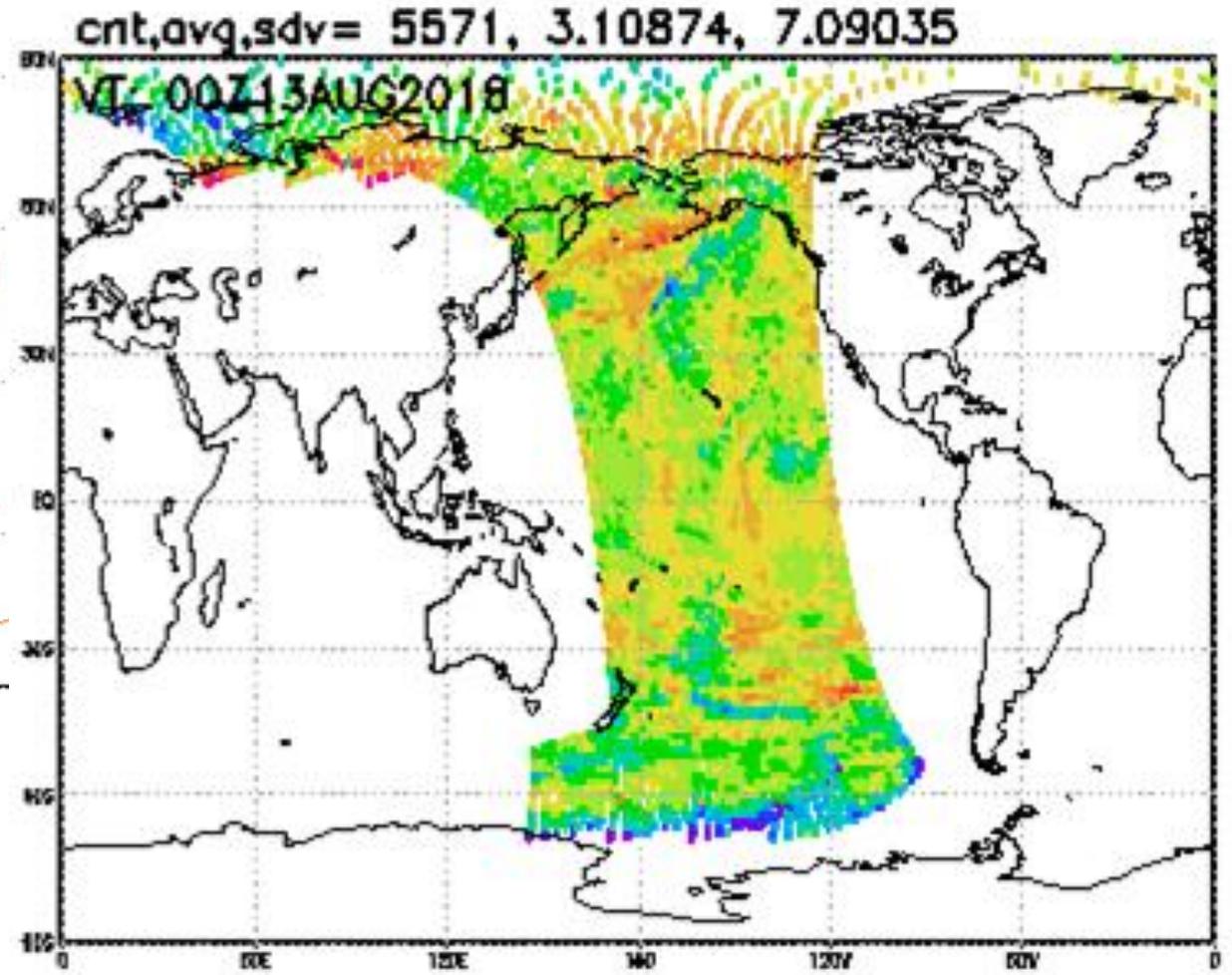
## To be used in future

- OMPS limb profiler (LP): under evaluation and can be monitored in the pre-implementation parallels
- OMPS NP and NM from N20

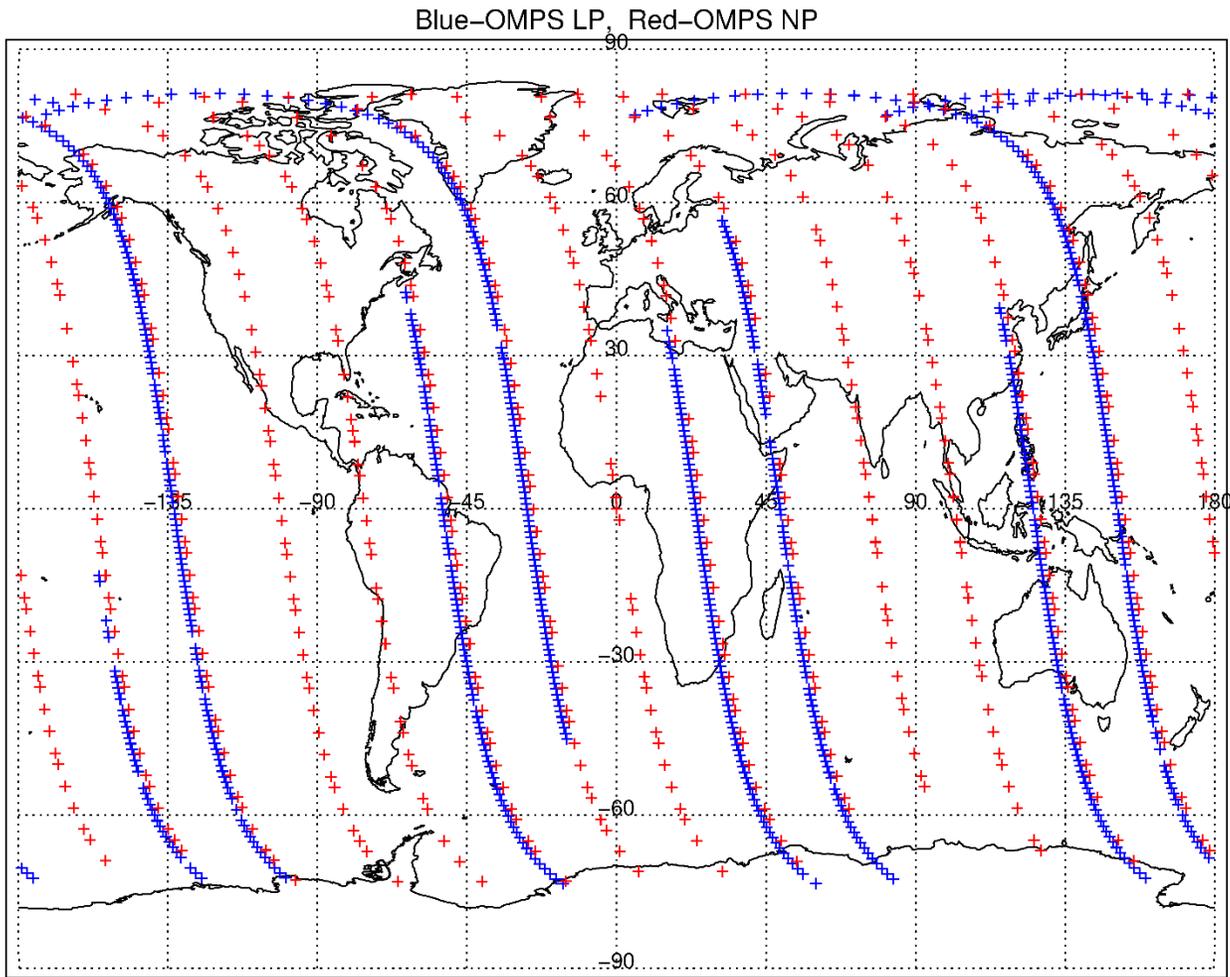
# total column O3 data coverage



OmF from OMPS nadir mapper

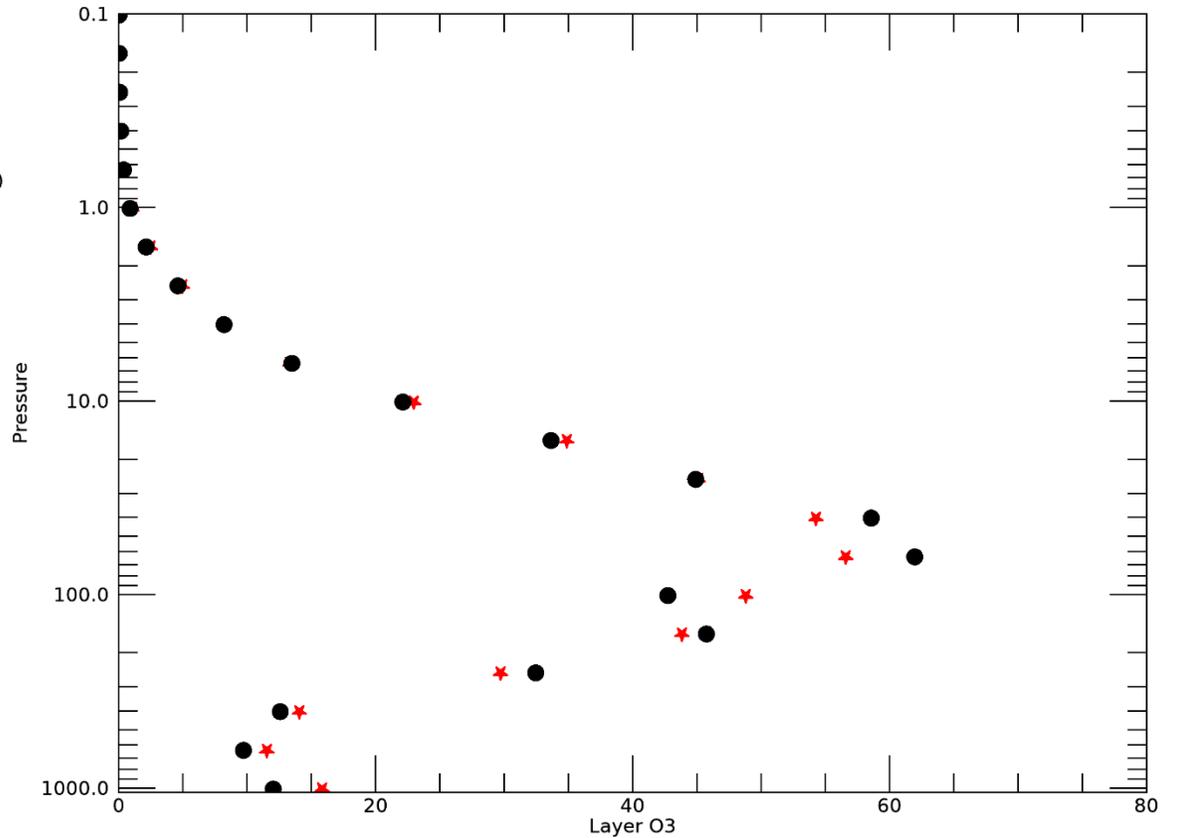


- OMPS nadir mapper has better coverage than OMI\_AURA.



OMPS profiler daily data coverage  
 blue: limb profiler  
 red: nadir profiler

## Obs from OMPS nadir profiler VS FCST

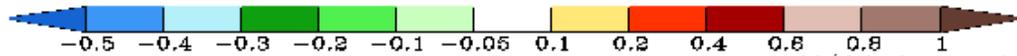
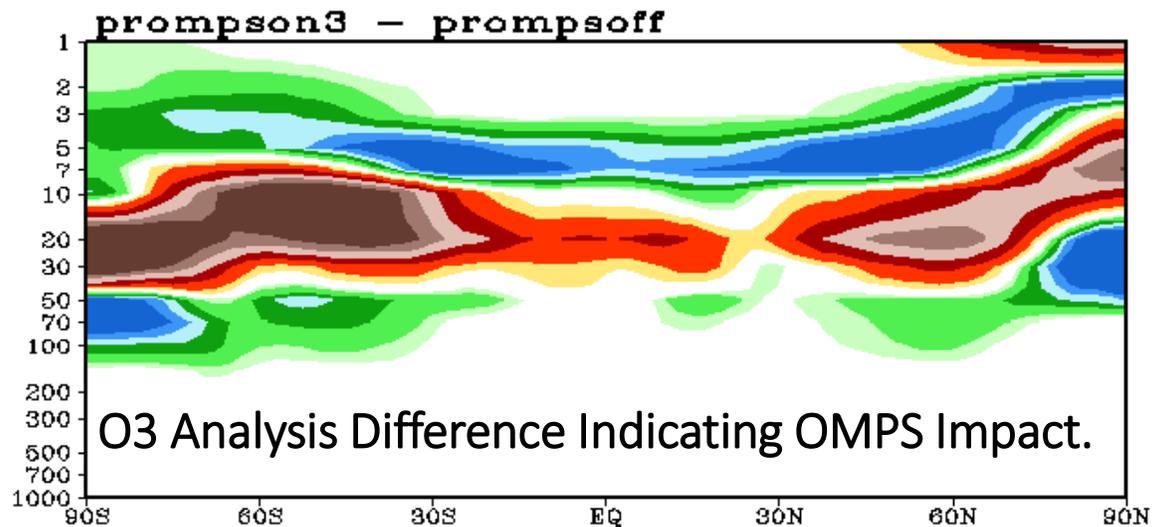
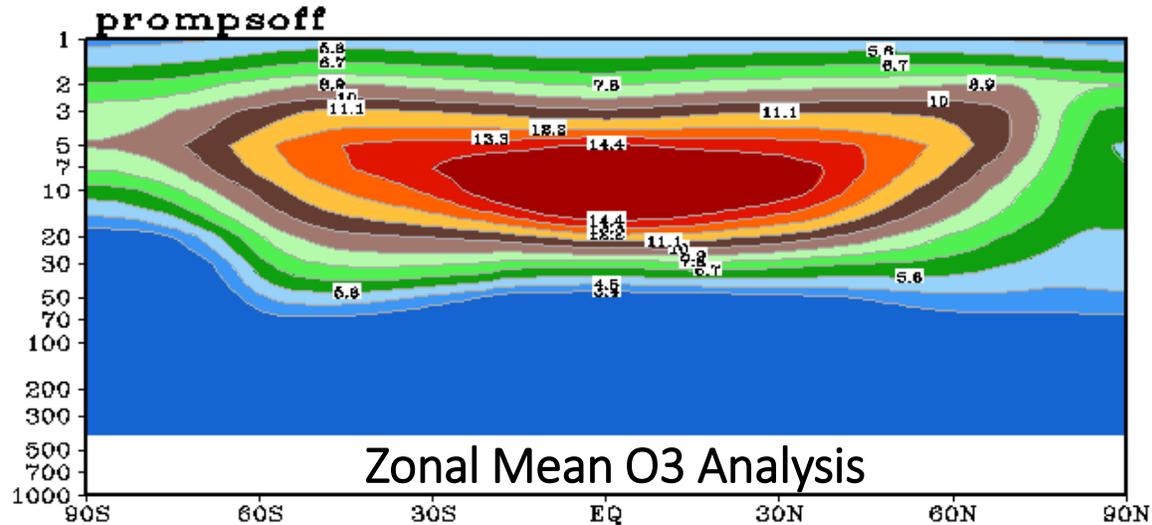


# OMPS\_NPP Assimilation

- Quality Controls (QC) for OMPS
  - QC for nadir profiler (NP):
    - Only accept total ozone error code 0 or 2 (high sza)
    - Only accept profile ozone error code 0, 1 (high sza) or 7(stray light correction applied)
  - QC for total column ozone from nadir mapper (NM):
    - only accept flags 0, 1, flag 2 is high SZA data which is not used
    - remove the data in which the C-pair algorithm (331 and 360 nm) is used
- Thinning for OMPS NM:
  - the product resolution is 50kmx50km but thinned to 150kmx150km

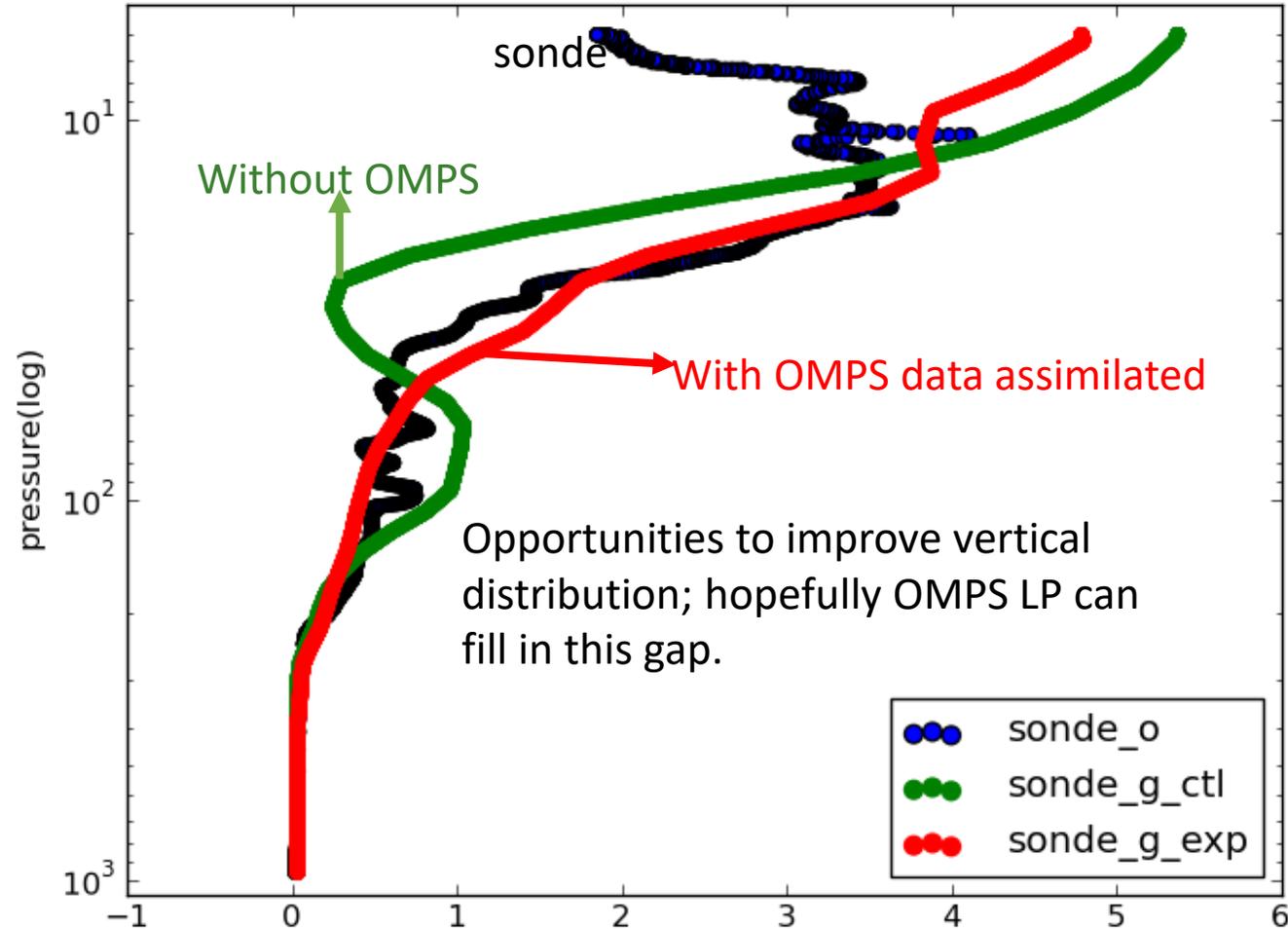
# Impact of OMPS\_NPP Assimilation

O3 (ppmg), 00Z-Cyc 10Sep2018-13Oct2018 Mean  
(ani ani ani ani) Post-Hour Average



JPSS/GOES-R Proving Ground / Risk Reduction (PGRR)

Comparison with independent O3 sounding



# Ozone Data Assimilation Monitoring

## Ozone Data Monitoring

Select Source:

[Time Series plots](#)  
[Horizontal data plots](#)  
[Summary plots](#)

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**Time Series**

Select Platform:

Select Data Type:

Geographic Region:

Statistic Type:

Level Groups:

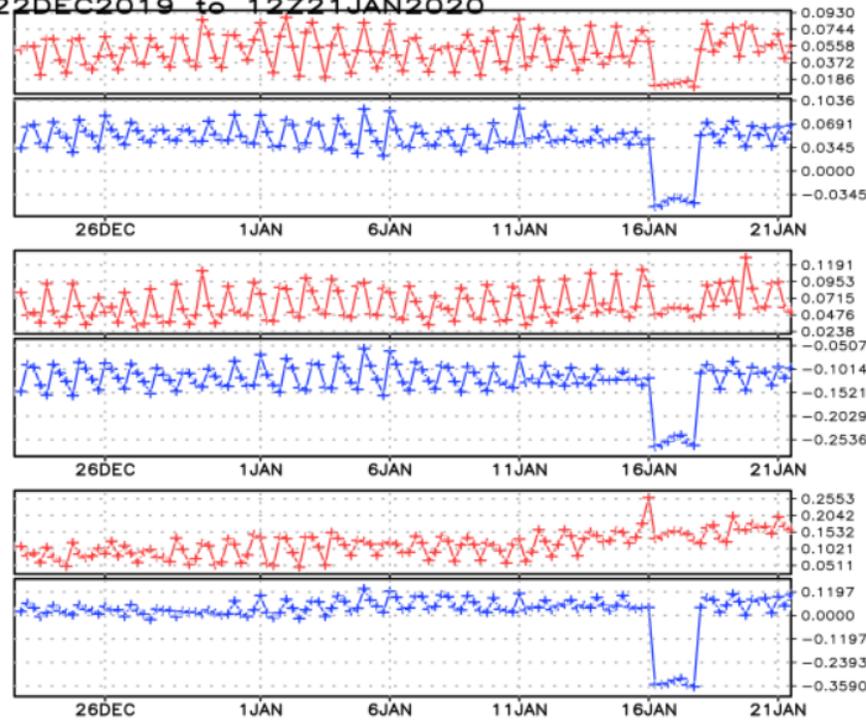
Net,run : GFS, gdas  
platform: ompsnp\_npp  
region : global (180W-180E, 90S-90N)  
variable: obs-ges  
valid : 12Z22DEC2019 to 12Z21JAN2020

pressure 0.639  
level 5  
avg: 0.0464849  
sdv: 0.0506995

**\*\* IS NOT \*\*  
ASSIMILATED**

pressure 1.013  
level 6  
avg: -0.125762  
sdv: 0.0629197

pressure 1.601  
level 7  
avg: 0.0212994  
sdv: 0.114989



dramatic changes in these time series indicate changes in quality of ozone data

# Challenges and Questions

- Lack independent ozone sounding data for validation.
- Recent development of CRTM on direct simulation of the UV radiances. Any work done on direct UV radiance assimilation?
- Further improvement on ozone analysis: finer horizontal and vertical structures. What are the potential benefits in users' applications?